US ERA ARCHIVE DOCUMENT

Coal Combustion Residue Impoundment Round 9 - Dam Assessment Report

H.L. Spurlock Power Station

Spurlock Ash Pond

East Kentucky Power Cooperative

Maysville, Kentucky

Prepared for:

United States Environmental Protection Agency Office of Resource Conservation and Recovery

Prepared by:

Dewberry & Davis, LLC Fairfax, Virginia



Under Contract Number: EP-09W001727 **December 2011**

INTRODUCTION, SUMMARY CONCLUSIONS AND RECOMMENDATIONS

The release of over five million cubic yards from the Tennessee Valley Authority's Kingston, Tennessee facility in December 2008, which flooded more than 300 acres of land, damaging homes and property, is a wake-up call for diligence on coal combustion residue disposal units. A first step toward this goal is to assess the stability and functionality of the ash impoundments and other units, then quickly take any needed corrective measures.

This assessment of the stability and functionality of the Spurlock Power Station Ash Pond is based on a review of available documents and on the site assessment conducted by Dewberry personnel on Tuesday, February 15, 2011. We found the supporting technical documentation adequate (Section 1.1.3). As detailed in Section(s) 1.2.1 and 1.2.2, there are recommendations based on field observations that may help to maintain a safe and trouble-free operation.

In summary, the Spurlock Power Station Ash Pond is **SATISFACTORY** for continued safe and reliable operation, with no recognized existing or potential management unity safety deficiencies.

PURPOSE AND SCOPE

The U.S. Environmental Protection Agency (EPA) is investigating the potential for catastrophic failure of Coal Combustion Residue (CCR) Surface Impoundments (i.e., management units) at electric utilities in an effort to protect lives and property from the consequences of a dam failure or the improper release of impounded slurry. The EPA initiative is intended to identify conditions that may adversely affect the structural stability and functionality of a management unit and its appurtenant structures (if present); to note the extent of deterioration (if present), status of maintenance and/or a need for immediate repair; to evaluate conformity with current design and construction practices; and to determine the hazard potential classification for units not currently classified by the management unit owner or by a state or federal agency. The initiative will address management units that are classified as having a Less-than-Low, Low, Significant, or High Hazard Potential ranking (for Classification, see pp. 3-8 of the 2004 Federal Guidelines for Dam Safety).

In February 2009, the EPA sent letters to coal-fired electric utilities seeking information on the safety of surface impoundments and similar facilities that receive liquid-borne material that store or dispose of coal combustion residue. This letter was issued under the authority of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) Section 104(e), to assist the Agency in assessing the structural stability and functionality of such management units, including which facilities should be visited to perform a safety assessment of the berms, dikes, and dams used in the construction of these impoundments.

EPA requested that utility companies identify all management units including surface impoundments or similar diked or bermed management units or management units designated as landfills that receive liquid-borne material used for the storage or disposal of residuals or byproducts from the combustion of coal, including, but not limited to, fly ash, bottom ash, boiler slag, or flue gas emission control residuals. Utility companies provided information on the size, design, age and the amount of material placed in the units (See Appendix C).

The purpose of this report is **to evaluate the condition and potential of residue release from management unit(s)**. This evaluation included a site visit. Prior to conducting the site visit, a two-person team reviewed the information submitted to EPA, reviewed any relevant publicly available information from state or federal agencies regarding the unit hazard potential classification (if any) and accepted information provided via telephone communication with the management unit owner. Also, after the field visit, additional information was received by Dewberry & Davis LLC about the H.L. Spurlock Power Station. This information was reviewed and used in preparation of this report.

This report presents the opinion of the assessment team as to the potential of catastrophic failure and reports on the condition of the management unit(s).

Note: The terms "embankment", "berm", "dike" and "dam" are used interchangeably within this report, as are the terms "pond", "basin", and "impoundment".

LIMITATIONS

The assessment of dam safety reported herein is based on field observations and review of readily available information provided by the owner/operator of the subject coal combustion residue management unit(s). Qualified Dewberry engineering personnel performed the field observations and review and made the assessment in conformance with the required scope of work and in accordance with reasonable and acceptable engineering practices. No other warranty, either written or implied, is made with regard to our assessment of dam safety.

Table of Contents

	Page
INTRODUCTION, SUMMARY CONCLUSIONS AND RECOMN	1ENDATIONSII
PURPOSE AND SCOPE	
1.0 CONCLUSIONS AND RECOMMENDATIONS	1-1
1.1 CONCLUSIONS	1-1
1.1.1 Conclusions Regarding the Structural Soundne	ess of the Management Unit(s)1-1
1.1.2 Conclusions Regarding the Hydrologic/Hydrau	lic Safety of the Management Unit(s) 1-1
1.1.3 Conclusions Regarding the Adequacy of Suppo	orting Technical Documentation 1-1
1.1.4 Conclusions Regarding the Description of the	Management Unit(s) 1-1
1.1.5 Conclusions Regarding the Field Observations	
1.1.6 Conclusions Regarding the Adequacy of Main	tenance and Methods of Operation1-2
	urveillance and Monitoring Program
1.1.8 Classification Regarding Suitability for Continu	ued Safe and Reliable Operation 1-2
1.2 RECOMMENDATIONS	
1.2.1 Recommendations Regarding the Field Observ	vations 1-2
1.2.2 Recommendations Regarding the Maintenand	re and Methods of Operation1-2
1.3 PARTICIPANTS AND ACKNOWLEDGEMENT	
1.3.1 List of Participants	
1.3.2 Acknowledgement and Signature	
2.0 DESCRIPTION OF THE COAL COMBUSTION RESIDU	E MANAGEMENT UNIT(S)2-1
2.1 LOCATION AND GENERAL DESCRIPTION	2-1
2.2 COAL COMBUSTION RESIDUE HANDLING	2-2
2.2.1 Fly Ash	
2.2.2 Bottom Ash	
2.2.3 Boiler Slag	
2.2.4 Flue Gas Desulfurization Sludge	
2.3 Size and Hazard Classification	2-8
2.4 AMOUNT AND TYPE OF RESIDUALS CURRENTLY CONTAINE	d in the Unit(s) and Maximum Capacity2-9
2.5 Principal Project Structures	2-9
2.5.1 Earth Embankment	2-9
2.5.2 Outlet Structures	2-9
2.6 CRITICAL INFRASTRUCTURE WITHIN FIVE MILES DOWN G	2-14
3.0 SUMMARY OF RELEVANT REPORTS, PERMITS, ANI	O INCIDENTS3-1
	TAL PERMITS 3-1
3.2 SUMMARY OF SPILL/RELEASE INCIDENTS	

4.0	SUM	IMARY OF HISTORY OF CONSTRUCTION AND OPERATION	4-1
4.1	Su	JMMARY OF CONSTRUCTION HISTORY	4-1
4	1.1.1	Original Construction	4-1
2	1.1.2	Significant Changes/Modifications in Design since Original Construction	4-1
4	1.1.3	Significant Repairs/Rehabilitation since Original Construction	4-1
4.2	Su	JMMARY OF OPERATIONAL PROCEDURES	4-1
4	1.2.1	Original Operational Procedures	4-1
4	1.2.2	Significant Changes in Operational Procedures and Original Startup	4-1
4	1.2.3	Other Notable Events since Original Startup	4-2
5.0	FIELI	D OBSERVATIONS	5-1
5.1	Pi	ROJECT OVERVIEW AND SIGNIFICANT FINDINGS	5-1
5.2	E	ARTH EMBANKMENT	5-1
į	5.2.1	Crest	5-1
	5.2.2	Upstream/Inside Slope	5-4
į	5.2.3	Downstream/Outside Slope and Toe	5-6
į	5.2.4	Abutments and Groin Areas	5-10
5.3	0	UTLET STRUCTURES	5-10
į	5.3.1	Overflow Structure	5-10
	5.3.2	Outlet Conduit	5-10
1	5.3.3	Emergency Spillway	5-10
6.0	HYD	ROLOGIC/HYDRAULIC SAFETY	6-1
6.1	Su	JPPORTING TECHNICAL DOCUMENTATION	6-1
6	5.1.1	Flood of Record	6-1
6	5.1.2	Inflow Design Flood	6-1
6	5.1.3	Downstream Flood Analysis	6-2
6.2	Aı	DEQUACY OF SUPPORTING TECHNICAL DOCUMENTATION	6-2
6.3	As	SSESSMENT OF HYDROLOGIC/HYDRAULIC SAFETY	6-2
7.0	STRU	JCTURAL STABILITY	7-1
7.1	Su	JPPORTING TECHNICAL DOCUMENTATION	7-1
;	7.1.1	Stability Analyses and Load Cases Analyzed	7-1
;	7.1.2	Design Parameters and Dam Materials	7-1
;	7.1.3	Uplift and/or Phreatic Surface Assumptions	7-2
;	7.1.4	Factors of Safety and Base Stresses	7-2
;	7.1.5	Liquefaction Potential	7-3
;	7.1.6	Critical Geological Conditions	7-3
7.2	Aı	DEQUACY OF SUPPORTING TECHNICAL DOCUMENTATION	7-3
7.3	As	SSESSMENT OF STRUCTURAL STABILITY	7-3

8.0	ADEQUA	CY OF MAINTENANCE AND METHODS OF OPERATION	3-1
8.1	OPERAT	ING PROCEDURES	3-1
8.2	MAINTE	ENANCE OF THE DAM AND PROJECT FACILITIES	3-1
8.3	Assessi	MENT OF MAINTENANCE AND METHODS OF OPERATIONS	3-1
8.	3.1 Ade	quacy of Operating Procedures	3-1
8.	3.2 Ade	quacy of Maintenance	3-1
9.0	ADEQUA	CY OF SURVEILLANCE AND MONITORING PROGRAM	1
9.1	Surveii	LANCE PROCEDURES	1
9.2	Instrui	MENTATION MONITORING	1
9.3	Assessi	MENT OF SURVEILLANCE AND MONITORING PROGRAM	1
9.	3.1 Ade	quacy of Inspection Program	1
9.	3.2 Ade	quacy of Instrumentation Monitoring Program	1
APPF	Doc 01 Doc 02 Doc 03 Doc 04 Doc 05 Doc 06 Doc 07 Doc 08	 H.L. Spurlock Power Station Aerial View (Source: Google Maps 2011) H.L. Spurlock Power Station Vicinity Map (Source: Google Maps 2011) S&ME Instrumentation Report KPDES Permit Dames & Moore Subsurface Exploration Program S&ME Engineering Study: Evaluation of Risks of 100-Yr Event & Freeboard Requirement S&ME Engineering Study for Seepage)
APPE	ENDIX E	EPA Checklist	

APPENDIX C

EKPC Response Letter to EPA's Request for Information (March 2009)

1.0 CONCLUSIONS AND RECOMMENDATIONS

1.1 CONCLUSIONS

Conclusions are based on visual observations from a one-day site visit, Tuesday, February 15, 2011, and review of technical documentation provided by East Kentucky Power Cooperative.

1.1.1 Conclusions Regarding the Structural Soundness of the Management Unit(s)

The dike embankments appear to be structurally sound.

1.1.2 Conclusions Regarding the Hydrologic/Hydraulic Safety of the Management Unit(s)

Hydrologic and hydraulic analyses provided to Dewberry indicate adequate impoundment capacity to contain the 1 percent probability design storm without overtopping the dikes.

1.1.3 Conclusions Regarding the Adequacy of Supporting Technical Documentation

The supporting technical documentation is adequate. Engineering documentation reviewed is referenced in Appendix A and C.

1.1.4 Conclusions Regarding the Description of the Management Unit(s)

The description of the management unit provided by the owner was an accurate representation of what Dewberry observed in the field.

1.1.5 Conclusions Regarding the Field Observations

The visible parts of the embankment dikes and outlet structure (pump station) were observed to have no signs of overstress, significant settlement, shear failure, or other signs of instability. However, visual observations of the floodside embankments were restricted by the presence of thick vegetation, specifically phragmites, in some areas (See Section 5.2.2).

Wet areas were observed at two locations during observations of the exterior slopes along the north dike. Section 5.2.3 addressed this observation further.

Embankments appear structurally sound. There are no apparent indications of unsafe conditions or conditions needing remedial action. There was, however, some concern about past beaver habitation in/near the bottom of the southeast corner of the impoundment (See Section 5.2.3 for Figures). The beaver dam had recently been removed at the time of Dewberry's visual assessment.

1.1.6 Conclusions Regarding the Adequacy of Maintenance and Methods of Operation

The current maintenance and methods of operation appear to be adequate for the Spurlock Ash Pond. There was no evidence of significant embankment repairs or prior releases observed during the field assessment.

1.1.7 Conclusions Regarding the Adequacy of the Surveillance and Monitoring Program

The surveillance program appears to be adequate. The management unit dikes are instrumented.

1.1.8 Classification Regarding Suitability for Continued Safe and Reliable Operation

The facility is SATISFACTORY for continued safe and reliable operation. No existing or potential management unit safety deficiencies are recognized. Acceptable performance is expected under all applicable loading conditions (static, hydrologic, seismic) in accordance with the applicable criteria.

1.2 RECOMMENDATIONS

1.2.1 Recommendations Regarding the Field Observations

Re-grading the low-lying areas that are collecting natural precipitation will reduce the potential for the ponding of water around the dam embankment, as mentioned in Section 1.1.5.

1.2.2 Recommendations Regarding the Maintenance and Methods of Operation

These recommendations should improve the safety and operation of the dike system:

- As recommended within the S&ME Instrumentation Report's recent recommendations (See Appendix A, Doc 03), all of the instruments are to be checked on a quarterly basis at a minimum.
- Maintenance staff at the plant should continue to monitor the area in the southeast corner of the outside embankment associated with the adjacent ditch along the railroad and possible beaver habitat in the area as well as the toe of the northern embankment as mentioned in Section 1.1.5 and 1.2.1, for signs of flow, leaks, or change in water color or clarity.

1.3 PARTICIPANTS AND ACKNOWLEDGEMENT

1.3.1 List of Participants

Jerry Purvis, Environmental Manager, EKPC*

Matthew Clark, P.E., Environmental Engineer, EKPC*

Brad Condley, Senior Chemist, EKPC*

Brandon Bettinger, Spurlock Chemical Engineer, EKPC*

Joseph Vonderhaar, Assistant Manager, Spurlock, EKPC*

David Elkins, Spurlock Station Manager, EKPC*

Mark Brewer, P.E., P.L.S., Engineering Services Supervisor, G&T Operations, EKPC*

Glen Alexander, KYDEP/DS/DOW**

Gary Wells, P.E., Environmental Engineer II, KYDEP/DS/DOW**

Robert Edwards, P.E., Associate, Lead Site Engineer, Dewberry

Lauren Ohotzke, Staff Site Engineer, Dewberry

*East Kentucky Power Cooperative

**Kentucky Department for Environmental Protection/Dam Safety/Division of Water

1.3.2 Acknowledgement and Signature

We acknowledge that the management unit referenced herein has been assessed on February 15, 2011.

Robert Edwards, P.E. (KY#11983

-28-1

THINK

2.0 DESCRIPTION OF THE COAL COMBUSTION RESIDUE MANAGEMENT UNIT(S)

2.1 LOCATION AND GENERAL DESCRIPTION

East Kentucky Power Cooperative (EKPC) owns and operates the H.L. Spurlock Power Station, located along the Southern banks of the Ohio River, near the town of Maysville, Kentucky, in Mason County. Access to the plant is from the main entrance off of KY 8, approximately 5 miles west of downtown Maysville. The H.L. Spurlock Power Station is the largest plant owned by EKPC, containing 1 impoundment designated for disposal of coal combustion residue, and referenced as the Spurlock Ash Pond.

The Spurlock Ash Pond is located near the northeastern limits of the power station property, directly south of the Ohio River at Mile 413, and shown on the project aerial photograph provided in Appendix A, Doc 01. The Spurlock Ash Pond has been outlined in yellow and labeled for identification within this document.

See Appendix A, Doc 02 for location of the H.L. Spurlock Power Station on a vicinity map.

The Spurlock Ash Pond was designed by Stanley Consultants and constructed under the supervision of a Professional Engineer in 1976.

The Spurlock Ash Pond consists of silty clay and clayey silt. Based upon data provided by the utility, the pond liner consists of a minimum of 15 to 18 inches of clay compacted with soil with an estimated seepage of 91 gal/min +/- 20%. Consolidation of the ash material through the years has most likely decreased the seepage losses.

Design drawings dated May 5, 1972 for the Proposed Ash Storage Area indicate the crest elevation of the perimeter dike is at elevation 830.0 feet, with a maximum berm height of 28 feet. The impoundment area is approximately 57 acres and has a capacity of 40.2 acre-feet.

Table 2.1: Summary of Dam Dimensions and Size		
	Spurlock Ash Pond	
Dam Height (ft)	28.0	
Crest Width (ft)	16.0	
Length (ft)	8,750	
Side Slopes (upstream) H:V	3:1	

2.2 COAL COMBUSTION RESIDUE HANDLING

The Spurlock Power Station consists of four units: Unit 1, Unit 2, Gilbert Unit 3, and Unit 4. Units 1 and 2 are both pulverized coal units, having similar configurations. The Gilbert Unit 3 and Unit 4 are nearly identical, circulating fluidized beds.

The Coal Combustion Residue (CCR) handling system of Units 1 and 2 consists of three major components: (1) electrostatic precipitators that remove fine ash particles which are then carried out of the furnace mixed in with the hot gases; (2) a bottom ash removal system that removes the heavier ash particles that have settled to the bottom of the furnace; and (3) a flue gas desulfurization (FGD) system ("scrubber") that removes sulfur dioxide from the flue gas, as a result, producing a byproduct (gypsum), which is then transported to the Spurlock Station Special Waste Landfill (i.e., SSSW Landfill).

2.2.1 Fly Ash

Fly ash particles are collected via an electrostatic precipitator (ESP or "precipitator"). The ESP works by inducing an electrical charge on the fly ash particles in the flue gas stream. The charged fly ash then collects on plates housed within the ESP. These plates are "rapped" causing the fly ash to drop into the hoppers below the plates. The fly ash is then transferred pneumatically from the hoppers to an ash silo. Underneath the ash silo there is a load-out that transfers the fly ash to trucks. The trucks transport the fly ash to the SSSW Landfill.

Should the fly ash system fail, EKPC can re-direct the fly ash to the "wet" ash sluice system. The sluiced fly ash is directed to the ash pond. Sluicing fly ash serves as a back-up to the "dry" fly ash system.

The Gilbert Unit 3 and Unit 4 produce two types of CCRs, fly ash and bed ash. In the fluidized bed, lime is added to the combustion process to "dry scrub" the sulfur from the coal. The sulfur reacts with the lime to form solids that are removed with the fly ash or bed ash. In these boilers a bed of ash circulates and re-circulates in the furnace providing heat transfer to the internal boiler tubing. The level of this bed ash is controlled by continually withdrawing a portion of the accumulating ash through a control device, depositing to a silo. From the silo the bed ash is loaded onto trucks and taken to the SSSW Landfill. The fly ash in these two units is removed from the flue gas by a series of bag filters. As in Units 1 and 2, after collecting the ash in hoppers, the fly ash is transferred

pneumatically to a silo, loaded onto trucks, and then transferred to the SSSW Landfill.

2.2.2 Bottom Ash

The bottom ash, approximately 20% of the ash component, collects in hoppers at the base of the furnace and is sluiced to the ash pond via 3 transport pipes, as seen below in Figures 2.2.2.1 through 2.2.2.5.



Figure 2.2.2.1: Bridging System Containing Pipes Carrying CCR (Bottom Ash) from Plant to Pond (1 of 2)



Figure 2.2.2.2: Bridging System Containing Pipes Carrying CCR (Bottom Ash) from Plant to Pond (2 of 2)



Figure 2.2.2.3: Looking SW Toward Plant from South Crest; Pipes Carrying CCR (Bottom Ash) on the Right



Figure 2.2.2.3: Looking NE from Toe of SW Corner of Outside



Embankment; Portal Entry of Pipes Carrying CCR (Bottom Ash)

Figure 2.2.2.5: HDPE (Black) Pipes Transporting CCR (Bottom Ash) from the Plant to the Pond; Fiberglass Pipe (Lower) and Metal Pipe (top) are Abandoned

Bottom ash within the Pond is pictured below in Figures 2.2.2.6 through 2.2.2.8.



Figure 2.2.2.6: Bottom Ash Stockpiles and Surface Water within Ash Pond



Figure 2.2.2.7: Bottom Ash Stockpiles within Ash Pond



Figure 2.2.2.8: Looking SW Toward Plant from Staging Area of Stockpiled Bottom Ash

2.2.3 Boiler Slag

Boiler slag is collected in hoppers at the base of the furnace, ground up in the clinker grinder, and then sluiced to the ash pond.

2.2.4 Flue Gas Desulfurization Sludge

The gypsum produced during the FGD process is dewatered on drum filters located in the scrubbers and then conveyed to a loading area. Within the loading area the gypsum is loaded onto trucks and transported to the SSSW Landfill.

2.3 SIZE AND HAZARD CLASSIFICATION

The classification for size, based on the height and storage capacity of the Spurlock Ash Pond is "Small" in accordance with the USACE Recommended Guidelines for Safety Inspection of Dams ER 1110-2-106 criteria summarized in Table 2.21.

Table 2.2a: USACE ER 1110-2-106 Size Classification		
Impoundment		
Category	Storage (Ac-ft)	Height (ft)
Small	50 and < 1,000	25 and < 40
Intermediate	1,000 and < 50,000	40 and < 100
Large	> 50,000	> 100

KDEP/DoW is responsible for performing safety inspections of dams and hazardous impoundments in Kentucky. The most recent inspection by the Division of Water was conducted on February 19, 2009.

This facility is classified as a Class (A) – Low Hazard Structure, in accordance with guidelines from the KDEP/DoW. This classification applies to structures located such that failure would cause loss of the structure itself, but there would be little or no damage outside of the property.

The Spurlock Ash Pond is not in the National Inventory of Dams, and therefore the dike does not have an established hazard classification.

Dewberry conducted a qualitative hazard classification based on the 2004 Federal Guidelines for Dam Safety classification system (shown in Table 2.2b).

Table 2.2b: FEMA Federal Guidelines for Dam Safety Hazard Classification		
	Loss of Human Life	Economic, Environmental, Lifeline Losses
Low	None Expected	Low and generally limited to owner
Significant	None Expected	Yes
High	Probable. One or more	Yes (but not necessary for
	expected	classification)

Loss of human life is not probable in the event of a catastrophic failure of the dike. In addition, failure of the dike would likely result in a low economic and/or environmental impact, such as limited bottom ash contamination of the Ohio River. Accordingly, Dewberry evaluated the dike as "Low Hazard Potential".

2.4 AMOUNT AND TYPE OF RESIDUALS CURRENTLY CONTAINED IN THE UNIT(S) AND MAXIMUM CAPACITY

EKPC's response (See Appendix C) to the EPA's request for information (as noted in the Purpose and Scope of this report on page iii), indicates that the Spurlock Station sluices only bottom ash to the Spurlock Ash Pond. Approximately 1,500,000 cubic yards of bottom ash is stored in the pond; pond capacity is calculated to be 1,750,000 cubic yards.

Table 2.3: Maximum Capacity of Unit		
Spurlock Ash Pond		
Surface Area (acre) ¹	57.0	
Current Storage Capacity (cubic yards) ¹	1,500,000	
Current Storage Capacity (acre-feet)	34.4	
Total Storage Capacity (cubic yards) ¹	1,750,000	
Total Storage Capacity (acre-feet)	40.2	
Crest Elevation (feet)	530.0	
Normal Pond Level (feet)	527.5	

¹ Provided by EKPC

2.5 PRINCIPAL PROJECT STRUCTURES

2.5.1 Earth Embankment

The impoundment is an earthen embankment dike with a crest measuring approximately 8,750 feet in length and averaging 16 feet in width. The inside and outside slopes of the embankments were designed with 3:1 slopes. The embankment material consists of brown silty clay or brown clayey silt containing some sand available within or adjacent to the pond area.

2.5.2 Outlet Structures

The 3 transport pipes as mentioned in Section 2.2.2 can be adjusted within the pond, resting them atop a series of pipe floaters seen in Figures 2.5.2.1 and 2.5.2.2.



Figure 2.5.2.1: Pipe Floaters Within Ash Pond (1 of 2)



Figure 2.5.2.2: Pipe Floaters within Ash Pond (2 of 2)

Being able to relocate the pipes' inlet prevent the piles from growing too large. One of the 3 pipes' inlet to the Pond can be seen in Figure 2.5.2.3, depositing CCR, specifically bottom ash, into the Ash Pond.



Figure 2.5.2.3: Inlet Pipe Releasing CCR (Bottom Ash) from Plant

The water atop the settled bottom ash within the Pond (See Figure 2.5.2.4), is pumped to the Primary Lagoon and Secondary Lagoon (See Figures 2.5.2.5 and 2.5.2.6, respectively), where additional settling occurs.



Figure 2.5.2.4: Water Within the Spurlock Ash Pond



Figure 2.5.2.5: Primary Lagoon



Figure 2.5.2.6: Secondary Lagoon

From the Secondary Lagoon, the water is either pumped back to the bottom ash sluicing system or discharged through a KPDES permitted outfall, into a ditch that empties into the Ohio River, which runs parallel to the Impoundment's Northern embankment as seen in Figure 2.5.2.7.



Figure 2.5.2.7: Ohio River, Running Parallel to the N Embankment of Ash Pond

2.6 CRITICAL INFRASTRUCTURE WITHIN FIVE MILES DOWN GRADIENT

The nearest downstream town, Ripley, Ohio, is located approximately 3.5 miles from the Spurlock Ash Pond. Based on available area topographic maps, surface drainage in the area of the Ash Pond is intercepted by drainage ditches located parallel to the southern and eastern dikes which then empty to the Ohio River. Releases from the north and west side of the impoundment will discharge into the Ohio River, directly. Releases from the east and south dikes will discharge into the drainage ditches that run parallel to the dikes and carried into the Ohio River. Based on available aerial photographs and a brief driving tour of the area, Dewberry did not identify any critical infrastructure assets down gradient of the Ash Pond.

During the site visit, Dewberry confirmed with EKPC that there was, in fact, not any critical infrastructure within a 5 mile radius downstream.

3.0 SUMMARY OF RELEVANT REPORTS, PERMITS, AND INCIDENTS

3.1 SUMMARY OF LOCAL, STATE, AND FEDERAL ENVIRONMENTAL PERMITS

The dam, constructed in 1976, pre-dates any local or state permitting requirements.

Discharge from the impoundment is regulated by the Kentucky Department for Environmental Protection. The impoundment was issued a Kentucky Pollutant Discharge Elimination System (KPDES) Permit No. KY0022250 on September 5, 2000 (See Appendix A, Doc 04). Mr. Gary Wells, P.E., KYDEP/DS/DOW, confirmed that Permit No. KY0022250 is still current and the Pond is still regulated despite the fact that the Permit expired in 2004.

3.2 SUMMARY OF SPILL/RELEASE INCIDENTS

Data reviewed by Dewberry did not indicate any spills, unpermitted releases, or other performance related problems with the dam.

4.0 SUMMARY OF HISTORY OF CONSTRUCTION AND OPERATION

4.1 SUMMARY OF CONSTRUCTION HISTORY

4.1.1 Original Construction

The Spurlock Ash Pond was designed by Stanley Consultants and originally constructed in 1976 (See Section 2.1 for a general description of the impoundment pond construction).

4.1.2 Significant Changes/Modifications in Design since Original Construction

A review of the original plans provided to the dam assessor, as well as the visual site assessment did not identify any significant changes/modifications in design since the original construction. EKPC has confirmed this to be true.

4.1.3 Significant Repairs/Rehabilitation since Original Construction

No evidence of prior releases, failures, or patchwork was observed on the earthen embankment during the visual site assessment and no documents or statements were provided to the dam assessor that indicated prior releases or failures have occurred. EKPC personnel stated that there had not been any significant repairs/rehabilitation since original construction. EKPC has confirmed this to be true.

4.2 SUMMARY OF OPERATIONAL PROCEDURES

4.2.1 Original Operational Procedures

The impoundment was designed for and has/is operated for CCR sedimentation and control. This pond only receives plant coal combustion waste slurry and natural precipitation.

4.2.2 Significant Changes in Operational Procedures and Original Startup

No documents were provided to indicate any operational procedures have changed. Operations are conducted the same as described in Section 2.2.2, with the exception of the removal of fly ash from the waste stream entering the pond; the Ash Pond now receives only bottom ash, as stated by EKPC.

4.2.3 Other Notable Events since Original Startup

No additional information was provided to Dewberry of other notable events impacting the operation of the impoundment. However, EKPC has stated that there have not been any other notable events since original startup.

5.0 FIELD OBSERVATIONS

5.1 PROJECT OVERVIEW AND SIGNIFICANT FINDINGS

Dewberry personnel Robert Edwards, P.E. and Lauren Ohotzke performed a site visit on Tuesday, February 15, 2011 in company with the participants (See Section 1.3.1).

The site visit began at 12:45 PM. The weather was cool and overcast. Figuregraphs were taken of conditions observed. Please refer to the EPA Checklist in Appendix B. Selected Figuregraphs are included here in this section, as well as other sections throughout the report, for ease of visual reference. All pictures were taken by Dewberry personnel during the site visit.

The overall assessment of the dam was that it was in satisfactory condition and no significant findings were noted.

5.2 EARTH EMBANKMENT

5.2.1 Crest

The crest of the Ash Pond had no signs of depressions, tension cracks, or other indications of settlement or shear failure, and appeared to be in satisfactory condition at the time of this assessment. Typical conditions of the Ash Pond's North, South, East, and West crests can be seen below in Figures 5.2.1.1 through 5.2.1.4, respectively.



Figure 5.2.1.1: Looking NE from W Crest at N Crest Typical Conditions (See Top Left Corner of Figure)



Figure 5.2.1.2: Looking down S Crest; Typical Conditions



Figure 5.2.1.3: Looking down E Crest from NE Corner; Typical Conditions



Figure 5.2.1.4: Looking down W Crest from NW Corner; Typical Conditions

5.2.2 Upstream/Inside Slope

As mentioned in Section 1.1.5, current grades of the interior slopes could not be verified because large segments of the interior embankment were covered by excessive vegetation, specifically tall phragmites (See Figures 5.2.2.1 and 5.2.2.2).



Figure 5.2.2.1: Phragmites Along Inside perimeter of Ash Pond (1 of 2)



Figure 5.2.2.2: Phragmites Along Inside perimeter of Ash Pond (2 of 2)

The portion of embankment visually available indicated that the slopes were protected against erosion due to wind, water, and wave action by a layer of 18" thick riprap, and experiencing no erosion (See Figures 5.2.2.3 and 5.2.2.4).



Figure 5.2.2.3: Layer of 18" Thick Riprap Surrounding Inside Perimeter of Ash Pond



Figure 5.2.2.4: Layer of 18" Thick Riprap Combined with Phragmites Surrounding Inside Perimeter of Ash Pond

5.2.3 Downstream/Outside Slope and Toe

The outside slope of the south and east dike are bordered by parallel ditches that drain to the Ohio River. The outside slope of the north dike runs parallel to and faces the Ohio River. The outside slopes were observed to measure approximately 3:1 with some variances. The exterior embankments were generally in good condition with adequate grass cover and well maintained (See Figures 5.2.3.1 through 5.2.3.4 for the general condition of the North, South, East, and West exterior embankments, respectively). There were no observed scarps, sloughs, bulging, cracks, depressions, or other indications of slope instability or signs of erosion found during this assessment.



Figure 5.2.3.1: N Exterior Embankment



Figure 5.2.3.2: S Exterior Embankment



Figure 5.2.3.3: E Exterior Embankment



Figure 5.2.3.4: W Exterior Embankment

As discussed further in Sections 1.1.5, 1.2.1 as well as 1.2.2, wet areas were observed in two locations during observations: one at the toe of the exterior slope along the north dike (See Figure 5.2.3.5), and one in the southeast corner of the outside embankment that appears to be associated with the adjacent ditch running parallel to the east dike as well as the ditch parallel to the railroad/ south dike, intersect coincidently with the beaver habitat, thus water accumulates from the dams constructed by the beaver

habitation, slowing drainage to the Ohio River (See Figures 5.2.3.6 and 5.2.3.7). Recent precipitation suggests that these locations are susceptible to the ponding of water from natural run-off and not indicative of leaks or seepage from the Spurlock Ash Pond.



Figure 5.2.3.5: Observed Area at Toe of Exterior Slope of North Dike



Figure 5.2.3.6: Area Observed Near Toe of SE Corner of Outside Embankment



Figure 5.2.3.7: Area Observed Just West of Toe of SE Corner of Outside Embankment

5.2.4 Abutments and Groin Areas

The dike is continuous, and therefore there are no abutments or groin areas.

5.3 OUTLET STRUCTURES

5.3.1 Overflow Structure

No overflow structure is present.

5.3.2 Outlet Conduit

See Section 2.5.2.

5.3.3 Emergency Spillway

No emergency spillway is present.

6.0 HYDROLOGIC/HYDRAULIC SAFETY

6.1 SUPPORTING TECHNICAL DOCUMENTATION

6.1.1 Flood of Record

EKPC has confirmed that the flood of record elevation is 526.6 feet, which occurred January 27, 1937. This is based on historical flood data kept by the National Weather Service in Wilmington, Ohio. The flood categories and historical crests are taken just upstream of the plant at Maysville, Kentucky. At the plant location the Normal Pool elevation is 485.0 feet; the Base Flood elevation is 515.0 feet.

6.1.2 Inflow Design Flood

Note that stormwater flow into the ash pond system is minimal and from direct precipitation only (there is no stormwater runoff entering the Ash Pond)

Note also that there is no emergency spillway, the water level within the pond is maintained using an ash water transfer pump station, as seen in Figure 6.1.2.1, capable of discharging at a rate of 4800 gpm.



Figure 6.1.2.1: Ash Water Transfer Pump Station

EKPC has confirmed that there is no inflow design flood data. The hydrology study referenced in Appendix A, Doc. 06, is based on the 100-year storm rainfall volumes and equations. The breach analysis assumed that the ash pond was a completely full condition prior to the breach, and did not consider any inflow to the pond. Furthermore, the study evaluates risks associated with the 100-year rain event and freeboard requirement. Based on the study results it was recommended that the water surface elevation not exceed an elevation of 526.7. This would provide the recommended 1 foot freeboard and storage for a 6-hour, 100-year rain event. Additionally, this recommended surface elevation would then compensate for the lack of an emergency spillway, protect against pump failure and/or other contingencies that would further increase the potential for overtopping the dikes.

6.1.3 Downstream Flood Analysis

No downstream flood analysis data was provided for review.

6.2 ADEQUACY OF SUPPORTING TECHNICAL DOCUMENTATION

Supporting documentation provided for review by Dewberry is adequate to determine the risks of a 100-year rain event, as noted in Section 6.1.2.

6.3 ASSESSMENT OF HYDROLOGIC/HYDRAULIC SAFETY

Based upon review of available topographic information, site plans, field observations, engineering studies, field inspections, and stormwater flow into the ash pond, dike failure by overtopping seems improbable.

7.0 STRUCTURAL STABILITY

7.1 SUPPORTING TECHNICAL DOCUMENTATION

7.1.1 Stability Analyses and Load Cases Analyzed

Stability analyses were performed and are included in the initial subsurface exploration program conducted by Dames & Moore in July 1975 for Stanley Consultants. Please find this information attached in Appendix A, Doc 05. The data utilized in the stability analyses provided by EKPC for Dewberry's review were obtained from laboratory tests performed on representative undisturbed samples, obtained from borings drilled within the area of the dikes, and on reconstituted samples, representative of compacted fill materials.

Various conditions of stability (See Table 7.1.4) were considered in the analyses as follows:

- 1 End of construction
- 2 Steady state seepage (water elevation at 517 no ash)
- 3 Steady state seepage (water elevation at 529, ash elevation 527.5)
- 4 Steady state seepage condition (3) but with earthquake force

Based on the results of the analyses it was concluded that the embankments have stability safety factors at or above the minimum recommended values.

7.1.2 Design Parameters and Dam Materials

The documentation in the initial subsurface exploration (See Appendix A, Doc 05) program are shown in Table 7.1.2.

Table 7.1.2: Engineering Properties			
Material	Unit Wgt	Friction	Cohesion
	pcf	Angle	psf
Fill Material	125	25	3270
River Bank Upper Cohesive	128	25	3500
River Bank Silty Sand	120	32	100
River Bank Sand	125	35	0
Soft Soil Upper Cohesive*	106	25	450
Soft Soil Lower Cohesive*	112	25	550
Soft Soil Sand*	125	35	0

^{*} The soft area indicated on the table is between Borings AS-28 and AS-30

7.1.3 Uplift and/or Phreatic Surface Assumptions

Phreatic surface readings since the installation of monuments, inclinometers, and piezometers in May 2010 (See Appendix – Doc 07), have been taken from piezometers-installed at two depths. The readings show that the deeper piezometers indicate a phreatic surface that corresponds with the elevation of the adjoining Ohio River and represents the stable or natural groundwater table. The shallower piezometer readings showed a consistent phreatic surface that occurs approximately eight (8) feet below the ground surface

7.1.4 Factors of Safety and Base Stresses

The safety factors for the Stability Analyses computed in the initial subsurface exploration program for the Spurlock Ash Pond (See Appendix A – Doc 05) are shown in Table 7.1.4.

Table 7.1.4: Stability Analysis Results			
Location	Stability Condition	Remarks	Minimum F.S.
River Bank	1	A	3.96
River Bank	2	В	1.57
River Bank	3	С	1.28
River Bank	3	В	1.51
River Bank	4	С	1.08
River Bank	4	В	1.24
Soft Soil	1	D	1.93
Soft Soil	1	Е	2.85
Soft Soil	1	Е	3.52
Soft Soil	3	Е	1.52
Soft Soil	4	Е	1.24

Table 7.1.4 Remarks

- A Pond Bottom at Elevation 503
- B 50-foot wide berm from exterior dike toe to top of river bank
- C 25-foot wide berm from exterior dike toe to top of river bank
- D No soft soils removed below Elevation 503
- E Soft soils extending to Elevation 495 removed and replaced with compacted fill

7.1.5 Liquefaction Potential

No documentation has been provided about the liquefaction potential.

7.1.6 Critical Geological Conditions

In the stability analyses (See Appendix A, Doc 05) a peak ground acceleration of 0.05g was used for seismic loading. This corresponds to a 2% probability of exceedence in 50 years in accordance with the current USGS Seismic Risk Map of the United States. The seismic design criteria used in the analyses are appropriate for the Spurlock Ash Pond.

7.2 ADEQUACY OF SUPPORTING TECHNICAL DOCUMENTATION

Structural stability documentation is adequate.

7.3 ASSESSMENT OF STRUCTURAL STABILITY

Overall, the structural stability of the dam appears to be satisfactory based on the observations during the February 15, 2011 field visit by Dewberry and the Slope Stability Analysis provided within in the initial subsurface exploration program (See Appendix A – Doc 05). In addition to the information listed below, see the EPA checklist (Appendix B) and/or Sections 5.2.1 through 5.2.3 for more information.

- The crest appeared free of depressions and no significant vertical or horizontal alignment variations were observed.
- There were no indication of major scarps, sloughs or bulging along the dikes.
- Boils, sinks, or uncontrolled seepage was not observed along the slopes, groins, or toe of the dikes.
- The computed factors of safety comply with accepted criteria.

8.0 ADEQUACY OF MAINTENANCE AND METHODS OF OPERATION

8.1 OPERATING PROCEDURES

The Spurlock Ash Pond is operated for temporary storage of bottom ash. For more information, please see Section 2.2.2.

8.2 MAINTENANCE OF THE DAM AND PROJECT FACILITIES

Management at the H.L. Spurlock Power Station have established the following current maintenance procedures:

- Observed problems or malfunction issues are entered into the Asset Life
 Management (ALM) system as Condition Directed (CD) work orders. These
 are scheduled and completed based on assigned priority.
- Operators make visual dam, water level, and pump inspections as part of their twice-daily routine duties.
- Tasks are completed on predetermined intervals, including comprehensive annual dam inspections (including a detailed report and pond soundings), last performed by Stantec Consulting Services on June 22, 2009.
- Quarterly dam walk-down inspections
- Mowing activities during the growing season

8.3 ASSESSMENT OF MAINTENANCE AND METHODS OF OPERATIONS

8.3.1 Adequacy of Operating Procedures

Based on the assessments described within this report, operating procedures appear to be adequate.

8.3.2 Adequacy of Maintenance

Although maintenance appears to be adequate, recommendations have been made and can be found in Section 1.2.1.

Based on site observations and discussions with plant personnel, operating procedures and maintenance procedures are adequate.

9.0 ADEQUACY OF SURVEILLANCE AND MONITORING PROGRAM

9.1 SURVEILLANCE PROCEDURES

The instrumentation for the Spurlock Ash Pond consists of surface monuments, inclinometers, and piezometeres along the northern slope of the Ash Pond (See Appendix A, Doc 03). This installation was completed in May 2010 and is used to monitor the dam embankment for possible movement, sliding, or seepage. The monuments will help the facility to determine if any surface lateral movement or settlement has occurred. Inclinometers will measure lateral movement of the subsurface for evidence of unacceptable ground movement that could indicate a slide is forming. The piezometeres (small-diameter observation wells) are used to measure the phreatic surface beneath the ground to detect possible seepage, increasing pore pressures in the embankment, or other water-related issues of the embankment.

There is no emergency spillway. The water level in the impoundment is maintained using an ash water transfer pump station. The automated operation of the pump station-is regulated with level control settings through float valve settings on the pumps that determine the stages (water elevation levels) in which the pumps are activated to control and maintain the recommended water surface elevation. Alarms will sound to advise of low and high water situations.

Based on the size of the dikes, the portion of the impoundment currently used to store wet bottom ash in addition to precipitation, the history of satisfactory performance and the current inspection program, there is no need for any additions to the existing dike monitoring system at this time.

9.2 INSTRUMENTATION MONITORING

The H.L. Spurlock Ash Pond dikes' instrumentation monitoring system is described above.

9.3 ASSESSMENT OF SURVEILLANCE AND MONITORING PROGRAM

9.3.1 Adequacy of Inspection Program

Based on the data reviewed by Dewberry, including observations during the site visit, the inspection program is adequate.

9.3.2 Adequacy of Instrumentation Monitoring Program

Based on the data reviewed by Dewberry, including observations during the site visit, the instrumentation monitoring program is adequate.

Appendix A

Document 1

H.L. Spurlock Power Station Aerial View

Doc 01- H.L. Spurlock Power Station Aerial View (Source: Google Maps 2011)

Document 2

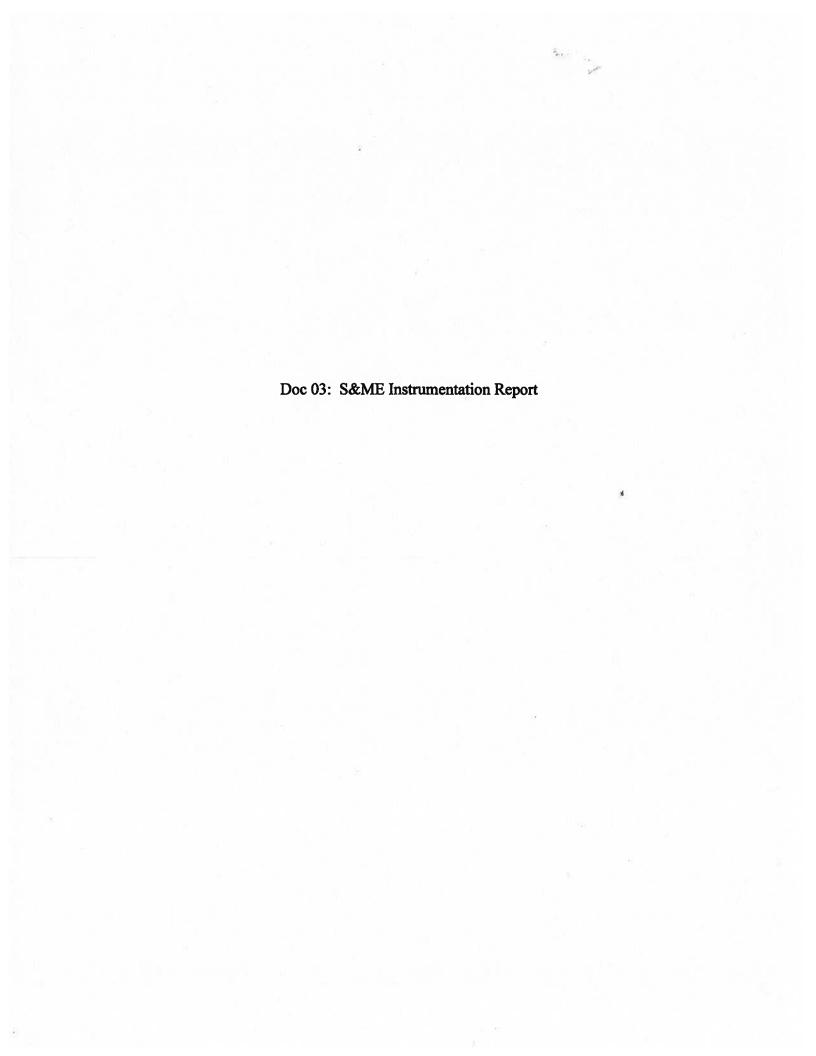
H.L. Spurlock Power Station Vicinity Map

De 2011 Google - Terms of Use Report a problem R Ashtand South Point Cathetistury B Traffic Waverly (Rotemount B 80 Morehead Hambono (SE) (2) **(E)** Sert Lick Mt Orab Sardma **(=)** Lynchburg **(E)** MR Sterfing **3** Fatmouth (22) H.L. Spurlock Power Station Lexington 🖼 Stamping Ground (15) Lawrenceburg Frankfort 13 E Poodday North (80) Hartsville (3) Columbus Columbus Seymour 13 10 mi

Doc 02- H.L. Spurlock Power Station Vicinity Map (Source: Google Maps 2011)

Document 3

S&ME Instrumentation Report



INSTRUMENTATION REPORT

for

MONUMENTS, INCLINOMETERS AND PIEZOMETERS

at

SPURLOCK POWER STATION ASH POND MASON COUNTY, KENTUCKY

S&ME Project No. 1831-10-5580

Prepared for:

EAST KENTUCKY POWER COOPERATIVE

A Touchstone Energy Cooperative

4775 Lexington Road Winchester, Kentucky

Prepared by: S&ME, Inc. 422 Codell Drive Lexington, Kentucky



SEPTEMBER 2010

SPURLOCK POWER STATION ASH POND MASON COUNTY, KENTUCKY

Instrumentation Report for Monuments, Inclinometers and Piezometers

TABLE OF CONTENTS

Sec	tion	Page No.
1.	Introduction	2
2.	Site Information	2
3.	Field Installation/Methodology	3
4.	Monitoring and Data Collection	6
5.	Security	7
6.	Recommendations	8
	LIST OF APPENDICES	

Appendix A Site Location Map

Appendix B Instrumentation Location Photo

Appendix C Technical Datasheets

Appendix D Site Photographs

Appendix E Data Collection Sheets

2010 Ash Storage Pond

Instrumentation Report For Monuments, Inclinometers and Piezometers Spurlock Power Station Mason County, Kentucky

1. Introduction

S&ME, Inc. (formerly QORE, Inc.) was retained by East Kentucky Power Cooperative (EKPC) to perform engineering services for the installation and monitoring of surface monuments, inclinometers and piezometers along the northern slope of the Ash Storage Pond.

Instrumentation was installed along the northern exterior slope (Ohio River side) of the ash pond dam. The purpose of the instrumentation was to allow monitoring of the dam embankment for possible movement, sliding or seepage. In accordance with the Emergency Action Plan, frequent monitoring of the instrumentation can used to determine emergency levels for the pond and alert Spurlock plant staff if corrective action procedures may be necessary.

The monitoring program is ongoing at the time of this report and is in the early stages. We do not have enough data to make any determinations at this time.

2. Site Information

Spurlock Power Station is located near Maysville in Mason County, Kentucky and is owned by East Kentucky Power Cooperative of Winchester, Kentucky. Spurlock Station is located adjacent to KY 8 on the south, Inland Container on the east and the Ohio River on the north.

The ash storage pond is located just south of the Ohio River (Mile 413) at the northeast corner of the station property (See Appendix A). The area of the pond is approximately 69 acres (measured at the existing water elevation). Based on

field survey information, the average top of dike elevation was 528.0 with a pond elevation of 526.6. The perimeter of the dam is approximately 8,772 feet (1.66 miles). For the Ohio River, the normal pool elevation is 485.0 and the base flood (100-yr) elevation is 515.0.

Interior and exterior slopes of approximately 3:1 (H:V) were proposed along the dike walls, except along the north (river) side, where the exterior slope is approximately 2:1. The top width of the dike is approximately 16 feet and is gravel-covered for access. The dam structure is classified as a Class (A) – Low Hazard Structure type in accordance with guidelines from the Kentucky Department of Environmental Protection (Division of Water). This classification applies to structures located such that failure would cause loss of the structure itself but little or no additional damage to other property.

3. Field Installation and Methodology

The types of instrumentation used to monitor movement and water levels at the ash pond included survey surface monuments, inclinometers and piezometers.

Monuments are used at the surface to establish horizontal and vertical control for a location established in the field. After an initial set of data is collected, subsequent readings can be used to determine if any surface lateral movement or settlement has occurred.

Inclinometers are used to measure lateral movement of the subsurface. For example inclinometers can detect sliding planes as one section of the ground moves relative to another. Inclinometers are flexible hollow casing that is installed in an open borehole and set into place using a weak cement grout. The casing pipe extends from the ground surface into a stable horizon beneath the ground. As the ground moves laterally, the casing flexes and the curvature of the casing changes. An instrument is lowered into the casing after the installation and at periodic intervals to measure the curvature of the casing over time. The engineer compares the profile (curvature) of the inclinometer pipe against the

initial reading to determine if unacceptable movement is occurring that could indicate a slide is forming.

Piezometers (standpipe-type) are small-diameter observation wells used to measure the phreatic surface beneath the ground. This information can used to detect possible seepage, increasing pore pressures in the embankment, or other water-related issues with the embankment. Specific descriptions of the each type of instrument used for this report are noted below.

1. Monuments

In order to monitor surface movement, S&ME installed six (6) ground settlement monuments near the northern toe of the dam (See Appendix B). The surface monuments were equally spaced along the entire northern length of the dam embankment. Each monument was installed by auguring a 12-inch diameter hole to a depth of two (2) feet into the ground. A six (6) foot long, %-inch steel reinforcing bar was driven into the ground until it was approximately one (1) inch below the existing ground surface. An aluminum survey cap was then driven onto the bar. The top two (2) feet of the steel bar was encased in concrete and a PVC cover was placed on the concrete to protect the bar.

S&ME surveyors conducted a differential level loop through all of the monuments to determine the initial vertical readings on the top of the aluminum survey caps. Additionally, using a Sokkia Set 330R model total station, the surveyors completed a horizontal traverse through the monuments to generate baseline horizontal coordinates for each monument. The closure of the 7,023 foot horizontal traverse was calculated to be 1:187,816.

2. Inclinometers

The initial installation was started April 28, 2010 and completed May 6, 2010. A total of three (3) installation sites were selected along the northern exterior dam slope. These locations were selected near the west, middle and east side of the dam slope (See Appendix B). The inclinometers are designated SI-1, SI-2 and SI-3 and installed approximately 20 feet from the toe of the slope to the approximate depths shown below:

- SI-1 40.5 feet
- SI-2 45.5 feet
- SI-3 40.5 feet

Medium diameter (2.75-inch) casing pipe was used for each inclinometer (see the datasheet in **Appendix C**). Installation included a 3-1/4 inch diameter drilled hole to a depth that was at least 10 feet below the sand-gravel layer of the subsurface.

See Appendix D for site photographs of a typical installation.

3. Piezometers

The installation was completed on May 6, 2010. A total of six (6) piezometers were installed at the toe of the northern dam slope (See Appendix B). Two (2) piezometers, labeled P-1A and P-1B were installed near SI-1. Piezometers labeled P-2A and P2B were installed near SI-2. Piezometers labeled P-3A and P-3B were installed near SI-3. Piezometers P-1A, P-2A and P-3A were installed to a depth of approximately 10 feet below the surface to monitor water levels. Piezometers P-1B, P-2B and P-3B were installed to a depth of approximately 30 feet below the surface. A water level indicator was used to determine water depths as measured from the top of the pipe.

See the report titled "Engineering Study for Seepage at Spurlock Ash Pond", dated October, 2010 for a detailed description of piezometer readings and conclusions related to possible seepage at the ash pond.

4. Monitoring and Data Collection

Monitoring

All of the instruments should be checked on a quarterly basis at a minimum. Data sheets for each type of instrument should be filled out in the field with the date, time and person responsible for all of the data collection. An original copy should be kept at the plant office in a secure place and only copies taken to the field. It is also recommended that all instrumentation data collection sheets be included in the emergency action plan as part of overall observation and maintenance procedures for the ash pond dam.

For surface monuments, survey data should be recorded to the nearest hundredth as shown in **Table 1** noted in this report. Inclinometers readings should be determined with a Durham Geo Slope Indicator (Digitilt Inclinometer Probe) or equivalent, as shown on the datasheet in **Appendix C**. In addition, the user will need DigiMate Manager and DigiPro software to input and interpret the data. Piezometers readings should be determined using a Water Level Indicator as shown on the datasheet in **Appendix C**.

Data Collection

The initial readings for the surface monuments are shown below in **Table 1** and included on the data collection sheet in **Appendix E**. Based on readings taken in the field on September 20, 2010, there has been no significant movement of the monuments. S&ME will continue to collect data on a quarterly basis and an addendum to this report will be submitted at that time.

Table 1. Monument Coordinates

INITIAL	SETTLEMENT MO	NUMENT COORDII 5, 2010)	NATES
MONUMENT NO.	NORTHING	EASTING	ELEVATION
MON 1	437209.52	1770088.11	503.21
MON 2	437589.16	1769316.60	505.63
MON 3	437801.12	1768703.08	506.53
MON 4	437934.64	1768194.40	506.29
MON 5	438062.15	1767581.18	505.60
MON 6	438160.41	1767039.54	508.22

Reference:

Horizontal Datum – KY S.P.C.S North Zone Vertical Datum – NAVD 88

Both inclinometer readings and piezometer readings are ongoing at this time and data monitoring will be updated through an addendum to this report.

5. Security

It is imperative that all of the installed instrumentation is protected to the maximum extent practical to ensure the data is accurate. During installation the monuments, inclinometers and piezometers were protected with steel fence posts and flagging.

Officials at Spurlock should continue protection of all of the instrumentation with appropriate markers and maintain said markers to prevent any damage, movement or defacing of the instruments. Maintenance staff and other personnel that may be within the instrumentation area of the dam are encouraged to be aware of the installations and are to prevent equipment (i.e., mowers, loaders, vehicles, etc.) from running into or over the instrumentation at any time. Any damaged markers should be replaced promptly but an initial reading will have to done over again.

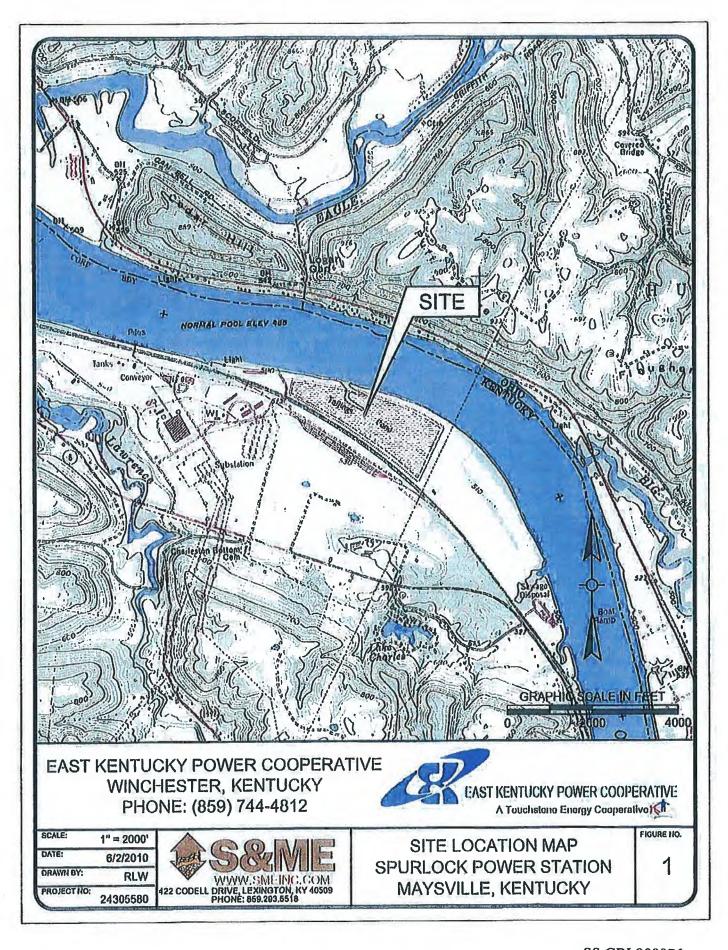
6. Recommendations

In summary, S&ME is contracted to install and monitor the instrumentation for the first year. Beyond that, we understand Spurlock staff is assigned the task of long term monitoring the instrumentation for the ash pond. They may elect to retain a consultant to perform the monitoring on their behalf. We provide the following guidance:

- Data collection and monitoring should be done on a quarterly basis at a minimum.
- All survey references for horizontal and vertical control should comply with
 Table 1 listed in this report.
- Data collection sheets should include the date, time and person responsible for recording the field readings. The original should be kept in a secure place and a copy taken to the field. Copies are included in Appendix E.
- The instrumentation must be protected from damage at all times. If any instrumentation is considered damaged, that instrumentation should be marked as such and immediately reported. A replacement may be necessary to continue monitoring. Any change to instrument type or location should be noted accordingly.
- All data collections sheets should be included in the Emergency Action Plan for the Spurlock Power Plant as an appendix.

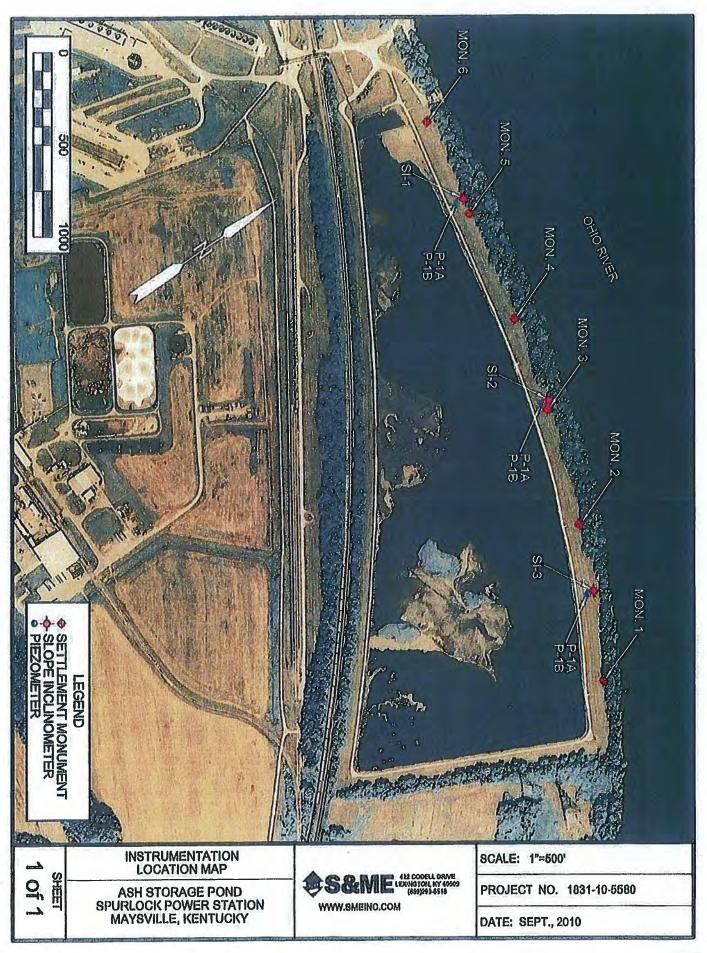
It will be the responsibility of EKPC to determine if an emergency level change is warranted based on monitoring of the instrumentation, as described in the emergency action plan for the Spurlock Power Station.

► Site Location Map



APPENDIX B

▶ Instrumentation Location Photo



APPENDIX C

▶ Technical Datasheets

Inclinometer Casing



Inclinometer Casing

inclinometer casing is a special purpose, grooved pipe used in inclinometer installations. It is typically installed in boreholes, but can also be embedded in fills, cast into concrete, or attached to structures.

incilnometer casing provides access for the incilnometer probe, allowing it to obtain subsurface measurements. Grooves inside the casing control the orientation of the probe and provide a surface from which repeatable tilt measurements can be obtained.

Choosing Inclinomater Casing

Aithough Slope indicator casing is competitively priced, price should never be the deciding factor in choosing inclinometer casing. The cost of casing is quite small relative to the cost of mobilizing a drill rig, and very small relative to the cost of a falled installation.

This page summarizes the most important factors to consider when choosing casing.

Casing Diameter

Casing is designed to deform with movement of the adjacent ground or structure. The useful life of the casing ends when continued movement of the ground pinches or shears the casing, preventing passage of the inclinemeter probe. Larger diameter casing generally provides longer life.

85mm (3.34") Casing is suitable for landslides and long term monitoring. It is also appropriate for monitoring multiple shear zones or very narrow shear zones, and it is required for the horizontal Digitlit inclinometer probe.

70mm (2.75") Casing is suitable for construction projects, it can also be used for slope stability monitoring when only a moderate degree of deformation is anticipated.

48mm (1.9") Casing is suitable for applications where small deformations are distributed over broad zones. It is generally not installed in soils.

Casing Grooves

Measurement accuracy is directly influenced by the quality of casing grooves. Slope indicator optimizes casing grooves for the wheels of the Digitiit inclinometer probe, providing a flat surface for the wheels and also the extra width needed when the probe must pass through cross-axis curvature. Groove spiral is also tightly controlled.

Casing Strength

in borehole Installations, the annular space around the casing is usually backfilled with grout. The grouting process can generate pressure high enough to cause the casing to collapse. In deep installations, the pressure of grout must be controlled by stage grouting, but in other cases, the casing must be strong enough to withstand the normal pressure of grouting. Slope indicator uses thickwalled pipe and carefully controls the depth of the grooves.

Sealable Couplings

if casing joints are not adequately sealed, grout can force its way into the casing and later prevent the probe from reaching its intended depth.

Siope indicator offers several types of couplings and casings, all of which can be sealed easily and consistently. Our newest designs feature O-ring seals, and our older designs feature tight-fitting surfaces that are fused together with solvent cement.

Assembly

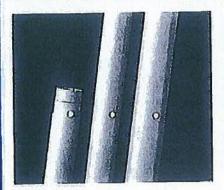
inclinometer casing should be easy to assemble, even with an untrained crew. Slope indicator's QC casing, which snaps together, is the current leader in quick and easy assembly. Other types of casing are assembled with shear wires or with solvent cement.

Casing Materials

Siope indicator uses only ABS plastic for its casing for several reasons. ABS plastic retains its shape and flexibility over a wider range of temperatures than PVC plastic. ABS plastic is much easier to handle and seal than fiberglass casing. Finally, ABS plastic is suitable for long term contact with all types of soils, grouts, and ground water, unlike aluminum casing, which is no longer recommended for any application.

Installation information

Visit the technical support section at www.slopelindicator.com to find recommended grout mixes, ways to counter casing buoyancy, and notes on other installation issues.



OC CASING

QC (Quick Connect) casing features snaptogether convenience and strong, flusis joints.

Grooves: Grooves are machine broached for excellent control of width, chamfer, depth, straightness, and spiral.

Sealing: O-ring seals prevent entry of grout.

Coupling: Built-in couplings snap together to make a flush joint. Unique locking mechanism engages full inner circumference of casing, providing much stronger joints than other snap-type casings.

Assembly: Press casing sections together until joint snaps closed. The resulting joint is strong, flush, and grout-proof. Solvent cement, rivets, or tape are not required. O-ring jubricant is applied at factory. Extra O-rings and jubricant are supplied with each box of casing.

Best for: General use.

QC Casing 85mm · 3.34"

Casing OD: 85 mm, 3.34 inches.

Casing ID: 73 mm, 2.87 inches.

Collapse Rating: 12.4 bar, 180 psi.

Load Rating: 635 kg, 1400 lb.

Temp rating: -29 to 88 °C, -20 to 190 °F.

Spiral: ≤ 0.33° per 3m or 10' section.

QC Casing 70mm · 2.75"

Casing OD: 70 mm, 2.75 inches.

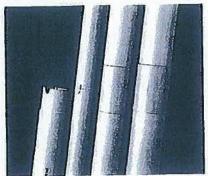
Casing ID: 59 mm, 2.32 inches.

Collapse Rating: 16.5 bar, 240 psl.

Load Rating: 635 kg, 1400 lb.

Temp rating: -29 to 88 °C, -20 to 190 °F.

Spiral: ≤ 0.33° per 3m or 10' section.



STANDARD CASING

Siope indicator's traditional inclinometer casing features high-strength, flush joints and is available in three diameters.

Grooves: Grooves are machine broached for excellent control of width, chamfer, depth, straightness, and spiral.

Sealing: Solvent cement and tape.

Coupling: Precision moided couplings have interference fit for high-strength bonding. Small diameter version has integral couplings.

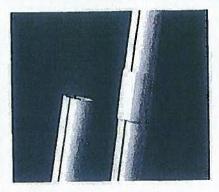
Assembly: Casing and couplings are glued together with ABS solvent cement, riveted, and wrapped with tape.

Best for: General use. The extra-strong joints are helpful in very deep boreholes and oversize boreholes in which casing is not well supported.

Standard Casing 85mm · 3.34"
Coupling OD: 89 mm, 3.51 Inches.
Casing OD: 85 mm, 3.34 Inches.
Casing ID: 73 mm, 2.87 Inches.
Collapse Rating: 10.6 bar, 155 psl.
Load Rating: 320 kg, 700 lb.
Temp rating: -29 to 88 °C, -20 to 190 °F.
Spiral: ≤ 0.33° per 3m or 10' section.

Standard Casing 70mm · 2.75"
Coupling OD: 70 mm, 2,75 inches.
Casing OD: 70 mm, 2.75 inches.
Casing ID: 59 mm, 2.32 inches.
Collapse Rating: 15 bar, 220 psi.
Load Rating: 320 kg, 700 lb.
Temp rating: -29 to 88 °C, -20 to 190 °F.
Spiral: ≤ 0.33° per 3 m or 10' section.

Standard Casing 48mm · 1.9"
Casing OD: 48 mm, 1.9 inches.
Casing ID: 38 mm, 1.5 inches.
Collapse Rating: 24 bar, 350 psi.
Load Rating: 320 kg, 700 lb.
Temp rating: -29 to 88 °C, -20 to 190 °F.
Spiral; ≤ 0.33° per 3 m or 10' section.



EPIC CASING

to seal the coupling.

EPIC casing is an economical casing that can be cut and coupled at any point along its length.

Grooves: Grooves are formed during extrusion and are less precise than broached grooves.

Sealing: Solvent cement, mastic, and tape.

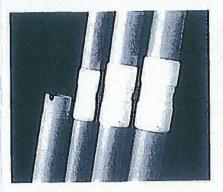
Coupling: Oversize couplings make very strong joints.

Assembly: Casing and couplings are glued

together with ABS solvent cement. The joint must then be sealed with mastic and tape. Best for: General use, Some care must he taken

EPIC Casing 70mm · 2.75" Only Coupling OD: 78 mm, 3.07 inches. Casing OD: 70 mm, 2.75 inches. Casing iD: 60 mm, 2.32 inches. Collapse Rating: 15 bar, 220 psi. Load Rating: 320 kg, 700 lb. Temp rating: -29 to 88°C, -20 to 190°F. Spiral: ≤0.5° per 3m or 10' section.

85mm (3.34")
casing accomodates the
most movement and provides the
longest Installation life. It is useful for
landslides and long-term monitoring
projects. 70mm (2.75" casing is suitable
for medium to short-term construction
projects, 48 mm (1.9") casing is
used in rock or concrete where
deformations will be
small.



CPI CASING

CPI casing features quick assembly and disassembly and is available in 3 diameters.

Grooves: Grooves are machine broached for excellent control of width, chamfer, depth, straightness, and spiral.

Sealing: O-ring seals prevent entry of grout.
Coupling: Oversize couplings and shear wires
make high strength joint.

Assembly: Apply grease to O-rings, press coupling onto casing, and insert shear wire.

Bost for: Cold weather assembly or temporary installations that involve repeated disassembly.

CPI Casing 85mm · 3.34"

Coupling OD: 94 mm, 3.7 inches.

Casing OD: 85 mm, 3.34 inches.

Casing ID: 73 mm, 2.87 inches.

Collapse Rating: 11 bar, 155 psl.

Load Rating: 635 kg, 1400 lb.

Temp rating: -29 to 88 °C, -20 to 190 °F.

Spiral: ≤ 0.33° per 3m or 10' section.

CPI Casing 70mm '2.75"

Coupling OD: 76 mm, 3 inches.

Casing OD: 70 mm, 2.75 inches.

Casing ID: 59 mm, 2.32 inches.

Collapse Rating: 15 bar, 220 psi.

Load Rating: 400 kg, 900 lb.

Temp rating: -29 to 88 °C, -20 to 190 °F.

Spiral: ≤ 0.33° per 3m or 10' section.

CPI Casing, 48mm · 1.9"

Coupling OD: 54 mm, 2.12 inches.

Casing OD: 48 mm, 1.9 inches.

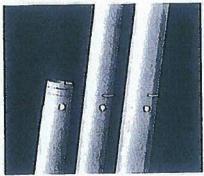
Casing ID: 38 mm, 1.5 inches.

Collapse Rating: 24 bar, 350 psi.

Load Rating: 320 kg, 900 lb.

Temp rating: -29 to 88 °C, -20 to 190 °F.

Spiral: ≤ 0.33° per 3 m or 10' section.



SHEAR-WIRE CASING

Shear-Wire casing features flush joints that can be assembled easily in cold weather.

Grooves: Grooves are machine broached for excellent control of width, chamfer, depth, straightness, and spiral.

Sealing: O-ring seals prevent entry of grout.

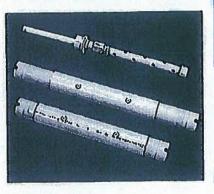
Coupling: Built-in couplings lock together with removable nylon shear wire to make flush joint.

Assembly: Press casing sections together, then insert shear wire. The result is a flush, groutproof joint. Solvent cement, rivets, and tape are not required. O-ring lubricant is applied at the factory. Extra O-rings, lubricant, and shear wires are supplied with each box of casing.

Best for: Easy assembly in weather that is too cold for solvent cement or snap-together joints. Generally used in water-filled boreholes.

Shear Wire Casing 85mm · 3.34"
Casing OD: 85 mm, 3.34 inches.
Casing ID: 73 mm, 2.87 inches.
Collapse Rating: 12.4 bar, 180 psl.
Load Rating: 225 kg, 500 lb.
Temp rating: -29 to 88 °C, -20 to 190 °F.
Spiral: ≤ 0.33° per 3m or 10' section.

Shear Wire Casing 70mm · 2.75 in Casing OD: 70 mm, 2.75 inches.
Casing ID: 59 mm, 2.32 inches.
Collapse Rating: 16.5 bar, 240 psl.
Load Rating: 225 kg, 500 lb.
Temp rating: -29 to 88 °C, -20 to 190 °F.
Spiral: ≤ 0.33° per 3m or 10' section.



GROUT VALVES

Grout valves allow placement of grout backfill in horeholes that cannot accommodate an external grout pipe. The one-way valve is installed in the hottom section of casing. A grout pipe is lowered through the casing to mate with the grout valve and deliver the grout.

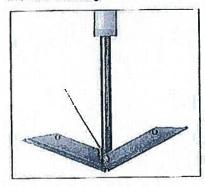
TELESCOPING SECTIONS

Optional telescoping sections accommodate 150 mm (6 inches) of compression or extension. Fully extended, each telescoping section adds 0.76 m (2.5 feet) of length to the casing

CASING ANCHORS

in its fluid state, grout exerts an uplift force that can push even water-flilled casing out of the borehole. Holding the casing down from the top has unfortunate side-effects: the casing goes into compression and snakes from side to side in the borehole. Thus casing curvature is present from the start, and slight variations in the positioning of the probe are more likely to produce reading errors..

The casing anchor, installed in place of the bottom cap, provides a convenient way to counter casing buoyancy and reduces casing curvature, since the casing self-centers in the boreliole. The anchor has spring loaded arms that are activated when a pin is pulled. Anchors are available for 70 mm and 85 mm casing.

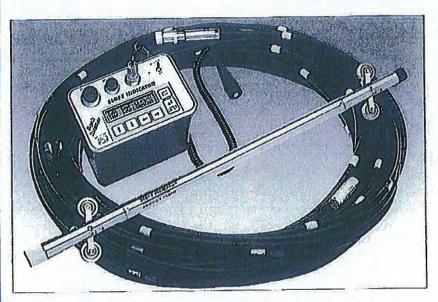


9		
QC CASING 85MM · 3.34" Casing Section, 10' (3.05 m)	EPIC CASING 70mm · 2.75" Casing Section, 10' (3.05 m)	SHEAR WIRE CASING 85mm · 3.34" 10' (3.05 m) Casing Section
Coupling 51100200 Cap, Bottom, Heavy Duly 51100520 Grout Valve, Gasket Type 51100830 Cap 51100500 Cap, Locking 51100550 Pop Rivet AD44H 51103301 STANDARD CASING 70mm · 2.75" 2.75" Casing Section, 10' (3.05 m) 51101100 Casing Section, 5' (1.52 m) 51101105 Telescoping Section 51107400 Coupling 51101200 Cap, Bottom, Heavy Duly 51101520 Grout Valve, Gasket Type 51100820 Cap 51101500 Locking Cap with Padlock 51101550	Cap with Shear Wire	Duct Tape
Pop Rivet AD42H 51003303 SYANDARD CASING 48mm · 1.9" Casing Section, 5' (1.52 m) 51102305 Cap 51102500 Locking Cap with Padlock 51102550 Grout Valve, Gasket Type 51104000		

Durham Geo Slope Indicator, 12123 Harbour Reach Drive, Mukilteo, WA, 98275 USA Tel: 425-493-6200 Tel: 866-916-0541 Fax: 425-493-6250 Email: solutions@slope.com



Digitilt Inclinometer Probe



Applications

Digitilit[®] inclinometers are used to monitor subsurface movements of earth in landslide areas and deep excavations. They are also used to monitor deformations in structures such as dams and embankments.

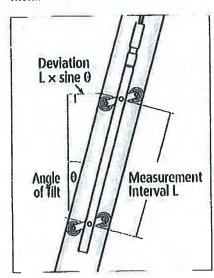
Operation

inclinometer casing is typically installed in a vertical borehole that passes through suspected zones of movement into stable ground. The Digitili inclinometer probe, control cable, pulley assembly, and readout are used to survey the casing. The first survey establishes the initial profile of the casing. Subsequent surveys reveal changes in the profile if ground movement occurs.

During a survey, the probe is drawn upwards from the bottom of the casing to the top, halted in its travel at 0.5 m or 2' intervals for tilt readings. The inclination of the probe body is measured by two force-balanced, servo-accelerometers. One accelerometer measures tilt in the plane of the inclinometer wheels, which track the longitudinal grooves of the casing. The other accelerometer measures tilt in the plane perpendicular to the wheels.

inclination measurements are converted to lateral deviations, as shown in the drawing below. Changes in deviation, determined by comparing current and initial surveys, indicate ground movement.

Plotting changes in deviation yields a high resolution displacement profile. Displacement profiles are useful for determining the magnitude, depth, direction, and rate of ground movement.



Advantages

Proven Performance: Digitilit inclinometer probes have earned a world-wide reputation for durability, high precision, and rapid response.

Repeatable Tracking: To ensure consistent tracking in all types of casing, the probe is equipped with robust wheel carriages, sealed wheel bearings, and specially designed wheels.

Extended installation Life: The compact size of the Digitilt probe allows it to pass through small radius curves, extending the useful life of the installation beyond that provided by other inclinometer probes.

Computerized Testing: Each probe undergoes thorough testing on a computerized calibration table.

Reliable Control Cable: Digitilit control cable is durable and easy to handle, stays flexible in cold weather, resists chemicals and abrasion, and provides excellent dimensional stability. Flexible rubber depth marks are permanently vulcanized to the cable jacket. The marks cannot loosen and have no rigid edges that can damage the cable jacket and conductors.

Consistent Depth Control: The pulley assembly, a recommended accessory, helps the operator achieve uniform depth control. The one-way action of its cable clamp ensures consistent positioning of the probe.

Complete Solutions: Slope indicator's inclinometer system includes high-quality casing, vertical and horizontal traversing probes, vertical and horizontal in-place sensors, recording readouts, graphing software, and specialized accessories.

GEOTECHNICAL & STRUCTURAL INSTRUMENTATION

DIGITILT INCLINOMETER PROBE

Metric-Unit Probe50302510
English-Unit Probe50302500

Digitilt inclinometer probe includes a carrying case and instruction manual. Control cable, pulley, and reaclout are not included.

METRIC PROBE SPECIFICATIONS

Wheel base: 500 mm.
Range: ±53° from vertical.
Resolution: 0.02 mm per 500 mm.
Repeatability: ±0.01% FS.
Calibration: 14 point calibration with NIST traceable calibration device.
Temperature Rating: -20 to +50 °C.
Dimensions: 25.4 x 653 mm. Control cable connector adds 92 mm to length of probe.
Weight: 1.8 kg.
Material: Stainless steel.

ENGLISH PROBE SPECIFICATIONS

Wheel base: 24".
Range: ±35° from vertical.
Resolution: 0.0012 inch per 24 inches.
Repeatability: ±0.01%FS.
Calibration: 14 point calibration with NIST traceable calibration device.
Temperature Rating: -4 to +122 °F.
Dimensions: 1 x 30". Control cable connector adds 3.75"to length of probe.
Weight: 4 lb.

Material: Stainless steel.

ACCURACY SPECIFICATIONS

Metric Systems: ±0.25 mm per reading and ±6 mm per 50 readings.

English Systems: ±0.01 inch per reading and ±0.3 inch per 50 readings.

These system accuracy specifications were derived empirically from the analysis of a large number of surveys and include both random and systematic errors introduced by casing, probe, cable, readout, and operator. Casing was installed within 3 degrees of vertical, and operators followed recommended reading practices.

When corrections for systematic error are made, the remaining error is random. It accumulates with the square root of the number of readings. Thus the best precision obtainable with a metric system is approximately ±1.4 mm per fifty readings, and the best precision of an English unit system is approximately ±0.05 inch per fifty readings.

CONTROL CABLE

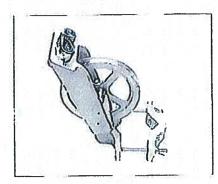
connectors.

30m Control Cable, Complete ... 50601030
50m Control Cable, Complete ... 50601050
100m Control Cable, Complete ... 50601002
150 ft Control Cable, Complete ... 50601003
300 ft Control Cable, Complete ... 50601003
300 ft Control Cable, Complete ... 50601004
Metric Cable, Custom Length ... 50601010
English Cable, Custom Length ... 50601000
Connector for Readout 50301800
Connector for Probe 50303100
Control cables listed as complete are standard lengths of cable and include connectors. If you order a custom length cable, you must also order

Control cable is supplied with no splices or surface defects and has a rated strength of 480 lb and a working strength of 120 ib.

Metric cable is graduated with yellow 0.5-meter marks and red 1-meter marks. English cable is graduated with yellow 2-foot marks and red 10-foot marks.

Cable has a steel core whe to control stretching, a diacron torsion braid to counter cable torque and eliminate slipping of cable jacket relative to the steel core, and depth marks that are molded onto the cable jacket. The Santoprene cable jacket resists chemicals and abrasions and stays flexible in cold temperatures.



PULLEY ASSEMBLY

Small Pulley	51104604
Large Pulley	51104606
Pulley assembly clamps onto top	of casing to
help operator control depth of pr	obe. Cable
clamp serves as reference for der	
Clamp Is made of carbon-fiber ar	nd does not
freeze in cold weather. Removab	le pulley wheel
facilitates insertion of probe into	casing.
11	

Use small pulley with 48 or 70 mm (1.9 or 2.75") casing, Use large pulley with 70 or 85mm (2.75 or 3.34") casing,

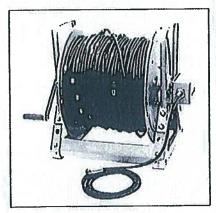
READOUTS

Digitilt DataMate II................50310900
The Digitilt DataMate II is a recording readout.
The Digitilt 09 is a manual readout. See separate data sheets for details.

DUMMY PROBE

Metric Wheel Base
English Wheel Base50304800
Reel & Line for Dummy Probe50304900
Dummy probe is used to test for casing continu-
Ity, groove continuity, and obstructions or severe
distortions of casing that could hinder retrieval
of Digitift probe and control cable. Dummy probe
is stainless steel and has dimensions and wheels
Identical to those of Digitilt probe.

Reel with 60 m (200') of nylon line is used to lower and retrieve dummy probe.



SLIP-RING REEL

200 m (650') capacity	50503100
300 m (1150') capacity	50503300
Slip-ring cable reel allows the	readout to remain
connected while the reel is op	erated, includes
lumner cable to connect reel t	o readout.

STORAGE REEL

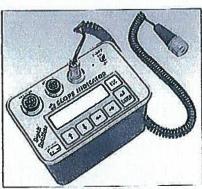
30m (100') capacity50502030
70 m (230') capacity 50502050
100 m (360') capacity 50502110
Sturdy storage reel with large diameter hub
keeps cable neat when not in use.

Note: The use of reels is optional. Cable can also be stored in a figure-8 or using the over-under method of coiling cable, as presented in the manual. If you choose to use a reel, be sure that the hub of the reel has a diameter of eight inches or larger (as do the reels above). Power reels should be sixteen inches or larger.

Durham Geo Slope Indicator, 12123 Harbour Reach Drive, Mukilteo, WA, 98275 USA Tel: 425-493-6200 Tel: 866-916-0541 Fax: 425-493-6250 Email: solutions@slope.com



Digitilt DataMate II



Simple to operate, the compact Digitili DataMate runs 16 hours on one charge, stores up to 320 surveys, and transfers data to a PC for processing.

The Digitiit DataMate II

The DataMate records data from Inclinometer probes, tiltmeters, and spiral sensors. It stores up to 320 complete inclinometer surveys and can power a Digitili Inclinometer probe for 16 hours.

The DataMate it is compatible with the original DataMate but features updated electronics for faster operation, increased memory capacity, and a USB port for data transfers

The DataMate is designed for hard use in difficult environments. It has a bright, backlit display that is visible under all lighting conditions. The box is splashproof and sealed against humidity. In addition, all connectors are located on the top of the box, away from contact with mud, water, or snow.

Recording Surveys

The Digitilt DataMate stores a list of inclinometer installations in memory, so to begin a survey, the operator selects an installation from the list.

The DataMate then displays the starting depth for that installation, and the operator positions the probe at that depth.

The display shows the depth, the A-axis reading, and the B-axis reading. When both readings are stable, the DataMate displays a "ready" signal. The operator then records the reading, using the hand switch or the key-

pad. The DataMate beeps confirmation and then displays the next depth. The operator raises the probe to this depth, walts for the ready signal, and then records the readings, repeating these steps until the probe reaches the top of the casing. The DataMate then prompts the operator to rotate the probe 180 degrees and begin the second pass through the casing.

The operator can correct a mistake at any time by simply scrolling through the data to any depth, repositioning the probe, and continuing the survey from that point.

Validating Surveys

The DataMate provides checksum statistics to help the operator validate the survey. By comparing the mean and standard deviation of checksums for the current survey with those of previous surveys, the operator can be confident that the data are good.

The DataMate provides routines to help the operator identify questionable readings, which can then be corrected by repositioning the probe. The DataMate displays "live" and recorded readings side by side for comparison, and the operator can overwrite the recorded reading with the live reading, if appropriate.



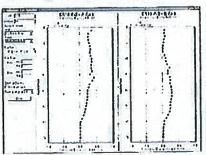
Convenient hand switch reduces fatigue and lets you keep the DataMate clear of the work area.

Rotrieving Survoys

Returning to the office, the operator connects the DataMate to a PC, and then runs the DataMate Manager program. The manager program retrieves the recorded surveys and stores them in a database for easy access.

Processing Surveys

Siope indicator inclinometer software eliminates repetitive work, ensures that calculations are performed accurately, and dramatically reduces the time required to process data.



DMM for Windows software lets you retrieve surveys and produce reports containing readings and graphics.

The DataMate Manager program is included with the DataMate. It can can print reports containing inclinometer readings, checksum statistics, and simple graphs. It also provides routines for settlement correction, spiral data set expansion, and bias shift analysis.

DigiPro for Windows is an optional graphing program that provides additional types of graphs, including some diagnostic plots, and a number of sophisticated correction routines. A trial version is available for download from the Slope indicator web site.

DIGITILT DATAMATE II READOUT

Sensor Compatibility: English and metric versions of vertical and horizontal Digitilt inclinometer probes, tilimeters, and spiral sensors.

Displayed Units: Metric Indicator displays readings as 25000 x the sine of the angle of tilt.
English indicator displays readings as 20000 x the sine of the angle of tilt.

Survey Types: 2-pass survey for Inclinometer probes; 4-pass survey for spiral sensors.

Memory Capacity: Stores 160 installations and nominally 320 surveys of 100 depths each (a total of 32000 depths allocated to any number of surveys up to a maximum of 320).

Maximum Survey Depth: 500m or 2000 feet.

Reading Intervals: Fixed Intervals. Minimum Interval is 0.5 m with metric probe or 1 foot with English-unit probes.

Menti-Selected Functions

Record: Prompts operator with starting depth. Displays A and B axis readings. Displays ready signal when readings are stable. Displays next depth after readings are recorded.

Manual Read: Allows use of DataMate when memory is full or depth display is not required.

Validate: Calculates checksum statistics.

Correct: Allows user to correct mistakes.

Compare: Calculates a single value for cumulative deviation or cumulative displacement.

Comm: For communication with PC.

Print: Outputs ASCII data to a terminal program running on a non-DOS/Windows computer.

Operating Time: 16 hours @ 20°C (68°F) of continuous power to probe. Backup battery preserves data for six months.

Temperature Rating: -20 to 50°C (-4 to 122°F). Display: 20 x 2 backlit LCD rated for extended temperatures.

Battery: 6 volt, 6 Ah, gelled electrolyte, leadacid battery. Recharges to 80% capacity in 16 hours using the included charger.

Case: Splashproof, non-submersible, aluminum case with plastic shell. Connectors are water-proof when capped or in use.

Dimensions: $127 \times 178 \times 178 \text{ mm} (5 \times 7 \times 7^{\circ})$. Weight: 3 kg (6.5 lb).

DMM FOR WINDOWS

System Requirements: Windows computer with USB port.

Data Retrieval: DMM communicates with DataMate through a USB connection.

Data Storage: Surveys retrieved from DataMate are stored in an MDB database. DMM supports drag-and-drop operations between databases and provides easy functions for editing, renaming, moving, and archiving installations and surveys. Surveys retrieved from the DataMate can also be saved as ASCII files.

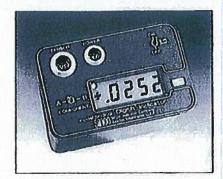
Data Manipulation: DMM provides a settlement correction routine and a spiral set expansion routine. Both routines generate new surveys.

Import Capabilities: DMM imports legacy data from Slope indicator's previous formats and from GTILT®. The program also allows manual entry of data.

Report Capabilities: DMM prints inclinometer readings with checksums, compares two surveys (typically current vs initial) to generate A and B-axis graphs of cumulative displacement. The program generates graphs of cumulative deviation. Graphs are displayed on screen and can be printed in a report. Reports can also include checksum statistics, blas-shift analysis tables, and tabular data in digi units (differences and changes).

DIGIPRO SOFTWARE

ſ	DigiPro Trial	Free Download
C	DigiPro, 1-User License .	50310001
C	DigiPro, 3.User License .	50310000
t	DigiPro, 12-User License	50310002
0 5	DigiPro software processes ter data recorded by the Dig out. It creates ligh-resolutle supports advanced routines correcting systematic error. Included with the Digitlit Da	and plots inclinome- pitilt DataMate read- on graphs and for identifying and DigiPro is not
000000000000000000000000000000000000000	DigiPro, 12-User License DigiPro software processes ter data recorded by the Dig out. It creates liigh-resoluti supports advanced routines correcting systematic error.	503100 and plots inclinom pitilt DataMate read on graphs and for klentifying and DigiPro is not



DIGITILT 09 INDICATOR

charge is included. Please specify 100, 115, 220, or 240 volt and 50 or 6011z.

Compatibility: Digitilt inclinometer probes, Digitilt tiltmeters, and spiral sensors.

Displayed Units: Metric indicator displays readings as 2.5 x the sine of the angle of tilt. English indicator displays readings as 2 x the sine of the angle of tilt.

Readings can be entered into the DMM for Windows database and graphed with DigiPro for Windows. If you chose to do this, write down readings without the displayed decimal point and enter the readings as integers.

Resolution: Metric Indicator provides resolution of 1 in 25,000. English indicator provides resolution of 1 in 20,000.

Display: Large, backlit 4.5 digit LCD with heater for cold weather operation.

Battery: Rechargeable 6 volt, 6 Ah gelled electrolyte, lead-acid battery. Battery life is 12 hours with fully changed battery. LCD heater reduces operating time up to 50% when temperature is below 5° C (40° F).

Temperature Rating: -20 to 50°C (-4 to 122°F). Dimonsions: $127 \times 178 \times 178 \text{ mm}$ (5 \times 7 \times 7"). Weight: 3.4 kg (7.5 lb).

Durham Geo Slope Indicator, 12123 Harbour Reach Drive, Mukliteo, WA, 98275 USA Tel: 425-493-6200 Tel: 866-916-0541 Fax: 425-493-6250 Email: solutions@slope.com



DigiPro Inclinometer Software

Applications

DigiPro software processes and plots inclinometer data recorded by the Digitilit DataMate readout. It creates high-resolution graphs and supports advanced routines for identifying and correcting systematic error.

Productivity Foatures

Easy Graphing: Choose an installation, choose the type of graph that you want, and click OK. With just three clicks, your inclinometer data is reduced and plotted. Generating the same results from spreadsheets would take hours of repetitive work.

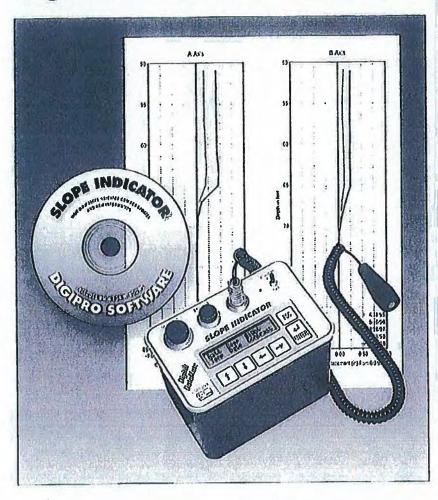
Reusable Settings: When you save a graph, DigiPro automatically stores scales labels, legends, and other settings as a "report." To process new inclinometer surveys, just click on the report. Digipro retrieves your graph settings, automatically finds the new data, and creates an updated graph.

Uniform Style and Format: DigiPro supplies standard templates that you can customize, adding your company logo, standardizing scales, etc. These customized templates will ensure that all new reports have a uniform format and style.

Technical Features

Standard Plots: DigiPro supports all of the standard types of inclinometer plots that are used to analyze movements and deformations of soil, rock, and structures. Cumulative displacement plots show movement relative to a fixed point of origin, incremental displacement plots reveal shear planes, and time plots show acceleration or deceleration of movement.

Diagnostic Plots: To help identify and evaluate errors in the data, DigiPro provides various plots that help you check for instrument drift,



evaluate the potential for depth errors, and identify errors caused by changes in cross-axis sensitivity of the probe (tilt in the B axis influencing A-axis readings and vice versa).

Advanced Corrections: DigiPro lets you correct for bias shift and changed cross-axis sensitivity. In addition, it uses spiral corrections and settlement corrections generated by DMM for Windows.

Note that correction values can be applied and removed at any time. the original data is not affected.

Automatic Conversions: DigiPro can produce metric-unit reports from English-unit data. DigiPro can also convert the depths stored with your inclinemeter data to elevations.

Data Listings: When you print a report, you can choose to print a listing of the most recent data in addition to the graph. You can also print a listing of the plotted data points or export the listing to a file for use with a spreadsheet.

DIGIPRO FEATURES

Graph Types: Graph types include cumulative and incremental displacements, cumulative and incremental deviations, displacement vs time, and checksums and difference checksums. Different types of graphs can be displayed on the same page and you can specify top or bottom reference and different scales for each graph.

Reports: When you save a graph, DigiPro automatically creates a report so you can reuse scales, labels, legends, title block, and other settings. To process new surveys, you just click on the report. DigiPro automatically retrieves your settings, finds the new data and displays an updated graph.

Templates: DigiPro's graph templates provide a way for your organization to standardize the format and presentation of inclinometer reports. DigiPro's templates are preformatted graphs of various types that include title blocks with your company logo, standard scales, text, etc.

Data Listings: When you print a report, you can choose to print a listing of the most recent survey. You can also print a listing of the plotted data points.

GRAPH CONTROLS

Strivey Selection: The total number of surveys (datasets) per graph is limited only by memory and legihility requirements. You can mark one survey as the initial, and you can control the number of most recent surveys that will be auto-selected for the graph. You can mark other surveys as permanently selected or permanently excluded from the graph (unmarked surveys are also excluded).

Data Units: You can choose millimeters, meters, inches, or feet for displacements and deviations. DigiPro can convert English-unit data to metric and vice versa.

Depth Units: You can choose depths or elevations and meters or feet for depth unit labels. DigiPro automatically converts units as required.

Corrections: Correction values are stored separately from data and do not affect stored data. The application of the various corrections can be toggled on and off. DigiPro supports corrections for spiralled casing and misaligned casing. Spiral correction requires a spiral dataset obtained with a spiral sensor. DigiPro supports corrections for systematic errors caused by blas shifts or changes in cross-axis sensitivity. Corrections for settlement are calculated by DMM for Windows and plotted by DigiPro as normal datasets.

Page Layout: Paper size, paper orientation, margins, graph size and placement have default settings that can be changed for individual reports. The layout of the default templates provides two graphs on the page with a title block at the bottom. You can specify A-axis or B-axis and top or hottom reference. Each report holds two graphs, and you can specify different types of graphs in the same report.

Graph Labels: Labels are supplied automatically or you can enter your own. You can change fonts and font sizes. Dataset identifiers can be toggled between date-only and date-and-time. The dataset legend can be placed in any corner of the graph.

Title Block and Logo: The title block provides two columns of four lines each to enter information about the graph. You can change fonts and font sizes for the text. You can also include your company name and logo. The logo must be a bitmap (.bmp) file

DATA COMPATIBILITY

Project Database: DigiPro works with data stored in Slope indicator's project database format created by DMM for Windows or DMM for DOS. The DMM program can be downloaded free from Slope Indicator's website.

Legacy Data: TDMM imports legacy data from Slope indicator's previous formats (PCSLIN, RPP, and DOS DMM) and from the GTILT program. DMM also provides a means of entering data manually.

SYSTEM REQUIREMENTS

Computer Requirements: DigiPro for Windows requires a Windows 95, 98, ME, NT4, 2000, or XP computer. The program does not run on Windows 3.x or DOS. A display resolution of 800 x 600 or higher is recommended, and a mouse or similar pointing device is required. DigiPro prints on any printer supported by Windows.

Network information: Project databases can be stored on network file servers, but DigiPro itself must be installed on client computers (individual work stations).

Copy Protection: The program is copy protected, but a run-limited version can be installed and used immediately for 45 sessions. The user must then contact Slope Indicator by fax, phone, or e-mail to obtain an unlocking code that permits continued use of the program.

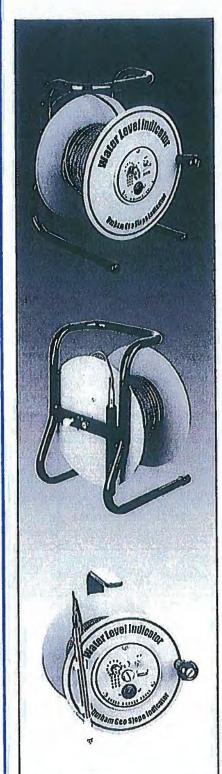
DIGIPRO PART NUMBERS

license.

Durham Geo Slope Indicator, 12123 Harbour Reach Drive, Mukilteo, WA, 98275 USA Tel: 425-493-6200 Tel: 866-916-0541 Fax: 425-493-6250 Email: solutions@slope.com



Water Level Indicator



Application

Water level indicators are used to monitor water levels in standpipes and wells.

Operation

The indicator consists of a probe, a cable with laser-marked graduations, and a cable reel. The hub of the cable reel contains batteries, electronics, a bright LED lamp, and a beeper.

The operator lowers the probe into the standpipe or well. When the probe contacts the surface of the water, the LED illuminates and the beeper sounds.

The operator then reads the depthto-water measurement from graduations on the cable.

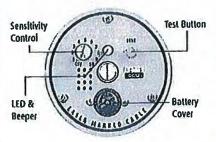
Indicator Controls

Sensitivity Control provides consistent results in different well and water conditions and helps eliminates false triggering.

LED and beeper provide a positive indication of contact with water.

Test button checks the batteries, beeper, and LED.

Battery Cover provides easy access to two AA batteries. Low-power circults provide excellent battery life.



Indicator Controls

Advantages

Convenient Cable is easy to handle and winds up neatly on the reel. Steel conductors provide strength and excellent dimensional stability.

Laser-Marked Graduations are as durable as the cable itself. English-unit and metric-unit graduations are available.

Small Probe fits into most standpipes and wells. Weight can be attached to the probe tip.

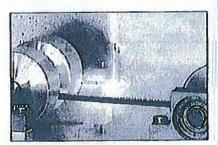
Sturdy Reel is built for years of daily use, it features bronze bearings and aluminum plate sides.

The smallest reel has a handle and clips to hold the probe. Larger reels are equipped with a stand made of strong steel tubing, a probe holder, and a reel brake.

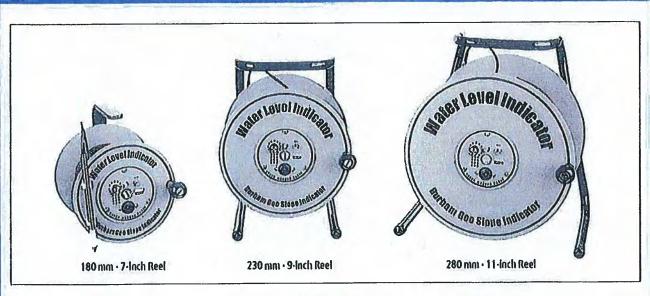
About Laser-Marked Cable

The photograph below shows a water level indicator cable being drawn through the laser marking machine.

The machine directs a laser beam at the cable jacket. The jacket changes color where the beam strikes, and resulting marks and characters become a permanent part of the cable jacket.



Laser Marking Machine



SPECIFICATIONS

Reel Diameter: 180, 230, 280 mm (7, 9, 11"). Reel Construction: Heavy-gage aluninum plate sides, PVC spool, rotating knob. The smallest reel has an aluminum handle, but no stand. The larger two reels have steel stands.

Control Panel: Sensitivity adjustment, LED, beeper, test switch, and battery holder.

Batteries: Two 1.5 v alkaline AA cells.

Probe Size: 10 x 170 mm (3/8" x 6.6").

Probe Construction: Stainless steel body and tip, polyethylene insulator.

Cable Construction: 3.2 mm (1/8") diameter polyurethane Jacket with two copper-clad, steel conductors inside. Jacket can be cleaned with laboratory grade detergent, such as Alconox® or Liquinox®.

Metric Graduations: Centimeters are marked and labelled. Numbers in the label serve as 2 mm graduations, as shown below.

910500	U5011050210	5031 05041 050
1	4	4
5 m	5.02 m	5.046 m

English Graduations: English-unit cables have 0.01 foot graduations with labels at 0.1 foot and 1 foot intervals, as shown below.

A	A
18.1 ft	18.18 ft
	Ą

METRIC-UNIT INDICATORS

Cablo	Reel	Weight	Part Humber
30 m	180 mm	1.6 kg	51690303
30 m	230 mm	2.3 kg	51690300
50 m	180 mm	1.8 kg	51690304
50 m	230 mm	2.5 kg	51690305
100 m	230 mm	3.4 kg	51690310
150 m	280 mm	4.7 kg	51690315
200 m	280 mm	5 kg	51690320
300 nı	280 mm	7.7 kg	51690330

ENGLISH-UNIT INDICATORS

Cable	Reel	Weight	Part Rumber
100'	7"	3.5 lb	51690010
100'	9"	5 lb	51690012
150'	7"	41b	51690014
150'	9"	5,5 10	51690015
300'	9"	7.5 lb	51690030
500'	11"	11 lb	51690050
1000'	11 st	17 lb	51690100

PADDED NYLON CARRYING CASE

Case for 230mm 9" Reel.......51671009
Case for 280mm (11") Reel.....51671000
Padded nylon carrying case has strap and zipper closure.

REPLACEMENT PARTS

Replacement parts are listed on the Slope indicator website, www.slopeindicator.com. Click on the link for Support and then the link for Water Level Indicator Parts,

Durham Geo Slope Indicator, 12123 Harbour Reach Drive, Mukilteo, WA, 98275 USA Tel: 425-493-6200 Tel: 866-916-0541 Fax: 425-493-6250 Email: solutions@slope.com

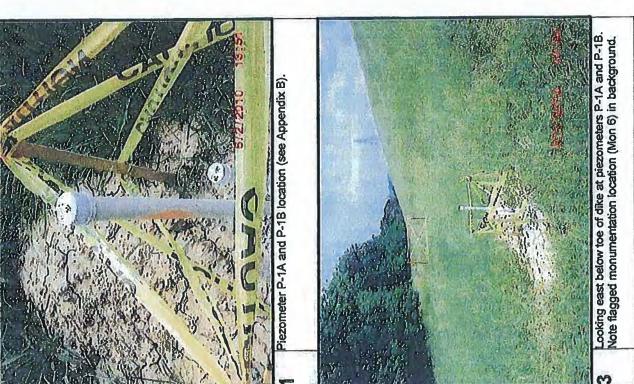


APPENDIX D

► Site Photographs

Date Taken: 6-2-10





Spuriock Power Station Ash Pond - Maysville, KY East Kentucky Power Cooperative - Winchester, KY

APPENDIX E

▶ Data Collection Sheets

Inclinometer Data Collection Sheet Spurlock Power Station

SIG ID:		_By:	Calibration Factor:	20,000
Depth, ft.	North		Sou	lh .
Service of the servic	Ao	Во	Ao	Во
			TO LEAD TO THE PARTY OF THE PAR	是是以於是也得到
50				
48				
46				
44				-
42				
40				
38				
36				
34				
32				
30				
28				
26		Seme Water to State		
24				
22				
20				
18				
16				
14	71,414			
12			11 10 10 10 10 10 10 10 10 10 10 10 10 1	mercania di mana
10				
8				
6				
4	47 × 9 × 1 = 1 × 1 × 2 × 2 × 2 × 2 × 2	Examina De MEL		
2	****	To the second second		

Piezometer Data Collection Sheet Spurlock Ash Pond

PIEZOMETER READINGS					
ID NO.	DATE:	DATE:	DATE:		
P-1A					
P-1B		Notes to the second			
P-2A					
P-2B					
P-3A					
P-3B					
Ву:					

PIEZOMETER READINGS					
ID NO.	DATE:	DATE:	DATE:		
P-1A					
P-1B					
P-2A					
P-2B					
P-3A					
P-3B					
By:					

Note: Distance measured from top of pipe.
Where, P-1A is 1.3' above ground surface
P-1B is 2.6' "

P-2A is 1.0' "
P-2B is 2.2' "
P-3A is 0.8' "
P-3B is 1.5' "

Surface Monument Data Collection Sheet Spurlock Ash Pond

Recorded By:_____

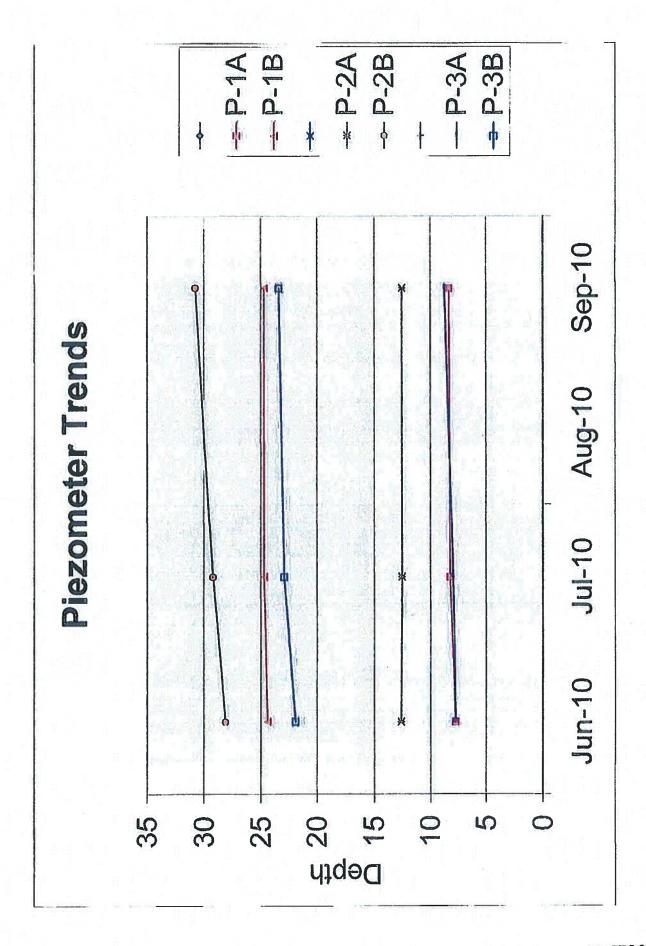
Date:_____

MON 6

Time:	A			
SE	TTLEMENT MONUI	MENT COORDINAT	res	
MONUMENT NO.	NORTHING	EASTING	ELEVATION	
MON 1				
MON 2				
MON 3		40		
MON 4				
MON 5				
MON 8	*			
Date: Time: SE		Recorded By MENT COORDINAT	ne special and the second second second	
MONUMENT NO.	NORTHING	EASTING	ELEVATION	
MON 1				
MON 2	1			
MON 3	ji		1 - 1 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 -	
MON 4				
MON 5		H-Photogram and the second		

Monumentation Readings Spurlock Ash Pond Updated: September 2010

- West and	W		- AND REPORT OF THE PARTY OF TH		-	
HORIZONTAL	N 03°5746" W	\$	N 00°21'08" E	N 00°42'54" E	S 74°45'13" E	S 59°37'45" W
HORIZONTAL CHANGE (FT)	0.019	0.000	0.030	0.030	0.004	0.008
VERTICAL. CHANGE (FT)	-0.035	-0.015	-0.015	0.005	0.000	0.000
EASTING ELEVATION MONUMENT#-DATE	MON 1-5/12/2010 MON 1-9/20/2010	MON 2-5/12/2010 MON 2-9/20/2010	MON 3- 5/12/2010 MON 3- 9/20/2010	MON 4-5/12/2010 MON 4-9/20/2010	MON 5-5/12/2010 MON 5-9/20/2010	MON 6-5/12/2010 MON 6-9/20/2010
ELEVATION	503.21 503.17	505.63 505.61	506.53	506.29	505.60	508.22
EASTING	1770088.11 1770088.11	1769316.60 1769316.60	1768703.08 1768703.08	1768194.40 1768194.40	1767581.18	1767039.54 1767039.53
Pt# NORTHING	437209.52 437209.54	437589.16 437589.16	437801.12	437934.64	438062.15	438160.41 438160.41
#	- 22	80	83 3	4 42	25 5	9 9 9





CERTIFICATE OF LIABILITY INSURANCE

DATE (MADDITYYY)
1/11/2011

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

important: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in the policy certain policies may require an endorsement.

PRODUCER	GONTAGT NAME:	
Van Gilder Insurance 1515 Wynkoop, Suite 200	(A/C, No. Ext):	
Denver CO 80202	ADDRESS:	
	PRODUCER CUSTOMER ID #:	
	INSURER(S) AFFORDING COVERAGE	HAICA
INSURED Utility Telecom Consulting	WSURERA: Hartford Insurance Company	0
Group Inc.	WAURERB: ACE American Insurance Company	
1554 St. Paul Street	INSUAER C:	
Denver CO 80206	INSURER D (
	UNSURER E :	
	UNSURER F 1	
COVERAGES CEPTIEICATE MIN	IDDD: 1004610000	

COVERAGES

CERTIFICATE NUMBER: 1624619903

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY
PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO
WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HERRIN IS SUBJECT
TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REQUIRED BY ADDICAL AND

쀎		AODL SUBR		MANAGYATA	POLICY EXP	LIMI	18
A	X COMMERCIAL GENERAL LIABILITY	Y	348BARU8649	5/12/2010	5/12/2011	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence)	\$2,000,000
1	CLAIMS-MADE X OCCUR			1		MED EXP (Any one person)	\$10,000
- (Y			PERSONAL & ADVINJURY	\$2,000,000
, S				1		GENERAL AGGREGATE	\$4,000,000
19	GENL AGGREGATE LIMIT APPLIES PER:					PRODUCTS - COMPIOP AGG	\$4,000,000
A	AUTOMOBILE LIABILITY		348BAEU8649	5/12/2010	5/12/2011	COMBINED SINGLE LIMIT (Es accident)	\$2,000,000
	ANY AUTO					BDDILY INJURY (Per person)	\$
	ALL OWNED AUTOS		Į.			BODILY INJURY (Per socident)	\$
	X HIRED AUTOS					PROPERTY DAMAGE (Per socident)	8
	X NON-OWNED AUTOS						\$
17.9		1 3				and a second	\$
A	X UMBRELLA LIAB X OCCUR		348BABU8649	5/12/2010	5/12/2011	EACH OCCURRENCE	\$3,000,000
	EXCESS LIAB CLAIMS-MADE					AGGREGATE	\$3,000,000
	OEDUCTIBLE					AND DESCRIPTION OF THE PARTY OF	\$
	X RETENTION \$10,000						\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY		34HECGK4973	6/4/2010	6/4/2011	x YOR STATU: OTH	(1 4 (6) + 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1
	ANY PROPRIETOR/PARTNER/EXECUTIVE	N/A				EL. EACH ACCIDENT	\$1,000,000
	(Mandalory in NH)					el. Disease - ea employee	\$1,000,000
_	If yes, describe under DESCRIPTION OF OPERATIONS below					E.L. DISEASE - POLICY LIMIT	\$1,000,000
	Professional Liability Claims Hade Retro Date: 11/01/05		BONG23638071002	11/1/2010	A A A A A A	Per Claim Limit: Aggregate Retention	\$2,000,000 \$2,000,000 \$15,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES (Allach ACORO 101, Additional Remarks Schedule, If more space is required)

As required by written contract or written agreement, East Kentucky Power Cooperative, Inc. is included as Additional Insured for ongoing operations under General Liability & Automobile Liability, on a primary and non-contributory basis with respect to the above referenced. As required by written contract or written agreement, a Waiver of Subrogation in favor of the Certificate holder applies to General Liability.

CERTIFICATE HOLDER	
--------------------	--

CANGELLATION

East Kentucky Power Cooperative Attn: Manager, Business Insurance PO Box 707 Windchester KY 40392-0707 Should any of the above described policies be cancelled before the expiration date thereof, notice will be delivered in accordance with the policy provisions.

WHARK.

AUTHORIZED REPRESENTATIVE

SS CBI 000100

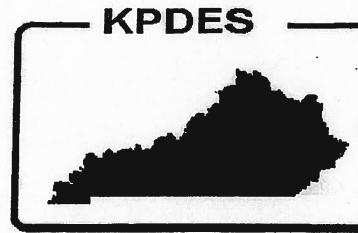
@ 1988-2009 ACORD CORPORATION. All rights reserved.

APPENDIX A

Document 4

KPDES Permit

Doc 04: KPDES Permit



KENTUCKY POLLUTANT
DISCHARGE ELIMINATION
SYSTEM

PERMIT

PERMIT NO.: KY0022250

AUTHORIZATION TO DISCHARGE UNDER THE KENTUCKY POLLUTANT DISCHARGE ELIMINATION SYSTEM

Pursuant to Authority in KRS 224,

East Kentucky Power Cooperative, Incorporated 4775 Lexington Road Winchester, Kentucky 40392-0707

is authorized to discharge from a facility located at

East Kentucky Power Cooperative, Incorporated H. L. Spurlock Power Station KY 8 near Maysville, Mason County, Kentucky

to receiving waters named

Outfalls 001, 005, and 007 discharge to the Ohio River at milepoints 567.5, 567.6, and 567.8, respectively.

Outfalls 002, 003, 004, and 006 discharge to Outfall 001.

Outfall 008 discharges to Lawrence Greek.

Outfall 009 is the plant intake.

in accordance with effluent limitations, monitoring requirements and other conditions set forth in PARTS I, II, III, IV, and V hereof. The permit consists of this cover sheet, and PART I $\underline{10}$ pages, PART II $\underline{1}$ page, PART III $\underline{1}$ page, PART IV $\underline{2}$ pages, and PART V $\underline{3}$ pages.

This permit shall become effective on NOV | 2000

This permit and the authorization to discharge shall expine at midnight, April 30, 2004.

SEP 5 2000

Date Signed

ack A. Willow, Director

pivision of Water

Robert W. Logan Commissioner

SS 000002

DEPARTMENT FOR ENVIRONMENTAL PROTECTION

Division of Water, Frankfort Office Fark, 14 Reilly Road, Frankfort, Kentucky 40601

Printed on Recycled Paper

APPENDIX A

Document 5

Dames & Moore Subsurface Exploration Program

				1.	ue ^t	
	THE DEC				H**	
		D 05 D	0.16 0.1 0			
		Doc 05: Dames	& Moore Subsurfac	ce Exploration Pro	ogram	
					*	
220						

SUBSURFACE EXPLORATION PROGRAM

FIRST UNIT SPURLOCK STATION BAST KENTUCKY POWER COOPBRATIVE

WINCHESTER, KENTUCKY KENTUCKY 59 FAYETTE

SECTION D - ASH WATER STORAGE POND

ASH WATER STORAGE POND

INTRODUCTION

This section of the report covers our preliminary study of earthwork requirements for the proposed ash water storage pond to be located in the northeastern portion of the site, adjacent to the Ohio River. The location of the ash pond in relation to the borings drilled and test pits excavated in the proposed pond area and the remaining plant facilities is shown on Plate 1, Plot Plan, in the GENERAL section of this report.

The evaluations and conclusions presented herein are based on a limited amount of information. Additional field explorations and laboratory testing will be required to confirm foundation conditions, to provide more definitive data regarding potential borrow areas, and to provide data for final design.

DESIGN CONSIDERATIONS

The ash water storage pond will cover an area of approximately 115 acres and will have a storage capacity of approximately 1,750,000 cubic yards. We understand that the predicted useful life of the pond will be about seven years.

The top of the dikes encircling the ash water storage pond will be at elevation 530.0* and will have a crest width

^{*}All elevations refer to Mean Sea Level Datum.

lished, but the final elevation will range from elevation 503.0 to 510.0 The selected bottom elevation will depend on several factors such as seepage losses, storage capacity, the cost of transporting borrow material, and land development considerations. Water elevation inside the pond will always be maintained above the design high water Ohio River flood elevation of 517.0, to prevent hydrostatic uplift forces from developing within the pond area. The maximum elevation of water in the pond will be at elevation 529.0 and normal pool will be at elevation 528.0. The maximum elevation of the ash material will be at elevation 527.5. The dry density of the ash material will vary between 45 and 80 pounds per cubic foot. An ash water transfer pump and skimming weir will be located in the western corner of the ash pond.

SITE CONDITIONS

SURFACE CONDITIONS

The site for the proposed ash water storage pond covers an area of approximately 115 acres, and is situated in the northeastern portion of the site, adjacent to the Ohio River. Vehicle access to the ash water storage pond from the plant site is by means of a unpaved trail crossing the Chesapeake and Ohio Railway track in the vicinity of Boring W-2. The Chesapeake and Ohio Railway track bounds the site to the south and southwest.

Vegetation in the proposed ash water storage pond area consists of cornfield, pastures and brush. The river

bank is scattered with trees ranging in diameter from 10 to 14 inches. Two low valleys are located within the pond area: one valley is located along the line formed by Borings AS-18, AS-21 and AS-24, and another between Borings AS-6 and AS-22. Both valleys contain trees ranging in diameter from 10 to 12 inches.

The surface topography of the proposed ash water storage pond is relatively level at about elevation 510, except in the two valleys. The lowest ground surface elevation in the valleys, as measured at specific borings drilled in these areas, is approximately at elevation 490.

The surface and near surface soils over most of the ash pond are firm. The surface and near surface soils in the vicinity of Borings AS-28, AS-30 and AS-33, along the two valleys, and along two grass and weed covered swales extending southeast from the two valleys are soft. The surface soils in these areas will not support farm equipment.

A ten inch diameter PVC drain tile was encountered near Test Pit AS-40 which extends north to the valley located at the center of the site.

SUBSURFACE CONDITIONS

General - The subsurface conditions at the ash
water storage pond location were investigated by drilling
35 exploration test borings to depths ranging from 20
feet to 100 feet and excavating 11 test pits to depths
ranging between 10 feet to 18 feet below the existing
ground surface. In addition, the permeability characteristics

of the near surface in-situ soils were investigated by performing five falling head field permeability tests. The permeability tests were performed in test pits excavated to a depth of approximately four feet below the existing ground surface. The results of the field permeability tests are presented on Plate D-6A.

Undisturbed soil samples were obtained in the borings using a Dames & Moore Type U Sampler illustrated on Plate E-2 of SECTION E of this report. Ground water level observations were made in the borings during the drilling operations and subsequently, when the water level had stabilized. Galvanized steel pipes with No. 80 screen well-points attached to the bottom of the pipes were installed in some borings for long-term water level readings.

Copies of the field borings and test pit logs were provided directly to Stanley Consultants, Inc., upon completion of each boring. Final logs of all borings and test pits with soil classification based on laboratory tests and visual examination, are presented in this section of the report. Keys to the symbols used on the Log of Borings and Log of Test Pits are presented on Plate E-l of SECTION E of this report.

Soil Conditions - The site is generally free of topsoil, but roots were encountered in some borings and test pits to depths ranging between six inches to two feet below the existing ground surface. Based on the subsurface soils encountered, the ash water storage pond area can be divided into three

zones: (1) the portion of the site represented by the two valleys, (2) the area between Borings AS-28 and AS-30, and (3) the remainder of the ash pond area.

The subsurface conditions in the valley in Borings AS-18, AS-21 and AS-24, consists of soft to medium stiff brown sandy silts containing some clay extending to depths up to about 17 feet near the Ohio River. This upper sandy silt layer gradually grades to a silty sand which extends between 13 to 17 feet below the ground surface, and disappears altogether at the location of Boring AS-24. The upper sandy silt layer encountered near the river, and the silty sand layer encountered to the southeast along the main valley are underlain, to the maximum depths explored in the borings, by medium dense brown fine to coarse gravels and medium dense fine to coarse sands.

The subsurface conditions in the pond area between Borings AS-28 and AS-30 consists of a soft to medium stiff gray silty clay to gray clayey silt to the maximum depth explored of 20 feet in these two borings.

The subsurface conditions encountered in the remainder of the site, outside of the two areas indicated above, consist of an upper stiff to hard brown or mottled gray and brown silty clay or clayey silt extending to depths of between 6 feet and 17-1/2 feet below the existing ground surface. The elevations of the bottom of the upper clay and silt layer are tabulated for all borings and test pits in the ash pond area.

BORING	AND TEST PIT NUMBER		GROUND SURFACE ELEVATION			OR SILT S	
	AS-1		511.0			502.5	
	AS-2		507.9			498.9	
	AS-3		507.3			498.3	
	AS-4		506.4			496.4	
	AS-5		506.4			500.4	
	AS-6	3	506.6			484.6	
	AS-7		506.6			498.6	
	AS-8		506.3			493.8	
	AS-9		506.9			500.9	
	AS-10		505.7			493.2	
						495.3	
	AS-11		504.3			492.8	
	AS-12		504.8			495.7	
	AS-13		506.7	7		500.5	
	AS-14		509.5			504.8	
	AS-15		510.8			496.9	
	AS-16		509.4				
	AS-17		511.0			502.0	
	AS-18*		489.4		. it /	472.4	No. 1
	AS-19		508.3			495.8	8
	AS-20		512.1			503.1	
	AS-21*		491.1			487.1	
	AS-22		510.8			501.8	
	AS23		509.0		2	497.8	
	AS-24*		494.3	No	clay	or silt e	encounter
	AS-25		510.9			493.9	
	AS-26		510.3			497.5	
	AS-27		510.7			500.7	
	AS-28**		506.0			486.0	
	AS-29		510.8			498.3	
	AS-30**		507.0			487.0	
	AS-31		512.2			501.2	
	AS-32		507.2			489.7	
	AS-33		511.9			494.9	
	AS-34		512.5		•	503.5	
	AS-35		512.8			500.0	
	AS-36		508.8			496.8	
	AS-37		510.5			499.5	
	AS-38		508.7			495.2	
	AS-39		510.5			500.5	
	AS-40		508.6		•	493.1	
	AS-41		510.3			501.3	
	AS-42		513.0	1		505.5	THE E
	AS-43		507.2			492.7	

In Valley In Soft Area

Below the upper cohesive layers, the soils outside of the valleys and the soft area consisted of sands which become coarser and progressively denser with depth and contain some silt and gravel. At depths ranging between 75 feet and 84 feet below the ground surface, and extending to the bedrock surface in Borings AS-3, AS-6, AS-9 and AS-12 (drilled along the Ohio River), the sand becomes very gravelly, with occasional cobbles.

The bedrock was encountered in the Borings AS-3, AS-6, AS-9 and AS-12 at elevations ranging between elevation 412 and 413. The bedrock was not cored in the ash storage pond area.

made during and subsequent to the drilling operations. In addition, two well point piezometers were installed to depths of 75 feet below the ground surface. An outline of the piezometer installation procedure is presented in SECTION E of this report. Ground water was observed at between elevations 484.5 and 495.7 in the ash storage pond area. Perched ground water was encountered in Borings AS-28 and AS-30 at depths of 3.5 feet and 1.5 feet below the ground surface, respectively. Perched or standing water was also present along two grass and weed covered swales extending southeast from the two valleys.

It is anticipated that the ground water table will fluctuate during the course of the year and will be directly influenced during flooding of the Ohio River.

GENERAL DISCUSSION

The results of field explorations, laboratory tests, and foundation engineering analyses indicate that the ash water storage pond site is suitable, from a foundation standpoint, for the construction of the pond and its containment dikes.

Suitable borrow materials are available within and adjacent to the pond area and, with adequate design and construction provisions, seepage losses can be minimized. These general conclusions have been developed based on the available data; further verification of the subsurface conditions will be required prior to final design of the pond.

Seepage losses from the pond have been estimated for various elevations of the bottom of the pond area. From the point of view of assuring adequate cover of natural impermeable soils throughout the pond area, a minimum bottom elevation of about elevation 506.5 is suggested. Final selection of the bottom elevation will depend on several factors including seepage losses, storage capacity, cost of transporting offsite borrow materials, and land development considerations. Economic evaluation of these factors are required prior to final design.

Subsurface soils throughout the pond site area generally have a high in-situ moisture content which will require compaction of fill materials at higher than optimum moisture contents. Excessive drying of the in-situ materials

should generally not be required to achieve desirable strength and permeability characteristics at specified densities.

Based on the available subsurface information, near surface foundation materials are expected to consist of competent silty clays and clayey silts over about 90 percent of the dike embankment length. In the remainder of the embankment length, poor foundation materials were encountered (near Borings AS-18, AS-28 and AS-30). Treatment of the dike foundation soils by excavation and compaction and/or preloading will be required in these areas to improve subgrade conditions, and reduce the anticipated long-term settlement. It is recommended that the dikes be constructed on side slopes of three horizontal to one vertical (3:1) and that a minimum distance of 50 feet be maintained from the exterior toe of the dikes to the top of the river embankment. The portion of the dike crossing a partially filled valley (Near Boring AS-18) should be constructed on side slopes of five horizontal to one vertical (5:1).

Our evaluation of the subsurface conditions with respect to borrow materials, dike foundations, stability and settlement of embankments, seepage losses and earthwork criteria are presented in the following sections.

ASH POND CONSTRUCTION

SITE PREPARATION

Site preparation will consist of clearing, stripping, cutting and excavating.

<u>Clearing</u> - It is recommended that all trees, brush and vegetation be removed within the area of the dikes and impoundment and that this material be wasted.

1:..

Stripping- It is recommended that all loose soils containing roots and disturbed by cultivation be removed from the base area of the dikes and stockpiled for future use as slope protection. Care should be taken during the stripping operations to minimize disturbance of the underlying firm materials. Outside of the base area of the dikes and inside the ash pond area, loose soils containing roots and disturbed by cultivation need not be removed but should be compacted unless these loose soils are too wet for proper compaction.

Cutting - Cutting operations will be required to attain grades for the bottom of the pond area. Additional cutting will be required in isolated areas containing loose soils which are too wet for proper compaction.

Excavations - Partial excavation of the soft soils can be accomplished to reduce post-construction settlement of the dikes located over soft compressible soils. Estimated magnitude of settlements assuming no removal of the soft soils and replacement with fill are presented in the PRELIMINARY ASH POND DESIGN DATA section. It is our opinion that excavation by means of dragline may be required as the soils in the soft areas may be too weak to support construction equipment.

Perched water was encountered at shallow depths in the soft area located between Borings AS-28 and AS-30. It is our opinion that in this area, excavations on the order of 8 to 12 feet in depth can be dewatered by means of small

pumps. In the second soft area, located in the vicinity of Boring AS-18, excavation of the soft soils would extend below the water table and dewatering would be difficult. We recommend that in this area, that if excavations extend below the water table that they be filled with clean sands or sand and gravels which can be end-dumped into place without compaction.

Recommendations regarding fill placement in the soft areas and other portions of the ash pond are presented in the following section of this report.

EARTHWORK

struction of the main portion of the dikes are available from within or adjacent to the pond area. These materials are either silty clays or clayey silts. The classification of the near-surface materials that will be encountered in the pond area has been discussed generally in a previous section.

Following the clearing and the stripping operations, the exposed surface should be graded in such a manner that good drainage is provided at all times. If necessary, the exposed surface should be sealed prior to inclement weather to prevent infiltration and/or ponding of surface water on the soils which will be subsequently used as fill materials.

On the basis of laboratory tests and visual examination of the soils, the near-surface materials available for fill within and adjacent to the ash pond (outside of the soft areas) can be divided into two basic types:

- 1 A brown silty clay containing some sand.
- 2 A brown clayey silt containing some sand.

The average and range of (1) in-situ moisture content determined from undisturbed and disturbed samples obtained in borings and test pits, (2) optimum moisture content and maximum dry density obtained from compaction tests, and (3) the plasticity index for the above two soil types are tabulated below:

SOIL TYPE	IN-SITU MOISTURE CONTENT (PERCENT) AVERAGE; RANGE	OPTIMUM MOISTURE CONTENT* (PERCENT) AVERAGE; RANGE	MAXIMUM DRY DENSITY* (pcf) AVERAGE; RANGE	PLASTICITY . INDEX (PERCENT) AVERAGE; RANGE	
1	22.6;19 to 37	14.6; (one test)	102; (one test) '	13.7;9 to 15	
2	24.9;10 to 34	14.5;13.5 to 15.6	116;114 to	13.5;7 to 17	

^{*}Based on The American Society for Testing Materials (ASTM), Compaction Test Designation D1557.

Since most of the available soils are at a moisture content above the optimum moisture content, it is not considered practical or necessary to require compaction of borrow materials to dry densities greater than 90 percent of the maximum dry density as determined by the ASTM Compaction Test Designation D1557. Compaction of the fill to 90 percent of the maximum dry density is sufficient to attain adequate fill strength, and this degree of compaction can be achieved with a reasonable reduction of the moisture content of fill materials prior to compaction.

ranging from optimum to as much as six percent above optimum is considered desirable. Placing the fill material at higher than optimum moisture content will result in a more impermeable and more flexible dike structures. The amount of drying of materials required to achieve the recommended range of moisture content should not be difficult during normal weather conditions, but may prove difficult during wet or freezing weather.

Specific recommendations pertaining to the placement and compaction of fill materials are presented in the following sections.

A limited search for off-site borrow materials was made during this study. Several local contractors in the Maysville, Kentucky Area were contacted to determine if there are local commercial areas supplying borrow clay. The contractors contacted did not know of any commercial borrow areas. However, based on the information obtained, areas with near-

surface clayey soils may be found outside of the river valley on the highlands.

A detailed study based on surface geological maps will be required coupled with an on-site survey of the available materials by means of borings and/or test pits to determine the availability of clay borrow materials near the site.

We would be pleased to conduct this study should you decide to further investigate off-site borrow sources.

Placement of Fill in Earth Dikes - Areas which are to receive fill should be prepared in accordance with the recommendations presented in the SITE PREPARATION sections of this report. It is recommended that fill materials for embankment be placed in lifts not exceeding six inches in loose thickness and that each lift be compacted to at least 90 percent of the maximum dry density as determined in accordance with ASTM Compaction Test Designation D1557. It is recommended that the fill material be compacted at moisture contents ranging from optimum to six percent above optimum. Each layer of fill should be compacted to the required density prior to the placement of the succeeding layer. If the required density is not achieved, additional compaction effort should be applied or the in-place materials should be removed and replaced with properly compacted fill soils.

Since the available soils are at a moisture above the optimum moisture content, the soils will require some degree of drying by spreading and discing to attain the

required densities. It is essential that proper procedures for spreading and drying be established at the commencement of filling operations, and that the construction schedule throughout the construction period allow for adequate drying periods prior to the placement and compaction of fill materials. Moisture conditioning cannot be accomplished during periods of wet or freezing weather. Good construction practice dictates that moisture control be achieved in the borrow area, prior to delivery of the fill soils to the embankment areas.

During inclement weather, the exposed surface of the embankments should be sealed to prevent infiltration of surface water and should be sloped or otherwise drained in order to prevent the ponding of water on the surface of the fill materials. Sealed surfaces should be scarified prior to resuming fill placement.

The exposed crests and interior and exterior slopes should be protected from erosion during construction and subsequent to construction. Recommendations pertaining to erosion control are presented in a subsequent section of this report.

Surface Treatment of Bottom of Ash Pond - It is recommended that the upper 12 inches of exposed in-situ natural cohesive soils be scarified and then compacted to at least 90 percent of the maximum dry density as determined by ASTM Compaction Test Designation D1557. In areas where the lower silty sands are exposed during the cutting operations (this

would occur if the pond bottom were established below elevation 504 and along the sides and bottom of the two valleys) a minimum of 24 inches of silty clay fill cover is recommended. Areas which are to receive fill should be prepared in accordance with the recommendations presented in the SITE PREPARATION section of this report. It is mecommended that fill materials for the bottom of the ash pomd be placed in . lifts not exceeding six inches in loose thickness and each lift be compacted to at least 90 percent of the maximum dry density as determined in accordance with ASTM Compaction Test Designation D1557. Fill materials should be compacted at moisture contents ranging from optimum to six percent above optimum. The procedures for moisture conditioning and surface protection during inclement weather presented in the previous section, Placement of Fill in Earth Dikes, are applicable for the ash pond bottom.

Placement of Drainage Blanket Material - It is recommended that an internal drainage blanket be provided for the dikes surrounding the ash water storage pond. The drainage blanket will prevent softening and deterioration of the exterior slope of the dikes and will contribute to the stability of the dikes.

Placement of select pervious filter materials will be required for adequate internal drainage of the dikes.

The drainage blanket may be placed directly on the compacted in-situ soils or on compacted cohesive fill.

The drainage blanket should be placed in 12-inch lifts and compacted to a minimum dry density of 90 percent of the maximum dry density determined in accordance with ASTM Compaction Test Designation D1557. The blanket should be maintained at least 6 inches above adjacent embankment grade to prevent contamination of the drainage blanket with the adjacent soils. Contaminated material should be removed from the drainage blanket. The area adjacent to the exterior dike toe should be graded to direct all seepage and surface runoff away from the drainage blanket.

Placement of Riprap — The bedding course for riprap and the riprap materials for erosion protection of the interior slopes for the dikes need not be compacted to any specified density. The primary criteria in the placement of these materials should be to produce a well-graded well-interlocked mass with a minimum percentage of voids. The materials can be dumped or spread over the surface to be protected.

PRELIMINARY ASH POND DESIGN DATA

DESIGN CRITERIA

This section of the report presents preliminary recommendations pertaining to the design of the proposed ash water storage pond. Our recommendations have been formulated primarily in accordance with the following design criteria:

1 - Maximum utilization should be made of materials available at the site.

- 2 The slopes of the dikes must be stable during construction and under all conditions of reservoir operations, including under 0.05g horizontal acceleration due to the postulated design basis earthquake.
- 3 The computed seepage line for the dikes must be within the exterior face.
- 4 The interior and exterior slopes and crest of the dikes must be protected against erosion due to wind and water.

 The interior slope must also be protected against erosion by wave action.
- 5 Seepage losses from the pond must be reduced to a minimum, but consistent with item (1) above.
- 6 Settlement must be within tolerable limits.

RESULTS OF ENGINEERING ANALYSES

Stability Analyses - Stability analyses were performed to determine the inclinations of the slopes which would be stable, with a suitable factor of safety, under the various conditions of construction and operation. The data utilized in the stability analyses were obtained from laboratory tests performed on representative undisturbed samples obtained from borings drilled within the area of

the dikes, and on reconstituted samples representative of compacted fill materials. The test results are presented at the end of SECTION D.

The soil parameters used for dike design are tabulated below. The tabulated data has been obtained from laboratory tests, and from experience with similar soils. Additional testing will be required to confirm the tabulated effective stress parameters.

	unaraine		Effectiv		Satu-	Assumed	
	Shear Stre	ngth	Shear Stre	ngth			
	Angle of		Angle of	A STATE OF THE STA	rated	Range	
	Internal	Co-	Internal	Co-	Unit	of	
	Friction	hesion	Friction		Weight	Elevation	
	(degrees)	(psf)	(degrees)	(psf)	(pcf)	(feet)	
FILL	Zini 🙀 IIIV	0070		000		500 50F	
MATERIAL	0	3270	25	200	125	530-505	
FOUNDATION	3						
SOILS	i - 5 ' - 4						
River Bank	<						
Upper Cohe							
		2500	25	2.00	128	505-503	
Stratum	0	3500	25	2100	120	505-505	
Silty Sand	1					· m · Allebert T	
Stratum	.32	100	32	TOO.	120	503-475	
Sand				11. 11. 21			
Stratum	35	0	35	0	125	475-425	
0.51.0.11							
Soft Soil							
Area							
Upper Cohe	esive						
Stratum	0	450	25	50	106	505-490	
							٠
Lower		7		-			
Cohesive							
Stratum	0	550	25	50	112.	490-480	
Sand							
Stratum	35	0	35	0	125	480-425	
1 Total		1.544					

The various conditions of stability which were considered in the analyses are as follows:

- 1 End of construction.
- 2 Steady state seepage (water elevation at 517 no ash):
- 3 Steady state seepage (water elevation at 529, ash elevation 527.5).
- 4 Steady state seepage condition (3) but with earthquake force.

The analysis was performed using the total and effective stress parameters presented above and pore pressures computed by gravity seepage theory. A drainage blanket, and side slopes of three horizontal to one vertical (3:1) were assumed. The critical dike section along the river bank showing the type of failure and corresponding factor of safety for condition 4, is presented on Plate D-1, Results of Stability Analyses. The results of the stability analyses for all the conditions and cases analyzed are tabulated on the following page. The soft area indicated on the table is between Borings AS-28 and AS-30.

8											
TOR	3.96	1.57	1.28	1.51	1.08	1.24	1.93	2.85	3.52	1.52	1.24
REMARKS	Pond Bottom at Elev. 503	50-foot wide berm from exterior dike toe to top of river bank.	25-foot berm from exterior dike toe to top of river bank.	50-foot wide berm from exterior dike toe to top of river bank	25-foot wide berm from exterior dike toe to top of river bank.	50-foot wide berm from exterior dike toe to top of river bank	No soft soils removed below Elev. 503.	Soft soils extending to Elev. 495 removed and replaced with compacted fill.	Soft soils extending to Elev. 490 removed and replaced with compacted fill.	Soft soils extending to Blev. 490 removed and replaced with compacted fill.	Soft soils extending to Elev. 490 removed and replaced with compacted fill.
EARTHQUAKE COEFFICIENT	0	0	0	0	.059	.059	0	0	· .	0	£50.
SLOPE	Interior	Exterior	Exterior	Exterior	Exterior	Exterior	Interior	Interior	Interior	Exterior	Exterior
HEIGHT (FEET)	. 27	25	. 25	. 25	. 52	25	27	27	27	27	27
STABILITY	đ	N	, M	m	4	4	н	el .	H	m	4
DIKE	River Bank	River Bank	River Bank	River Bank	River Bank	River Bank	Soft Soil Area	Soft Soil Area	Soft Soil Area	Soft Soils Area	Soft Soil Area
	STABILITY HEIGHT SLOPE EARTHQUAKE CONDITION (FEET) ANALYZED COEFFICIENT	STABILITY HEIGHT SLOPE EARTHQUAKE CONDITION (FEET) ANALYZED COEFFICIENT REMARKS ANALYZED COEFFICIENT REMARKS TO 27 Interior 0 Pond Bottom at Elev. 503	STABILITY HEIGHT SLOPE EARTHQUAKE CONDITION 1 27 Interior 0 50-foot wide berm from exterior dike toe to top of river bank.	STABILITY HEIGHT SLOPE EARTHQUAKE REMARKS CONDITION 1 27 Interior 0 Fond Bottom at Elev. 503 nk 2 25 Exterior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 3 25 Exterior 0 25-foot berm from exterior dike toe to top of river bank. bank.	STABILITY (FEET) ANALYZED CONDITION 1 27 Interior 0 50-foot wide berm from exterior dike toe to top of river bank. 13 25 Exterior 0 25-foot berm from exterior dike toe to top of river bank. 25 Exterior 0 25-foot wide berm from exterior dike toe to top of river bank. 25 Exterior 0 25-foot wide berm from exterior dike toe to top of river bank. 25 Exterior 0 50-foot wide berm from exterior dike toe to top of river bank.	STABILITY (FEET) ANALYZED COEFFICIENT D 2 2 25 Exterior D S0-foot wide berm from exterior dike toe to top of river bank. Exterior D S1 2 2 25 Exterior D S2 Exterior D S2 Exterior D S3 25 Exterior D S3 25 Exterior D S4 Exterior D S5	STABILITY HEIGHT SLOPE EARTHQUAKE REMARKS nk 1 27 Interior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 3 25 Exterior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 3 25 Exterior 0 25-foot berm from exterior bank. nk 4 25 Exterior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 4 25 Exterior .05g 25-foot wide berm from exterior dike toe to top of river bank. nk 4 25 Exterior .05g 25-foot wide berm from exterior dike toe to top of river bank. nk 4 25 Exterior .05g 25-foot wide berm from exterior dike toe to top of river bank. nk 4 25 Exterior .05g 50-foot wide berm from exterior dike toe to top of river bank.	STABILITY HEIGHT SLOPE COEFFICIENT REMARKS CONDITION 1 27 Interior 0 Fond Bottom at Elev. 503 nk 3 25 Exterior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 3 25 Exterior 0 25-foot berm from exterior dike toe to top of river bank. nk 4 25 Exterior .059 25-foot wide berm from exterior dike toe to top of river bank. nk 4 25 Exterior .059 25-foot wide berm from exterior dike toe to top of river bank. 1 27 Interior 0 No soft soils removed below. 1 1 27 Interior 0 No soft soils removed below. Elev. 503.	STABILITY HEIGHT SLOPE CORFFICIENT REMARKS nk 1 27 Interior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 3 25 Exterior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 3 25 Exterior 0 50-foot berm from exterior dike toe to top of river bank. nk 4 25 Exterior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 4 25 Exterior .05g 25-foot wide berm from exterior dike toe to top of river bank. 1 1 27 Interior 0 80-foot wide berm from exterior dike toe to top of river bank. 1 1 27 Interior 0 50-foot wide berm from exterior dike toe to top of river bank. 1 1 27 Interior 0 50-foot wide berm from exterior dike toe to top of river bank. 1 27 Interior 0 50-foot wide bank. 1 1 27 Interior 0 50-foot wide ba	nk 1 27 Interior 0 FRETION REMARKS nk 1 27 Interior 0 50-foot wide berm from exterior dike toe to top of river bank. nk 3 25 Exterior 0 25-foot berm from exterior dike toe to top of river bank. nk 3 25 Exterior 0 25-foot berm from exterior dike toe to top of river bank. nk 4 25 Exterior .059 25-foot wide berm from exterior dike toe to top of river bank. nk 4 25 Exterior .059 25-foot wide berm from exterior dike toe to top of river bank. 1 1 27 Interior .059 50-foot wide berm from externior dike toe to top of river bank. 1 1 27 Interior 0 No soft soils removed and replaced will. 1 1 27 Interior 0 Soft soils extending to Ele 1 1 27 Interior 0 Soft soils extending to Ele 1 1 27 Interior 0 Soft soils exten	STABILITY REIGHT SLOPE DOEFFICIENT Pend Bottom at Elev. 503

Based on the results of the above described stability studies, it is concluded that the ash water storage pond dikes will be stable, with a suitable factor of safety, if constructed with side slopes no steeper than three horizontal to one vertical (3:1). Construction of the dikes should be in accordance with the recommendations presented in the proceding EARTHWORK and subsequent RECOMMENDED DESIGN DATA sections of this report.

An exception to the above recommended slope is at the location where the valleys intersect the dike area along the river. At this location, if the valleys are not filled to surrounding grade, the slope of all embankments constructed in the valleys should be no steeper than five horizontal to one vertical (5:1).

Should the dike heights be significantly increased from the heights assumed in this study, additional stability studies must be performed to establish required slope configuration.

Seepage Analyses - Seepage analyses were performed to determine seepage losses within the ash pond area for various bottom elevations. The data utilized in the seepage studies were obtained from laboratory tests performed on representative undisturbed samples obtained from borings drilled within the ash pond area, and on reconstituted samples representative of compacted fill materials and from field permeability tests. Laboratory and field test procedures are presented in SECTION E to this report. The test results are presented at the end of this section.

The three main conditions considered in the seepage studies are as follows:

- 1 Bottom of pond at Elev. 503.0.2 Bottom of pond at Elev. 506.5.
- 3 Bottom of pond at Elev. 510.0.

The analyses were performed by subdividing the total pond area into several subareas each having approximately similar permeabilities and thicknesses of impermeable materials.

Each of the above conditions was analyzed for a water level at elevation 517.0 with no ash in the pond, and a water level at elevation 528.0 and ash to elevation 527.5. A minimum of twelve to twenty four inches of compacted cohesive in-situ or fill soil was assumed over the entire pond area. The results of the seepage analyses for all the conditions analyzed are tabulated below.

	RESULTS OF SEEPAGE ANALYSES	CAT CHIT AMED
CONDITION	ASSUMED POND WATER ELEVATION	CALCULATED SEEPAGE LOSS (ft ³ /day)
CONDITION	517.0	13,120
<u> </u>	528.0	17,520
2	517.0	10,200
2	528.0	13,700
3	517.0	6,160
3	528.0	8,230 11.7

It is felt that the above calculated seepage loss for the pond filled with ash is conservative. Consolidation of the ash material under its own weight will take place with time decreasing the seepage losses. The change in the permeability characteristics of the ash can only be estimated by obtaining representative samples of ash and determining the permeability for various degrees of consolidation. This should be done in the final analyses to refine our estimated values.

The ash material will be retained above the impervious pond bottom and will not be transported with the seepage water.

For condition (1), with the bottom of the ash pond.

at elevation 503.0, the silty sands soils will be exposed in approximately ten to fifteen percent of the total pond area. Since the permeability of the silty sands is large compared to the relatively impermeable silty clays or clayey silts, the water will rapidly drain through the silty sands. The seepage losses presented in the previous table for condition (1) assume that the exposed sands are over-excavated 18 inches below elevation 503, and replaced by compacted silty clay fill.

The results of the above preliminary studies are based on information obtained from widely spaced borings and test pits and a limited number of permeability test data. Actual seepage losses are estimated at 80 percent to 120 percent of the tabulated values. More detailed studies are required to firmly establish seepage loss quantities. An outline of recommended future studies is presented at the end of this section.

Should the seepage losses tabulated in the preceding table be unacceptable, seepage losses can be minimized by lining the entire ash water storage pond. Several types of linings could be considered for seepage control. A summary of the characteristics of these is given below:

1 - Membrane Linings

Materials used for this type of lining include polyethelene, PVC and butyl rubber. The lining is constructed by placing sheets of the material on a prepared surface and subsequently covering the lining with a layer of soil for protection. The sheets are welded or glued to form a watertight seal. The major difficulties in this type of lining are achieving watertight joints and the poor resistance to abrasion and tearing.

2 - Concrete Lining

This type of lining is produced by placing concrete slabs tied together by water seals, or by application of gunite. The lining is rigid and can be relatively pervious because of leakage through joints and cracks. The advantage of this type of lining is the good wearing surface. The major disadvantage consists of the difficulty to repair and non-uniform settlement of the slabs under the weight of the ash material.

3 - Asphalt Lining

This type of lining generally consists of asphalt concrete mixed in the field, or placement of asphalt panels. The mixing of asphalt concrete is crucial and generally is difficult to control under field conditions. Outside of experimental projects, most usage of asphalt concrete in hydraulics has essentially been for control of wave action. Prefabricated asphalt panels are commonly applied in two layers, placed staggered to provide an effective seal. This type of lining does not provide a working surface, but the panels generally provide a good resistance to abrasion and tear. Settlement in the pond area due to the weight of the stored ash would probably eliminate the use of this liner.

4 - Soil Cement

This type of lining is produced by mixing cement with a specially graded soil. Good mixing compaction is very important in the placement of the soil cement. This type of lining therefore requires relatively flat embankment slopes. On slopes steeper than approximately 5 horizontal to 1 vertical, the placement and compaction is performed normal to the axis of the dike and therefore is relatively expensive. On flatter slopes the mixing and compaction equipment may work

along the length of the dikes. As with the case of concrete, this material is rigid and will crack.

It is recommended that detail cost comparisons be made of the above alternate lining types should the tabulated seepage losses presented prove unacceptable.

<u>Settlement Analyses</u> - Settlement analyses have been performed to determine total and differential settlements that can be expected to occur along dike alignment.

The data utilized in the settlement analyses were obtained from laboratory consolidation tests performed on representative undisturbed samples obtained within the ash pond area.

Settlement analyses were performed at various portions on the ash pond area under full dike loading conditions. The areas studied were as follows:

- 1 Soft area at location of Boring AS-18.
- 2 Soft area between Borings AS-28 and AS-30.
- 3 Remainder of site, outside of the above two areas.

D-28

The results of the settlement analyses for the above three areas, assuming (1) no removal of soft soils and (2) partial removal of soft soils are tabulated below:

DIKE LOCATION	ULTIMATE SETTLEMENT OF DIKE (INCHES)	REMARKS		
Soft Soil Area (1)	28	No removal of soft soils		
Soft Soil Area (1)	18	Soft soils removed to Elevation 485		
Soft Soil Area (1)	5	Soft soils removed to Elevation 480		
Soft Soil Area (2)	. 24	No removal of soft soils		
Soft Soil Area (2)	18	Soft soils removed to Elevation 495		
Soft Soil Area (2)	8	Soft soils removed to Elevation 490		
Area (3)	2			

As indicated above, partial excavation of the soft soils will significantly reduce post-construction settlement in the soft areas. Excavation by means of dragline will be required as the soft soils are too weak to support construction equipment.

In our opinion, an alternate and more desirable means of reducing post-construction settlement would be to initially construct the portion of the dikes located over soft areas during the early plant construction stage and allow settlement

to take place over a period of several months. Subsequently, the remaining dike can be completed and remedial measures taken in the portion of the dikes located over soft areas. Assuming no excavation of soft soils in areas (1) and (2), it is estimated that approximately 50 to 70 percent of the indicated settlements will take place during the first six months following completion of the dike section overlying the soft areas. To allow for residual settlements, appropriate cambers should be constructed at the crest of the portion of dikes overlying soft areas.

RECOMMENDED DESIGN DATA

General - Details pertaining to the design of internal drainage facilities and erosion control facilities are considered to be of major importance in the design of a stable cross-section. Additional studies are required before specific design data can be developed. General recommendations for the design of internal drainage facilities and erosion control facilities are presented in the following sections.

Filter and Drainage Facilities - It is recommended that a internal drainage blanket be provided for the dikes. The provision of a drainage blanket will prevent softening and deterioration of the exterior toe and will contribute to stability of the exterior slopes.

that drainage will be accomplished, and it must be designed to prevent movement of particles of the foundation or embankment into the filters as seepage water discharges. The drainage blanket should have an effective thickness of at least three feet. The length of the filter will be governed by the height of the dikes and the filter should begin at a distance from the centerline equal to the height of the dikes plus six feet.

The drainage blanket should be a clean, free draining, durable natural sand containing no more than four percent of material by weight passing the No. 200 sieve.

The exterior slope of the drainage blanket should. be protected against erosion by a minimum thickness of one foot of bedding course material.

Slope Protection - The interior slope of the dikes will be exposed to water impoundment at various levels and will also be subject to erosion by wind, water and wave action. Erosion protection of the interior embankment materials should be provided by a bedding blanket of sand and gravel, at least 12 inches in thickness.

The slope area which will be subjected to wave action during fluctuations of the water level, should be protected by a layer of riprap. The riprap protection layer should be at least 18 inches in thickness.

The exterior slopes and crests of the dikes should be protected from erosion by the action of wind and water by an adequate growth of vegetation.

We recommend that an evaluation of the need to riprap the exterior dike slopes along the Ohio River be performed in the light of the anticipated short life of the ash storage pond. Riprap protection would only be required during high flood periods. Based on the results of this evaluation, riprap and bedding design can proceed as follows:

- 1 Select the required average weight of stone for the riprap based on the maximum velocity computed.
- 2 Select the riprap and bedding gradation based on the following criteria:
 - (a) $\frac{D_{15}}{D_{85}}$ of the embankment soils = 5 or less
 - (b) $\frac{D_{15}}{D_{85}}$ of the riprap = 5 or less
 - (c) The corresponding grain size curves should be roughly parallel.

In the above design formulas, D_{15} is the size at which 15 percent of the total particles are smaller, and D_{85} is the size at which 85 percent of the total particles are smaller.

The existing trees and vegetation along the Ohio River aid in stabilizing the river bank, and should not be removed. Riprap protection may be required in areas where evidence of bank erosion exists.

: 3

Other Facilities - Additional field studies are required to firmly establish that seepage of the impounded water will not occur through thin interbedded horizontal sand layers in the near cohesive surface soils. Evidence of their existence was not found in the present field investigation; however, the relatively high coefficient of permeability computed in the field permeability test performed adjacent to Boring AS-25 may be indicative of the presence of interbedded sand layers in the near surface cohesive soils.

Should interbedded horizontal sand layers
be encountered in a more detailed study, cutoff trenches
through these soils will be required to minimize seepage
losses and to increase the lateral stability of the dikes.

LABORATORY TESTS

Laboratory tests were performed on selected undisturbed and reconstituted samples obtained from borings and test pits in the ash pond area in the manner described in SECTION E of this report.

Sieve analyses were performed on selected granular soil samples obtained from selected borings along the Ohio River for the purpose of estimating the permeability and transmissibility of the in-situ soils, principally for future well development studies.

Moisture content, dry density, Atterberg limit, direct shear, unconfined and unconsolidated-undrained triaxial test data are presented to the left of the Log of Borings, Plates D-2A through D-2U. The strength tests are indicated by symbols on

the Log of Borings. The key to these symbols is presented on Plate E-1, Unified Soil Classification System and Key to Test Data. Grain-size distribution and consolidation tests are presented graphically on Plates D-3A through D-3C and Plates D-4A through Plates D-4C, respectively. Compaction test data are presented graphically on Plates D-5A through D-5D. The results of field and laboratory permeability tests are tabulated on Plates D-6A through D-6C. The results of unconsolidated-undrained triaxial compression tests performed on remolded soil samples are tabulated on Plate D-7.

FUTURE STUDIES

GENERAL

The present study is intended to provide basic design criteria for the ash water storage pond for preliminary design purposes and for cost estimates. Additional detailed studies are required before final design can be completed. We recommend that the following additional studies be performed.

Field Explorations - Additional field explorations including borings, test pits and trenches excavated along dike alignments are required to better define soil foundation characteristics. In addition, it is recommended that additional field permeability tests be performed to establish vertical and horizontal permeability values.

Off-Site Borrow Areas - Should off-site borrow materials be required, a detailed study based on surface geological maps will be required coupled with an on-site survey of the available materials by means of borings and/or test

pits to determine the availability of clay borrow materials near the site.

Laboratory Tests - Coupled with the additional field explorations, laboratory strength tests, including consolidated undrained tests with pore pressure measurements to establish effective strength parameters (assumed in this study), are required. Additional laboratory permeability tests and, if possible, permeability tests of the typical ash materials are also required. Additional tests are also required to further define the consolidation characteristics of the soft soils that will be encountered along dike alignments.

Office Studies - Based on the additional field explorations and laboratory tests, the stability of the dikes, seepage losses and settlements can be more accurately determined. Specific design details pertaining to the design of internal drainage facilities and erosion control facilities are required.

Field Inspection - It is recommended that during construction a thorough inspection of the excavated bottom be carried out to confirm or modify the design assumptions, and/or modify earthwork operation, if required. It is recommended that a Dames & Moore representative be at the site during the various earthwork operations.

The following Plates are attached and complete SECTION D of the report:

Plate D-1 - Results of Stability Analyses

Plate D-2A - Log of Borings (Borings AS-1 and AS-2)

Plate D-2B - Log of Borings (Boring AS-3)

Plate D-2C - Log of Borings (Borings AS-4 and AS-5)

Plate D-2D - Log of Borings (Boring AS-6)

Plate D-2E - Log of Borings (Boring AS-7 and AS-8)

Plate D-2F - Log of Borings (Boring AS-9)

Plate D-2G - Log of Borings (Borings AS-10 and AS-11)

Plate D-2H - Log of Borings (Boring AS-12)

Plate D-2I - Log of Borings (Borings AS-13 and AS-14)

Plate D-2J - Log of Borings (Borings AS-15 and AS-16)

Plate D-2K - Log of Borings (Borings AS-17 and AS-18)

Plate D-2L - Log of Borings (Borings AS-19 and AS-20)

Plate D-2M - Log of Borings (Borings AS-21 and AS-22)

Plate D-2N - Log of Borings (Borings AS-23 and AS-24)

Plate D-20 - Log of Borings (Borings AS-25 and AS-26)

Plate D-2P - Log of Borings (Borings AS-27 and AS-28)

Plate D-2Q - Log of Borings (Borings AS-29 and AS-30)

Plate D-2R - Log of Borings (Borings AS-31 and AS-32)

Plate D-2S - Log of Borings (Borings AS-33, AS-34 and

AS-35) .

Plate D-2T - Log of Test Pits (Test Pits AS-36, AS-37, AS-38, AS-39, AS-40 and AS-41)

Plate D-2U - Log of Test Pits (Test Pits AS-42, AS-43, AS-44, AS-45 and AS-46)

Plate D-3A - Grain Size Analyses

Plate D-3B - Grain Size Analyses

Plate D-3C - Grain Size Analyses

Plate D-4A - Consolidation Test Data

Plate D-4B - Consolidation Test Data

Plate D-4C - Consolidation Test Data

Plate D-5A - Compaction Test Data

Plate D-5B - Compaction Test Data

Plate D-5C - Compaction Test Data

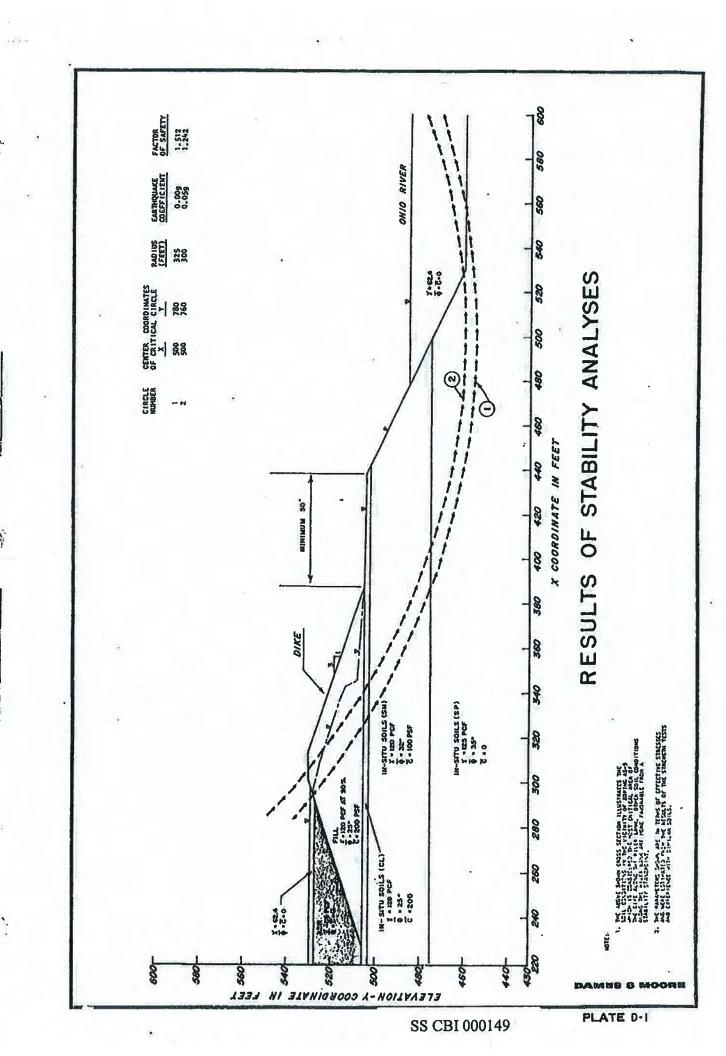
Plate D-5D - Compaction Test Data

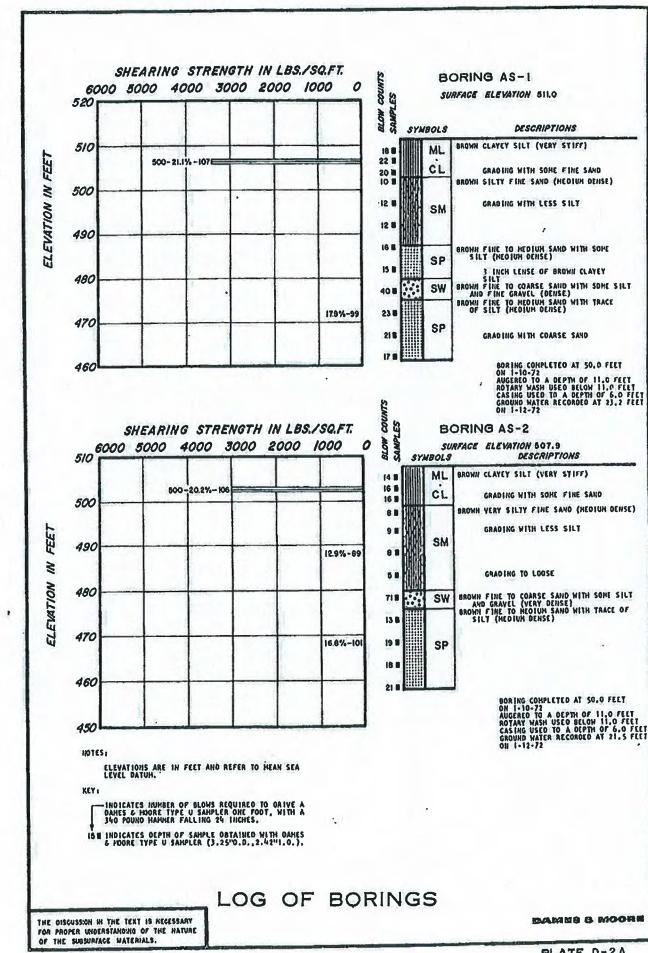
Plate D-6A - Permeability Test Data

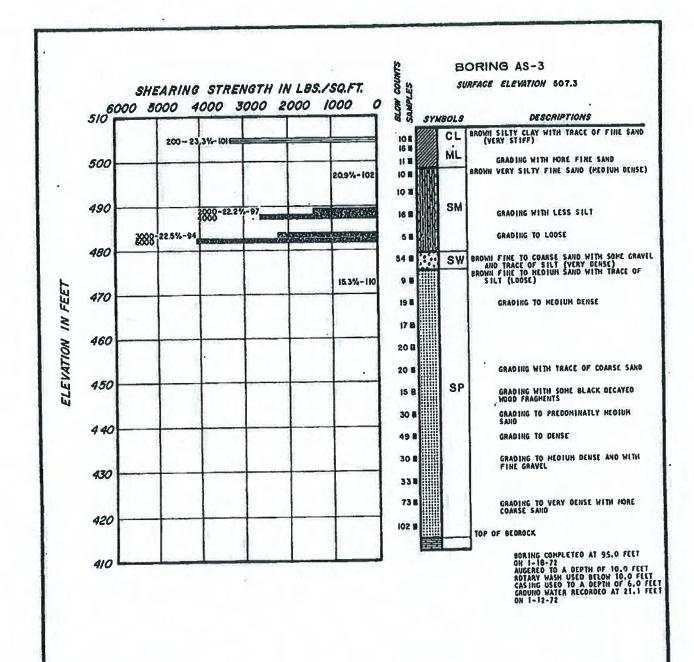
Plate D-6B - Permeability Test Data

Plate D-6C - Permeability Test Data

Plate D-7 - Triaxial Test Data







SS CBI 000151

DAMES S MOORII

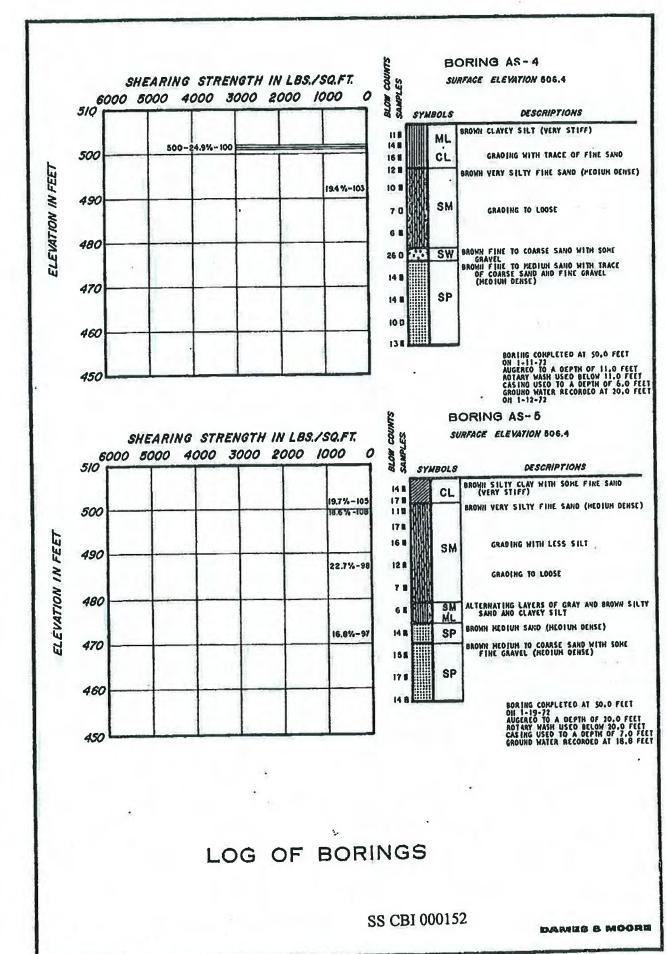
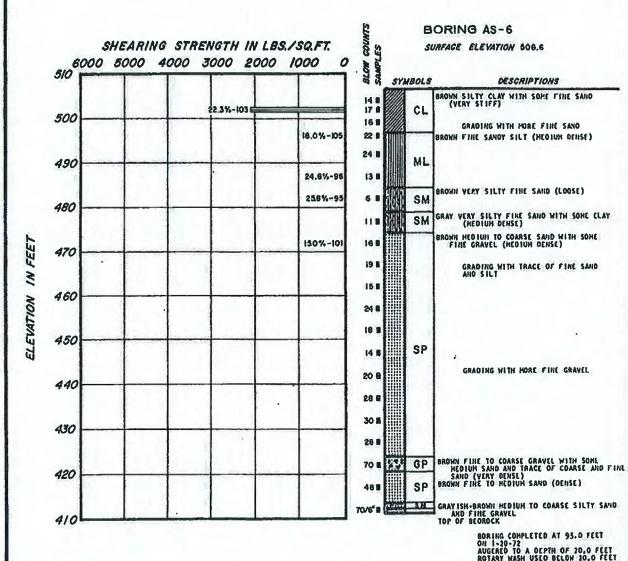


PLATE D-2C

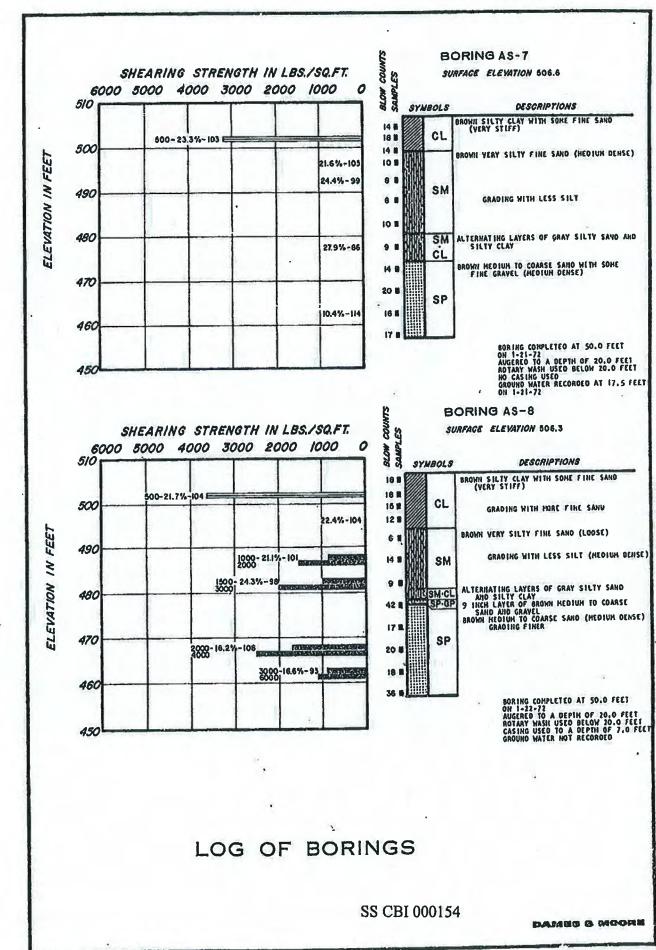


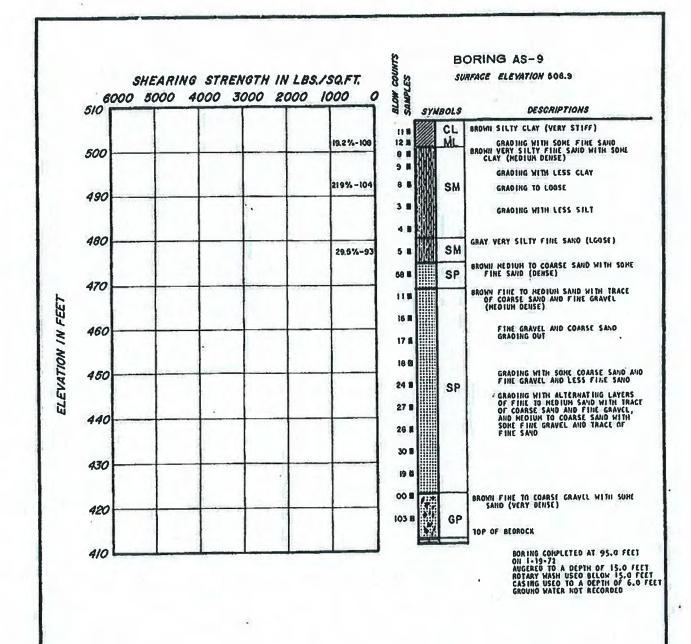
BORING COMPLETED AT 95.0 FEET ON 1-20-72 AUGERED TO A DEPTH OF 70.0 FEET REAL WASH USED BELOW 10.0 FEET CASING USED TO A DEPTH OF 7.0 FEET GROUND WATER RECORDED AT 22.1 FEET ON 1-27-72

LOG OF BORINGS

SS CBI 000153

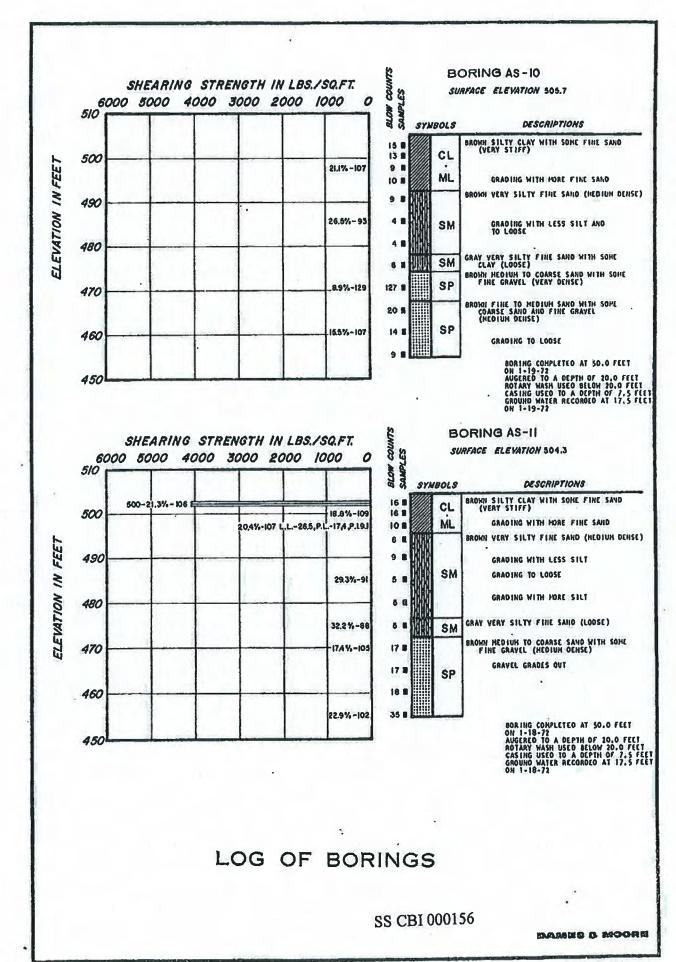
DANIES & MOORE



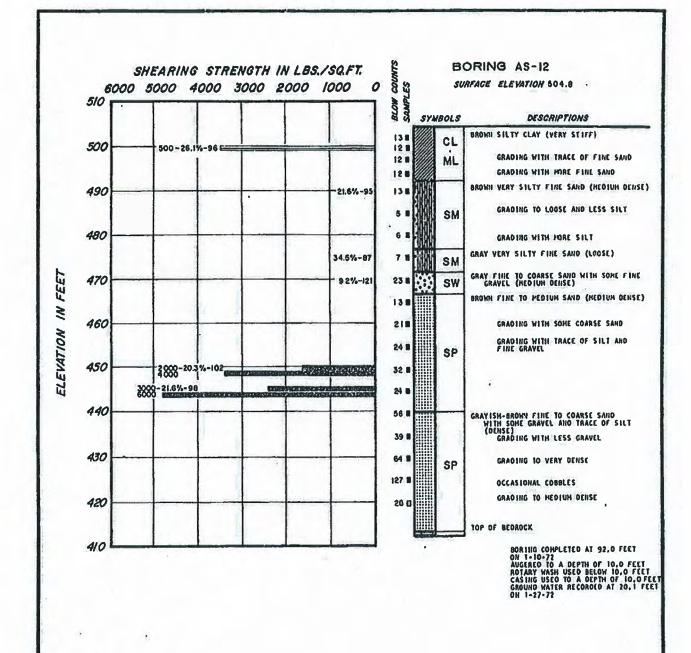


SS CBI 000155

DAMES & MOORE

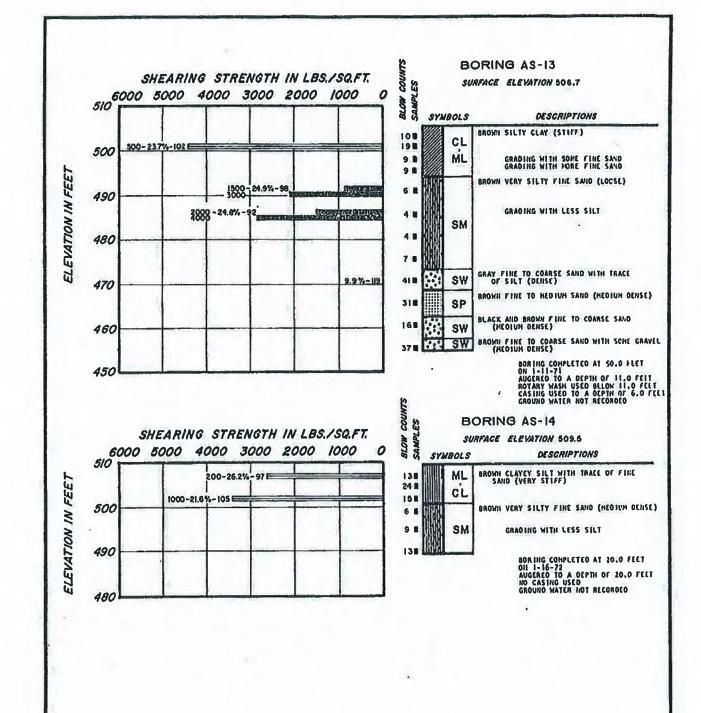


23



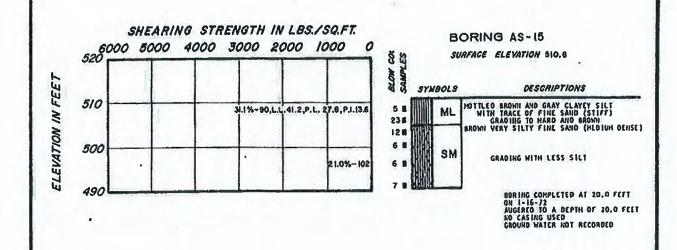
SS CBI 000157

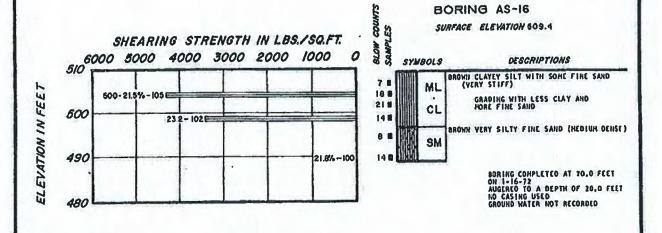
DAMES S MOORE



SS CBI 000158

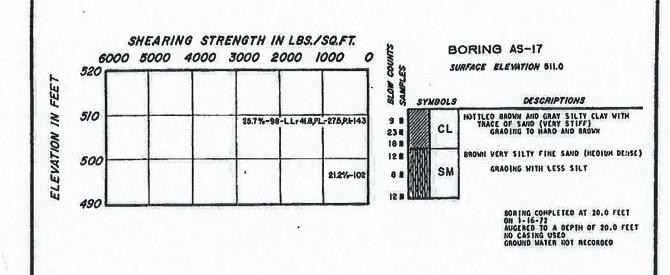
BROOM G BRIMAG

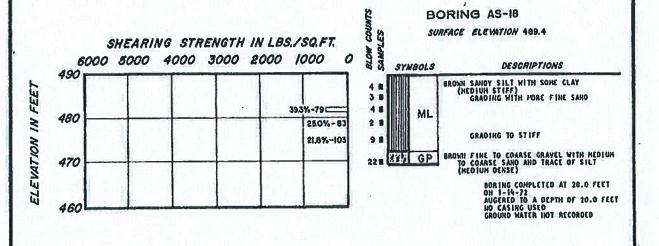




SS CBI 000159

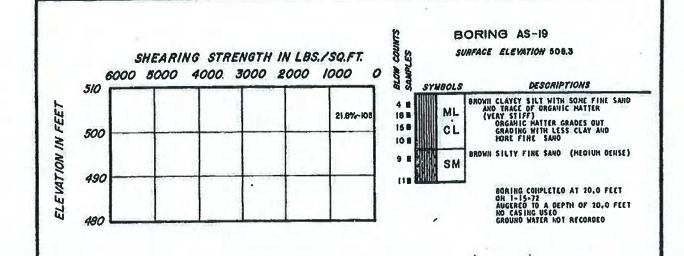
рамив в мооки

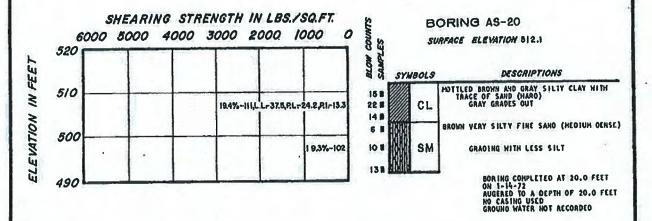




SS CBI 000160

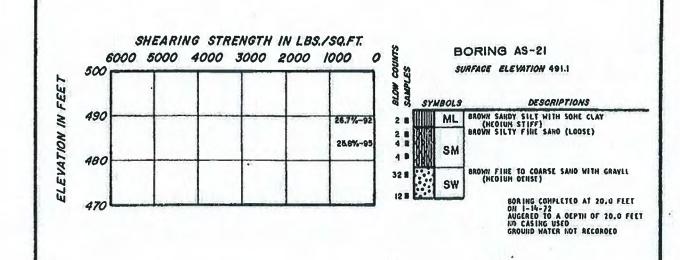
DAMES B MOORE

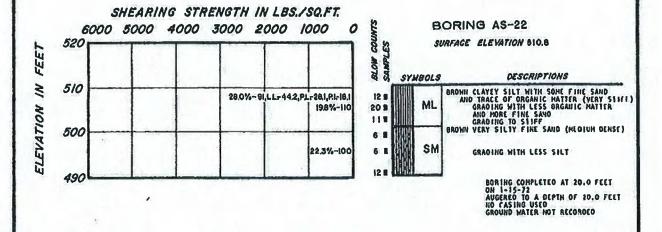




SS CBI 000161

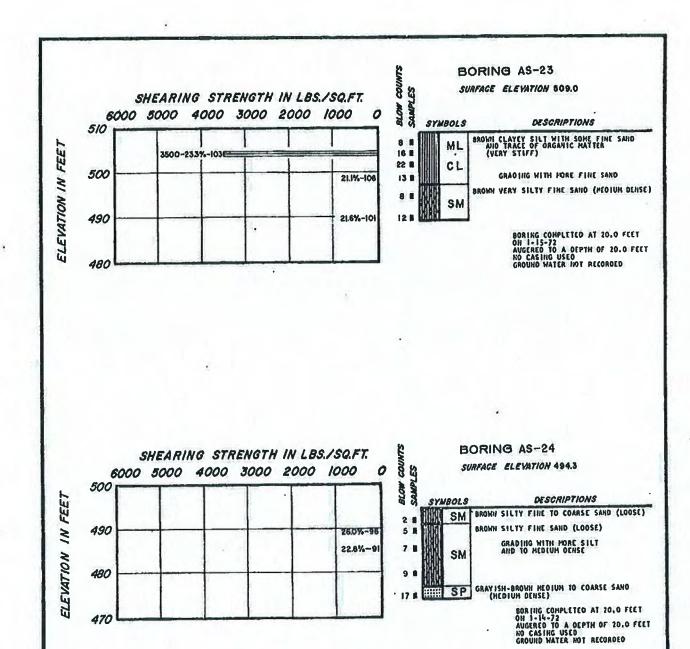
BAMPIO G BROOKE



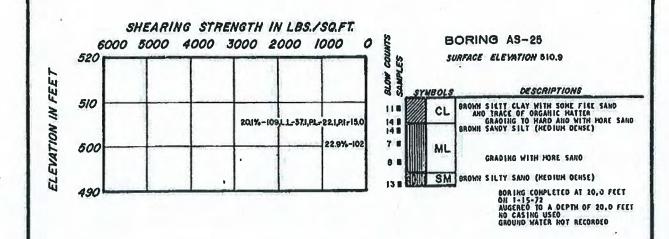


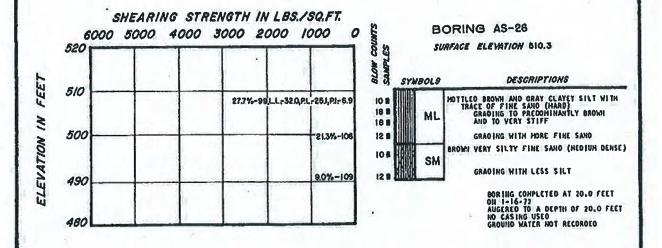
SS CBI 000162

MANUS & MOORE

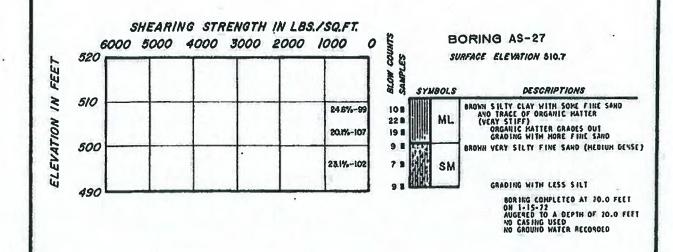


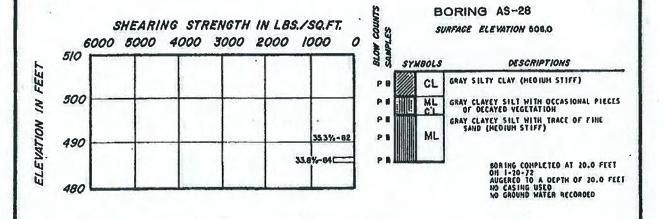
SS CBI 000163



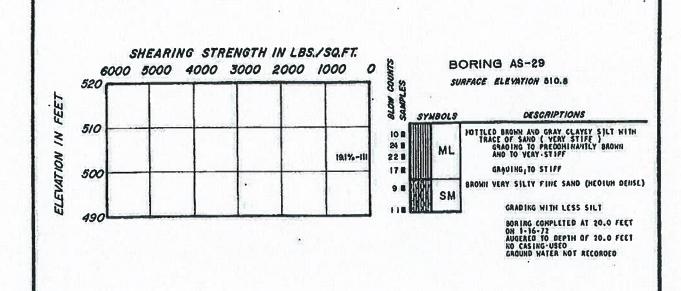


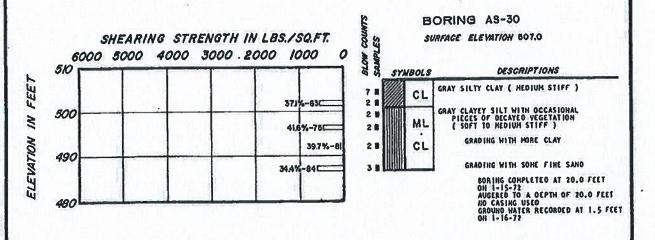
SS CBI 000164





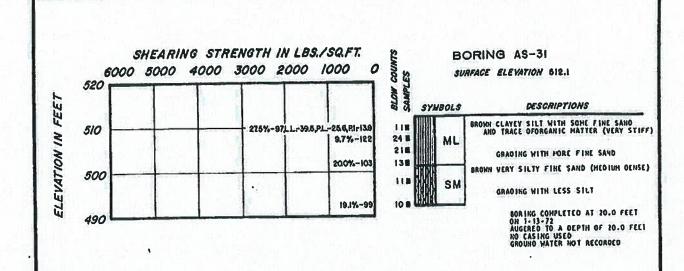
SS CBI 000165

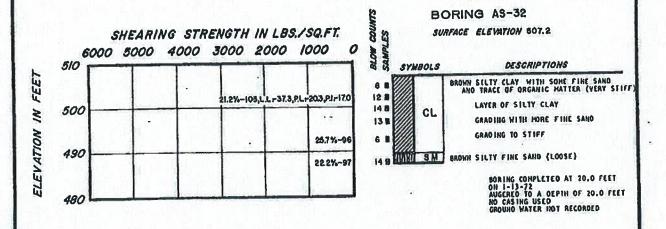




SS CBI 000166

BROOM 6 SEMAGE





SS CBI 000167

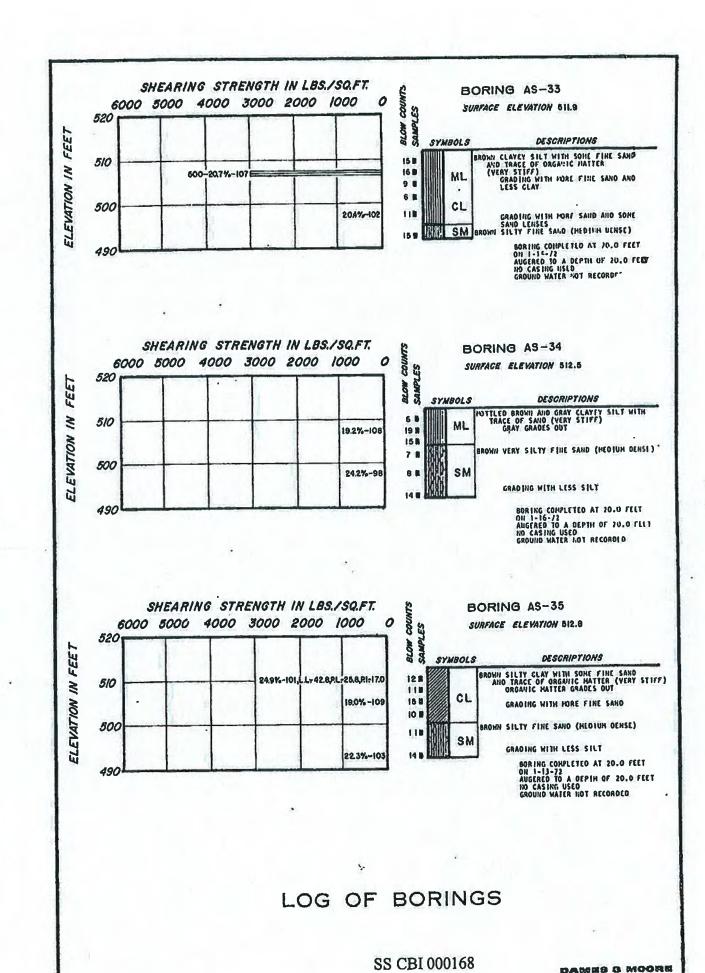
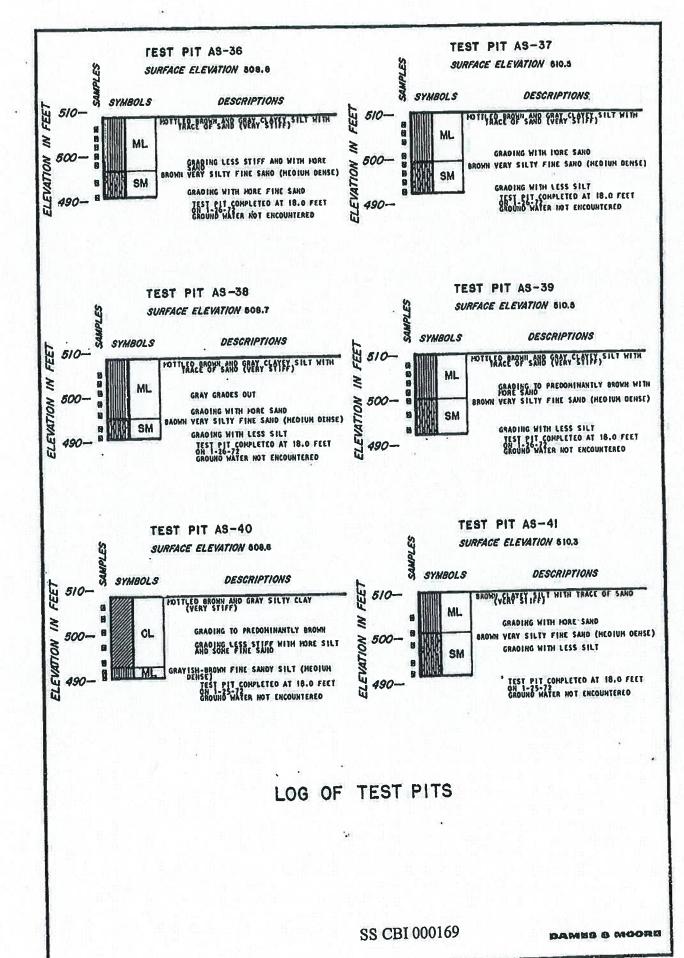
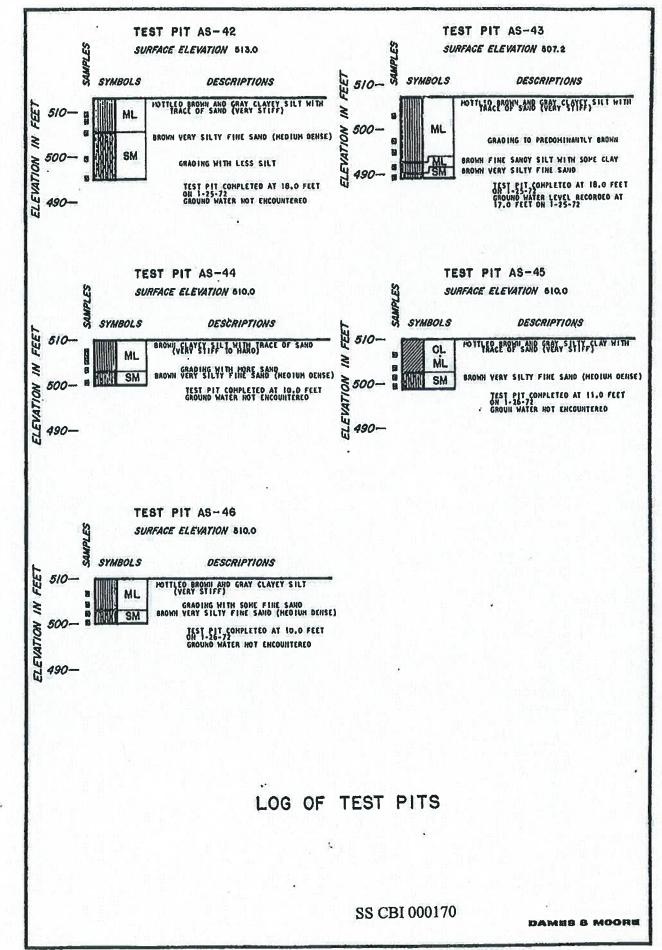
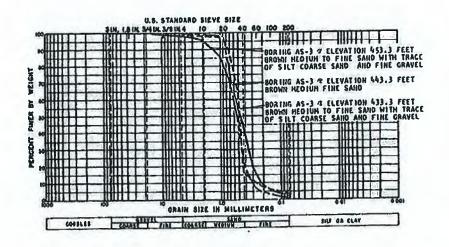
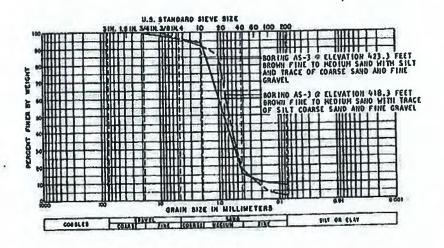


PLATE D-25



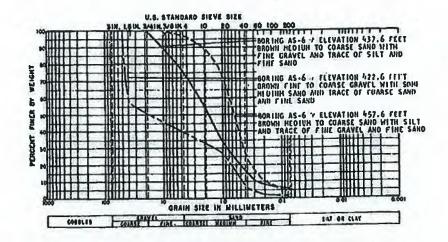


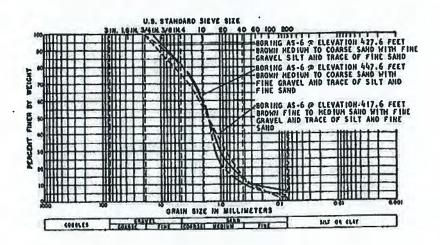


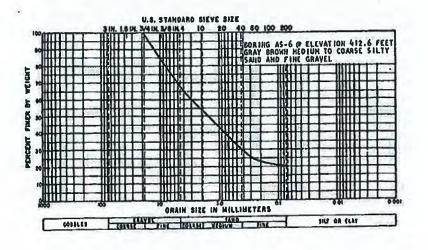


GRAIN SIZE ANALYSES

SS CBI 000171

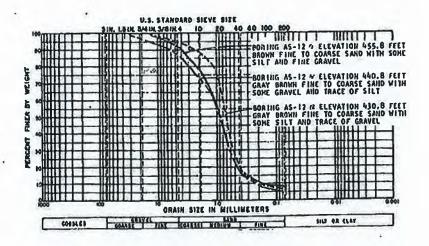


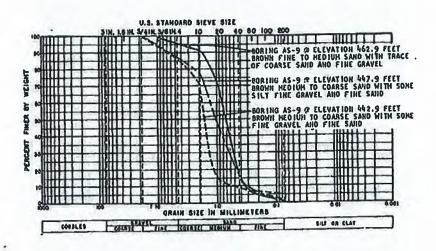


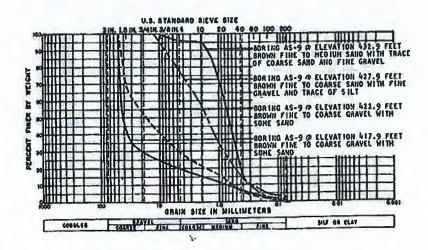


GRAIN SIZE ANALYSES

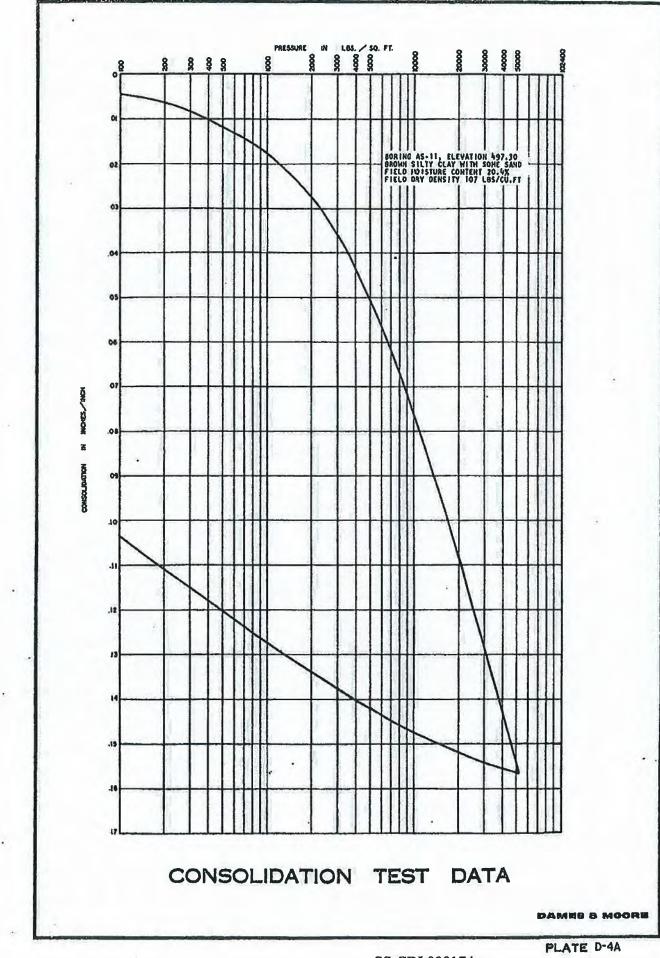
SS CBI 000172

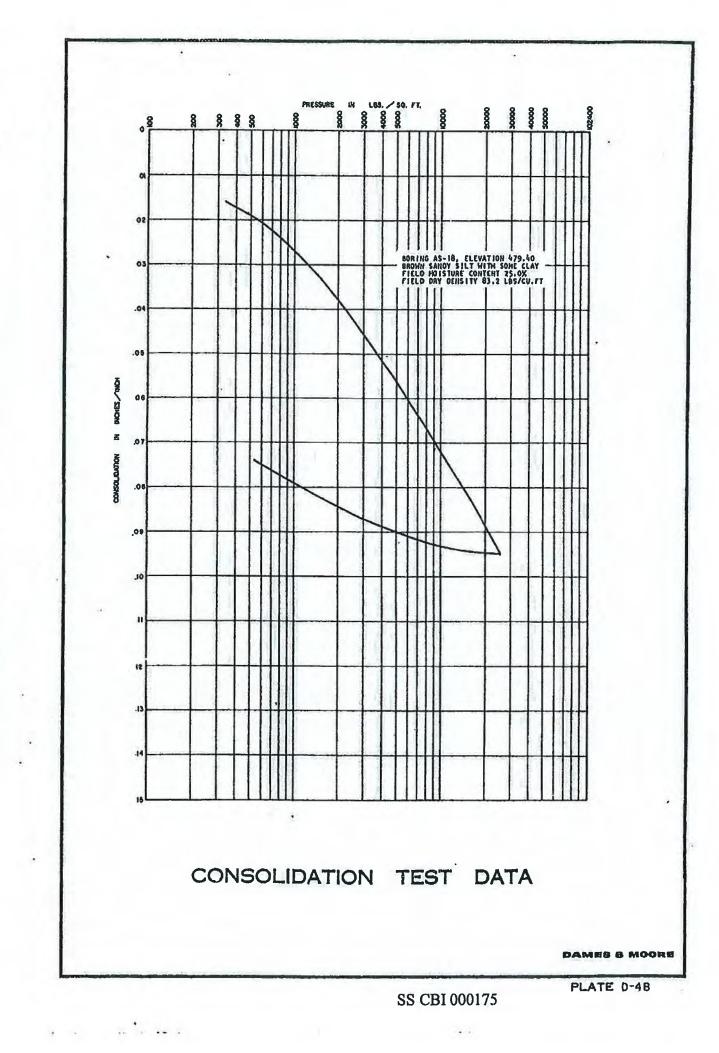


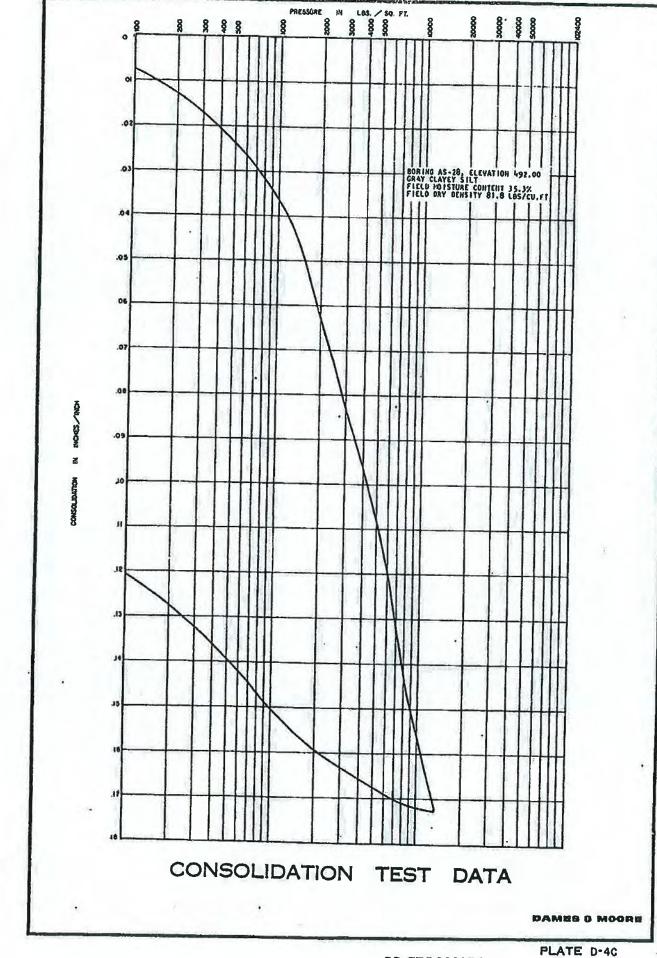




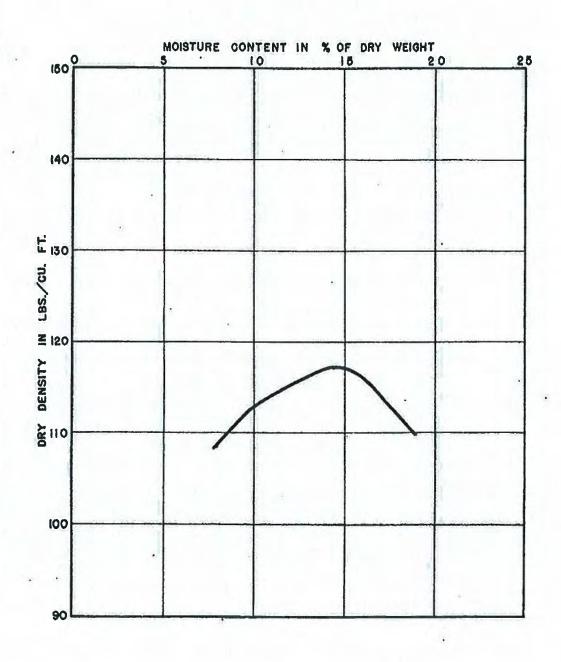
GRAIN SIZE ANALYSES







BORING AS 41@ ELEVATION 505.3 FEET BROWN CLAYEY SILT WITH TRACE OF COARSE SAND (VERY STIFF) OPTIMUM MOISTURE CONTENT: 14.5% MAXIMUM DRY DENSITY: 117.1 LBS/CU.FT. METHOD OF COMPACTION: ASTM D-1557-64T



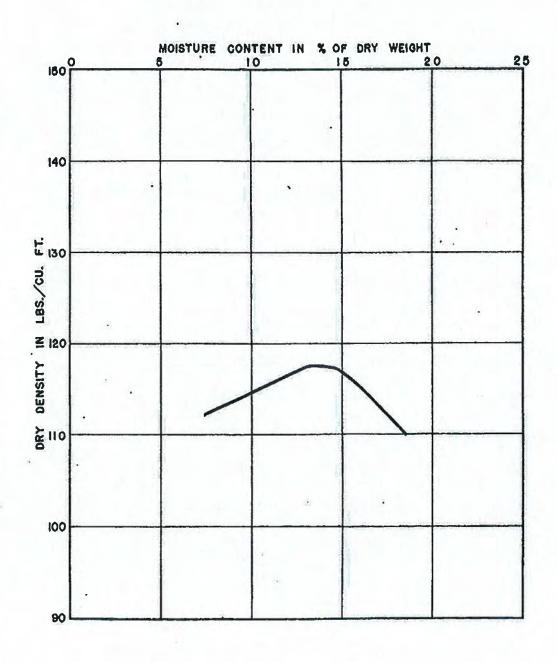
DATE

URAWN BY____CHECKED BY

REVISION 2 BY

COMPACTION TEST DATA

BORING AS 43@ ELEVATION 503.2 FEET MOTTLED BROWN AND GRAY CLAYEY SILT WITH TRACE OF SAND(VERY STIFF) OPTIMUM MOISTURE CONTENT: 13.5% MAXIMUM DRY DENSITY: 117.8 LBS/CU.FT. METHOD OF COMPACTION: ASTM D-1557 - 64T



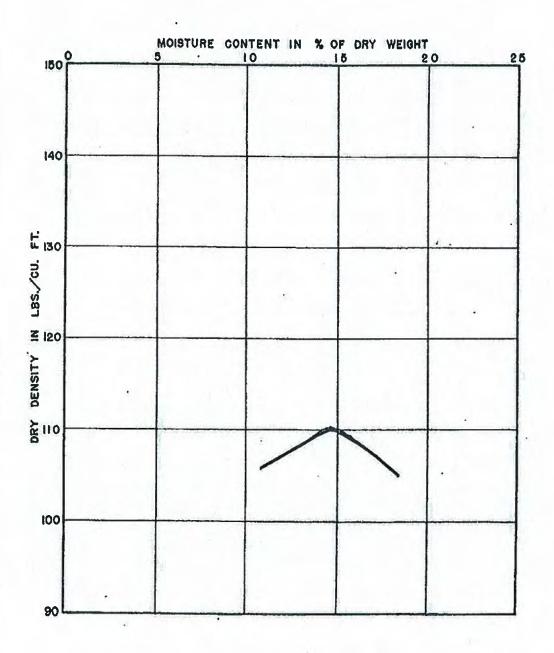
CHECKED BY

REVISION 2

REVISION 1 BY

COMPACTION TEST DATA

BORING AS 450 ELEVATION 507.1 FEET MOTTLED BROWN AND GRAY SILTY CLAY WITH TRACE OF COARSE SAND (VERY STIFF) OPTIMUM MOISTURE CONTENT: 14.6% MAXIMUM DRY DENSITY: 110.2 LBS/CU.FT. METHOD OF COMPACTION: ASTM D-1557-64T

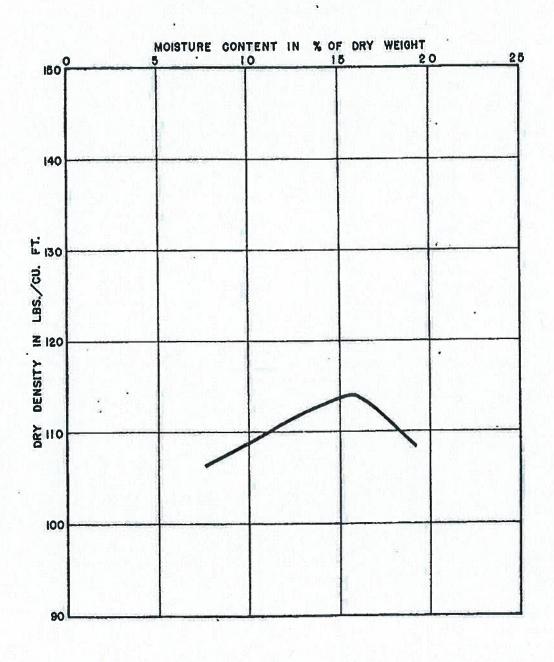


CHECKED BY

SATE

COMPACTION TEST DATA

BORING AS 46@ ELEVATION 507.1 FEET MOTTLED BROWN AND GRAY CLAYEY SILT (VERY STIFF)
OPTIMUM MOISTURE CONTENT: 15.6%
MAXIMUM DRY DENSITY: 114.2 LBS/CU.FT.
METHOD OF COMPACTION: ASTM D-1557-64T



CHECKED BY

REVISION 2 BY

REVISION 1

COMPACTION TEST DATA

EROOM 8 BEMAG

FIELD PERMEABILITY TEST DATA!

ADJACENT TEST PIT OR BORING NO.	SOIL CLASSI- FICATION	TYPE OF TEST	AVERAGE COEFFICIENT OF PERMEABILITY AT 20°C (cm/sec)
AS-20	CL .	Falling Head	5.8x10-6
AS-25	CL	Falling Head	*1,3x10 ⁻³
AS-38	ML	Falling Head	6.9x10 ⁻⁷
AS-40	CL	Falling Head	2.4x10 ⁻⁵
AS-42	ML	Falling Head	4.0x10 ⁻⁴

7.

*High permeability probably due to interbedded horizontal sand layer. . . :

PERMEABILITY TEST DATA FIELD TEST

UNDISTURBED SAMPLES

BORING NO.	SAMPLE ELEVATION	SOIL CLASSI- FICATION	TYPE OF TEST	AVERAGE COEFFICIENT OF PERMEABILITY AT 20°C (cm/sec)
W-2	479.8	SP/SM	Constant Head	5.0x10 ⁻⁶
₩-2	449.8	SP	Constant Head	1.5x10 ⁻⁴
W-2	429.8	SP	Constant Head	7.1x10 ⁻⁴
AS-15	508.8	ML (With sand and roots)	Constant Head	2.8x10 ⁻⁴
AS-17	509.0	CL	Falling Head	1.2x10 ⁻⁷
AS-17	497.0	ML/SM	Falling Head	2.4x10 ⁻⁷
AS-20	507.6	CL (with roots)	Constant Head	6.8x10 ⁻⁴
AS-22	508.8	ML	Falling Head	2.7x10 ⁻⁸
AS-25	506.4	CL	Falling Head	6.8x10 ⁻⁸
AS-35	510.8	CL (with roots)	Constant Head	1.5x10 ⁻⁴

PERMEABILITY TEST DATA LABORATORY TESTS

UNCONSOLIDATED UNDRAINED TRIAXIAL COMPRESSION TESTS (TEST PITS)

				REMOLDED DATA		TRIAXIAL	UNDRAINED
TEST	ELEVATION	SOIL CLASSI- FICATION	DRY DENSITY (PCF)	PERCENT OF COMPACTION	MOISTURE CONTENT (%)	CONFINING PRESSURE (PSF)	SHEAR STRENGTH (PSF)
AS-41	505.3	MI	106	16	20.8	200	3750
AS-41	505.3	Ä	111		19.5	200	4600
AS-43	503.2	ML	103	. 87	23.6	200	3000
AS-43	503.2	Æ	104	68	21.6	500	3200
AS-45	507.1	CL/ML	104	95	22.0	200	3800
AS-45	507.1	CL/ML	104	9.5	22.6	200	3500
AS-46	507.1	M	.102	68	23.3	200	2700
AS-46	507.1	M	102	16	22.6	200	. 3700

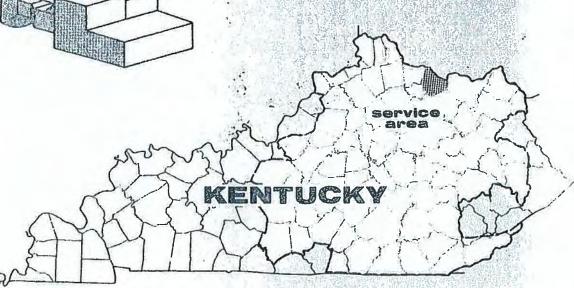
TRIAXIAL TEST DATA REMOLDED SAMPLES

L8-S1 BOOK 20

ENVIRONMENTAL ANALYSIS

SPURLOCK STATION

ORIT 2



Mason County, Kentucky



East Kentucky Power Cooperative

SS CBI 000184

SECTION II

ENVIRONMENTAL IMPACT OF THE PROPOSED PROJECT

Therefore, a dry tower is not an acceptable alternative for this project.

Wet towers obtain a high percentage of their cooling by evaporation of a portion of the cooling water. Under certain weather conditions, this creates a fogging plume leaving the cooling tower fans.

The wet/dry tower is a combination of the two above types with the dry portion so positioned and utilized to reduce or eliminate the fogging which results from the operation of a wet tower. The cost of a wet/dry tower is greater than the cost of a wet tower by factors from 2 to 1 to as much as 5 to 1, depending on the proportions of the wet and dry portions of the tower.

The wet type tower design was selected for this project, since plume propagation studies indicate no adverse environmental effects.

- After the Mixing Zone The design and operation of Unit 2 will be in compliance with the U.S. Environmental Protection Agency effluent regulations for steam electric generating stations. Cooling towers are to be installed to minimize thermal effects upon the Ohio River. No in-depth chemical and thermal analysis of effluent upstream and downstream from the mixing zone is anticipated. Effluents leaving the secondary lagoon system will be monitored as described in Section II-B-13.
- 16. Ash Pcnd Description Ash will be stored on-site in a water filled pond area formed by an earth embankment. The entire embankment will be well above the 100-year flood level for the Ohio River. The inner surface of the pond and embankments will be lined with an essentially impervious material. This lining will essentially prevent leaching into the water table. lining for the ash pond for Unit 1 was a silty clay material 15 inches deep (or 18 inches deep where material was left in place). The bottom surface of the pond was compacted and proof rolled. Seepage from the whole 60-acre ash pond is estimated to be 91 gallons per minute plus or minus 20 percent. This is considered to be a minimal amount of seepage. The lining for the ash pond for Unit 2 will be of a similar type if possible. If on-site silty clay material is not available it will be obtained for lining purposes, or a plastic material with equivalent lining characteristics will be utilized. The outer slopes of the embankment will be seeded with vegetation to control rainwater

SECTION IT

INVIRCNMENTAL IMPACT OF THE FROPOSED PROJECT

erosicn. Embankment design will be based on soils investigations to determine appropriate embankment slopes and embankment protection. Ash pond water will be pumped to the secondary lagoon and combined with other wastewater. The ash pond dikes have elevations sufficient to prevent over-topping of dikes during times of maximum rainfall. Figure I-3 shows the proposed location of the new 80-acre ash pond.

Construction of the ash pcnd will entail disposal of approximately 4.5 million cubic yards of material. A disposal site for this material is yet to be located. The expected life of the ash pond is about 12 years. At the end of the expected life of the ash pcnd another location for ash disposal will be required. Studies are presently under way to determine the final locations for ash and material excavated during construction of the ash pond.

- 17. <u>Intake Structure Design</u> No river intake structure is anticipated for Unit 2 at Spurlock Station.
- 18. Analysis of Chemical Wastewater and Method of Disposal Chemical wastes, except for demineralizer regeneration wastes, will be both alkaline and acid cleaning solutions. For boiler boil-out and for turbine-boiler cycle cleaning, the material will be alkaline in character containing such materials as sodium hydroxide, various sodium phosphates, and wetting agents. Acid cleaning of the boiler will involve use of such materials as hydrochloric acid and organic inhibitors. Boiler and cycle cleaning solutions will be discharged to the primary lagoon through a separate Irain system. During this period other plant wastes will be diverted to the secondary lagoons. In the primary lagoon the wastes will be neutralized and treated as required for controlled discharge to the plant waste system or by hauling to off-site disposal.

SECTION II

ENVIRONMENTAL IMPACT OF THE PROPOSED PROJECT

3. Coal and Ash System Dust Control Methods

- (a) <u>Coal Handling</u> The coal handling system will utilize an automatic dust control spray system at all transfer points. These transfer points will be dust tight enclosures and will be mechanically ventilated to prevent escape of dust. The vent fan discharge from this ventilation system will be fitted with dust removal equipment to assure quality of outlet air.
- (b) <u>Coal</u> <u>Stockpiles</u> The long term (dead) coal storage will be thoroughly compacted by means of mobile equipment. This will create a surface seal on the coal pile and prevent any serious dust problems. The active (live) coal storage pile will be composed of coal which has been recently transported, and will still be wetted as a result of exposure to the transfer point dust control sprays.
- (c) <u>Ash</u> <u>Ponds</u> Ash pond dust will be controlled by operating the ash pond so that all ash storage is below the water level. This will prevent dust problems from occurring.
- 4. <u>Landscaping</u> Details of the site landscaping plan are shown on Figure II-9. Of particular note are the following items from the preliminary design outline prepared by Stanley insultants:

"The overall site will be landscaped to reflect the natural character of the Ohic River Valley. Iandscape screening will be incorporated to screen wherever possible any features or structures that will be offensive in the landscape, as well as to enhance or create vistas of outstanding features.

"Fxisting stands of mature trees will be retained and protected where economically feasible.

"All landscaping will be designed for simplicity and ease of maintenance.

"Native grasses will be used where suitable for large open areas to minimize maintenance time and costs.

"Trees and shrubs introduced to the site will be indigenous to the Ohio River Valley and selected for their desirable traits, including hardiness, adaptability and disease resistance.

SECTION IV - ADVERSE ENVIRONMENTAL EFFECTS WHICH CANNOT BE AVOIDED

4. <u>Solid Waste</u>

(a) <u>Construction Wastes</u>

- (1) <u>Concern</u> The concern is that on site burning of construction wastes would contribute to air pollution and be in violation of state law or that wastes would not be properly disposed of.
- (2) <u>Mitigation Measures</u> Construction wastes will be disposed of off-site in a licensed sanitary landfill.
- (3) <u>Effects</u> <u>and Significance</u> No adverse effects cf disposal of construction wastes are expected. This does represent a commitment of landfill space to the project.

(b) Ash Pond Construction and Ash Disposal

- (1) <u>Concern</u> Construction of the ash pend for Unit 2 will require excavation of approximately 4.5 million cubic yards of alluvial material (the space equivalent of this volume is about 80 acres, 30 feet deep). One concern is where this material will be placed and what the effects would be. The other concern is that the life span of the ash ponds for Units 1 and 2 is expected to be less than 20 years, and what disposal methods or 1 coations are available after the useful life of the ash pends.
- (2) <u>Mitigation Measures</u> Planning is presently underway for location of sites for disposal of the excavated alluvial material and ash. Additional space, off-site or onsite, will be required for this material and for future ash Studies are underway for location and preliminary disposal. design of both short-term and long-term ash storage areas. Four major alternatives being considered include storage adjacent to present property, an alternative of construction of a retention dam to hold ash in a valley about a mile from the site and two on-site alternatives. Disposal methods will conform to state regulations relative to solid waste disposal and water quality, and relative to federal regulations or permit requirements protecting streams and stream related wildlife habitat. As described in Section II-B-16 for on-site ash ponds, any off-site disposal areas would be designed for protection of ground water, and embankments would be stable and planned for erosion and runoff control and for protection from flooding.
- estimate of 55 million tons of coal to be used for Units 1 and 2 to the year 2010, and assuming that 11.6 percent of the coal used becomes ash (in a typical situation), about 6 million tons of ash will be produced at Spurlock Station. Disposal of ash represents a commitment of on-site and off-site space for this material. Eventually, on-site space can be reclaimed for other industrial.

SECTION IV - ADVERSE ENVIRCHMENTAL EFFECTS WHICH CANNOT BE AVOIDED

purposes, and the same may be true for off-site disposal areas. Mitigation measures will be used to prevent on-site adverse effects on water resources from leachate or run-off, and similar measures will be used for additional on-site space or for off-site locations. Disturbance of vegetation and wildlife due to ash pond construction, disposal of excavated material, and ultimate ash disposal location represents a commitment of resources to the project. Eventual industrial use of disposal areas, or use for re-establishment of vegetation and wildlife would be expected.

5. Noise

- (a) <u>Concern</u> Unacceptable noise levels might create problems on the site for plant personnel, and might create a nuisance problem for persons living adjacent to the site.
- (b) <u>Mitigation Measures</u> Design and operation of the plant will comply with all legal requirements relative to noise limitation. Examples of noise reduction measures are as follows:

 1) Provision of noise limits in specifications to manufacturers for plant equipment; 2) Consideration of noise levels in selection of equipment type or manufacturer; 3) Silencers and enclosures and acoustical insulation will be used where necessary; 4) Daytime utilization of some plant components is preferable to nighttime operation; and 5) the monitoring program. The amount of on-site distance between noise sources and the property lines will also make it possible to be in compliance with applicable state and federal standards.
- (c) <u>Effects and Significance</u> Noise levels within the plant will not exceed standards which protect operating personnel. Noise from outside sources will be in conformance to standards at the property boundaries. Infrequent blowing of steam lines is a noise heard off-site which cannot be avoided.

6. Fuel

- (a) <u>Concern</u> With the projected shortage of petroleum products within the next fifty years, and because coal is an abundant but non-renewable resource, there is concern that these resources should be wisely allocated and used efficiently.
- (h) <u>Mitigation Measures</u> Coal was selected as an abundant, available fuel in accordance with federal policy as stated in the <u>Energy Supply and Environmental Coordination Act</u> of 1974. An oil spill emergency plan will be on file. Necessary

APPENDIX A

Document 6

S&ME Engineering Study: Evaluation of Risks of 100-Year Event & Freeboard Requirement

Doc 06: S&ME Engineering Study: Evaluation of Risks of 100-Yr Event & Freeboard Requirement

ENGINEERING STUDY

SPURLOCK POWER STATION ASH POND

EVALUATION OF RISKS OF 100-YR RAIN EVENT & FREEBOARD REQUIREMENT

S&ME Project No. 1831-10-5580

Prepared for:

EAST KENTUCKY POWER COOPERATIVE

A Touchstone Energy Cooperative

4775 Lexington Road Winchester, Kentucky

Prepared by: S&ME, Inc. 422 Codell Drive Lexington, Kentucky



JULY 2010

SPURLOCK POWER STATION ASH POND MAYSVILLE, KENTUCKY

Engineering Study for Evaluation of Risks of 100-YR Rain Event & Freeboard Requirement

TABLE OF CONTENTS

Section	on		Page No.				
1.	Introd	uction	3				
2.	Site Ir	nformation	3				
3.	Field A	Assessment/Methodology	4				
4.	Comp	uter Modeling and Volume Calculations	4				
5.	Concl	usions	6				
6.	Recor	nmendations	7				
	LIST OF TABLES						
Table 1 Estimated Area/Volume Calculations6							
Table 2 Dam Re-Grade Areas7							
		LIST OF APPENDICES					
Appe	endix A	Site Location Map					
Appe	endix B	Aerial Map of Ash Pond					
Appe	endix C	Typical Sections					
Appe	endix D	Dam Profile					
Appe	endix E	Site Photographs					

2010 Ash Storage Pond

Engineering Study For Evaluation of Risks of 100-YR Storm Event and Freeboard Requirement Spurlock Power Station Mason County, Kentucky

1. Introduction

S&ME, Inc. (formerly QORE, Inc.) was retained by East Kentucky Power Cooperative (EKPC) to perform an engineering study for evaluation of the risks associated with a 100-YR storm event and the required freeboard for the existing ash pond located on the north side of the facility near the Ohio River.

Field evaluation and reconnaissance was performed by Alan Leake, PLS and Richard Wright of S&ME. EKPC provided S&ME with AutoCAD files of the site layout, including LIDAR (Light Detection and Ranging) contour data files for the pond.

2. Site Information

Spurlock Power Station is located near Maysville in Mason County, Kentucky and is owned by East Kentucky Power Cooperative of Winchester, Kentucky. Spurlock Station is located adjacent to KY 8 on the south, Inland Container on the east and the Ohio River on the north.

The ash storage pond is located just south of the Ohio River (Mile 413) at the northeast corner of the station property (See Appendix A). The area of the pond is approximately 69 acres (measured at the existing water elevation). Based on field survey information, the average top of dike elevation was 528.0 with a pond elevation of 526.6. The perimeter of the dam is approximately 8,772 feet (1.66 miles). For the Ohio River, the normal pool elevation is 485.0 and the base flood (100-yr) elevation is 515.0.

Interior and exterior slopes of approximately 3:1 (H:V) were proposed along the dike walls, except along the north (river) side, where the exterior slope is approximately 2:1. The top width of the dike is approximately 16 feet and is gravel-covered for access. The dam structure is classified as a Class (A) – Low Hazard Structure type in accordance with guidelines from the Kentucky Department of Environmental Protection (Division of Water). This classification

applies to structures located such that failure would cause loss of the structure itself but little or no additional damage to other property.

3. Field Assessment/Methodology

A hydrographic survey of the pond was conducted on February 23-24, 2010. S&ME provided a 2-man survey crew to assess the subsurface ash levels in the entire pond by using a boat-mounted Trimble R6 network GPS receiver. The receiver was linked to the Kentucky CORS (Continuously Operating Reference Stations) GPS network to determine horizontal coordinates on the surface of the pond. A single-beam sonar device capable of determining the depth of the pond to the nearest foot was used to establish the elevations of the pond bottom at approximately 2,300 random locations. The data was processed and a topographic map of the pond bottom was generated using Carlson Survey software. The hydrographic mapping was then merged with the LIDAR surface mapping provided by EKPC.

*Note that the original design plan elevations for the ash pond were based on the National Geodetic Vertical Datum (NGVD) of 1929. The current surveys are based on North American Vertical Datum (NAVD) of 1988, which has been updated based on additional survey information. The vertical datum shift is minus 0.807 feet (i.e., NAVD 88 Elevation – NGVD 29 Elevation), according to the National Geodetic Survey (NGS). Therefore, the top of dike design elevation of 530.000 (NGVD 29) at the Spurlock ash pond is now adjusted to a design elevation of 529.193 (530.000 – 0.807). All surveys noted on the plans and elevations discussed in this report are based on NAVD 88 control datum.

Currently, ash is transferred from the plant to the pond by wet sluicing through a 10-inch diameter pipe that empties into the pond near the southwest corner, adjacent to the west dike. An ash water transfer pump station, capable of discharge rates of 4800 gpm (10.7 cfs), is located near the center of the west dike at the western side of the pond (See Appendix B).

Surface ditches are evident along the southern and eastern dikes to drain water away from the pond area. Thus, excluding seepage, infiltration and evaporation, the pond volume and water elevation only changes with additional wet sluicing discharge, direct rainfall or pump discharge to an outlet structure.

Site photographs are provided in **Appendix E** showing various angles of the pond, dam, erosion control, vegetation and other topography.

4. Computer Modeling and Volume Calculations

Computer modeling to obtain volumes for the pond were done for the existing water surface elevation (526.6) and to the average dike elevation (528.0). A bottom elevation of 506.0 was used as the beginning surface area for the pond

since that was the lowest field-sounded elevation. Using field-obtained horizontal and vertical data for approximately 2,300 random locations throughout the entire pond, a site topographic map of the pond was developed. In addition, a profile along the center of the dam was completed beginning at the northwest corner and extending clockwise around the perimeter. The minimum profile elevation was 527.17. Therefore, the freeboard was as low as 0.57 feet at some locations.

Representative cross-sections for the pond are shown in **Appendix C** and noted as Section A-A, Section B-B, Section C-C, Section D-D and Section E-E. Based on the field survey data, the lowest elevation of 506.0 was used as the pond "bottom" and all volume calculations were referenced from this elevation. From field observation, ash was exposed at the surface at some locations throughout the pond as the material has settled and separated from the sluice effluent.

Storage allotment for a 100-year rainfall event and available freeboard must also be accounted for within the pond limits. In accordance with the dam classification noted in Section 2 and engineering memorandums from the Division of Water, the minimum rainfall storage for this structure is equal to the 6-hour design rainfall or 6-hour, 100-year precipitation¹. The 6-hour, 100-year precipitation for Mason County is 4.2 inches². In addition, the minimum freeboard shall be equal to the following equation¹:

Freeboard = P100 + 0.12 x (PMP - P100), where PMP is the 6-hour Probable Maximum Precipitation, which is 27.2 inches

i.e., Freeboard = $4.2 + 0.12 \times (27.2 - 4.2) = 4.2 + 2.8 = 7.0$ inches (min.)

Source:

¹Kentucky Division of Water - Engineering Memorandum No. 5

²Kentucky Division of Water - Engineering Memorandum No. 2

5. Conclusions

Using the computer modeling algorithm techniques of triangulation around the contours of the pond, the total volume of the ash pond and the volume remaining were calculated. These volumes were derived from the estimated bottom elevation to the existing water surface and to the top of the dike, based on field-generated survey information.

As shown in **Table 1** below, the storage volume for the ash pond is estimated to be 891,514 cubic yards below the existing water elevation of 526.60 feet or 1,029,305 cubic yards below the existing dike elevation of 528.00 feet.

Additionally, enough freeboard would have to be provided to account for the 100-YR, 6-HR rainfall event of 4.2 inches and the probable maximum flood of an additional 7 inches (minimum). Based on the original design plans, the final ash level should be approximately 2.5 feet below the top of the dike elevation (currently 528.0 -2.5 or 525.5 feet).

TABLE 1. ESTIMATED AREA/VOLUME CALCULATIONS

SPURLOCK ASH POND CRITERIA	TO EXISTING POND ELEVATION 526.60'	TO EXISTING DIKE ELEVATION 528.00'
CRITERIA	LEEVATION 328.00	ELLVATION 320.00
Area (Acres)	68.4	69.0 M
Total Volume (CY)	2,138,216	2,293,380
Total Volume (GAL)	431,834,103	463,171,025
Volume Used VU (CY)	1,246,702	1,264,075
Volume Used VU (GAL)	251,783,936	255,292,587
Percent Used (VU/TV)	58.3	55.1
Volume Remaining (CY)	891,514	1,029,305
Volume Remaining (GAL)	180,050,167	207,878,438
Percent Remaining (VR/TV)	41.7	44.9
100-YR, 6-HR Rainfall (in)	4.2	n/a
100-YR, 6-HR Rainfall (CY)	38,736*	n/a
100-YR, 6-HR Rainfall (GAL)	7,823,123*	n/a
De-water time (Hrs)	27.2**	
Freeboard-minimum (in)		11.2 (4.2+7)
Freeboard-preferred (in)		16.2 (4.2+12)

^{*68.6} acres at Elev. 527.0 **using 2-4800 gpm pumps

The top of the dam needs to be partially-filled and re-graded to a minimum elevation of 528.0 at the following six (6) locations, as shown in **Table 2** and

noted on the Profile in **Appendix D**. These location limits are approximate. Exact limits will have to be determined in the field during any construction.

TABLE 2. DAM RE-GRADE AREAS

AREA NO.	STATION TO STATION	LENGTH (FT)
	0.00 44.00	
1	9+60 - 14+82 19+45 - 22+60	522 315
3	25+16 - 27+14	198
4	40+28 - 45+00	472
5	68+41 - 70+18	177
6	76+63 - 84+80	817
Total Re-Grade Length		2,501
Total Dam Perimeter		8,772

6. Recommendations

If no changes are made to the minimum dike elevation of 528.0 of the ash pond dam, S&ME recommends that the water surface not exceed an elevation of 526.7 or 0.1 feet above what was field-measured. This would provide the recommended 1-foot freeboard and the storage for a 6-hour, 100-year rain event of approximately 4.2 inches. However, additional storage could be achieved if the dike height was increased and the exterior slopes widened accordingly. In lieu of this additional construction and related costs, the pond volume should be maintained with the current de-watering methods to adjust the control elevation as needed. Additionally, periodic inspection by EKPC personnel will be required to ensure that this elevation is maintained and to determine what, if any, corrective measures are necessary.

Although the minimum freeboard height is estimated to be 7 inches, a freeboard height of 12 inches is recommended to account for the lack of an emergency spillway, potential wave/bank action, pump failure and other contingencies. Any areas along the interior slope that show signs of erosion or lack of vegetation should be repaired as soon as practical to minimize further erosion and to protect the intended storage volume of the pond.

Based on the profile of the dam perimeter, some of the low-lying areas need to be re-graded and covered with clay to maintain the required freeboard. This will also include replacement of the crushed stone used for the road surface.

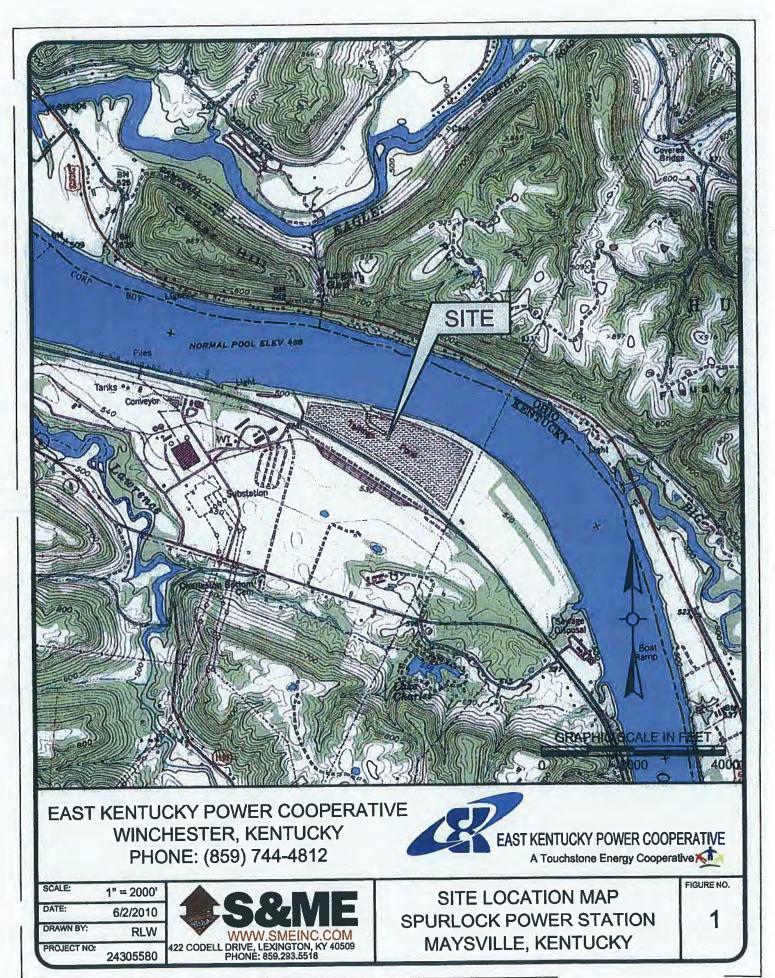
In summary:

- Maintain water elevation of 526.7 (currently at 526.6) or lower
- Use a 12-inch freeboard + addition of 4.2-inch rainfall event
- If a significant rainfall event should occur, de-water volume down to elevation 526.7 as soon as possible to maintain required freeboard

- Raise the grade of the dam, as noted, at the locations shown on the profile and discussed in the report to a minimum elevation of 528.0
- The final ash level in the pond should be approximately 2.5 feet below the top of the dam elevation or 525.5 currently, in accordance with the original design plans
- Correct any interior slope deficiencies, including erodible areas, etc.
- Additional volume can be achieved if dam height is raised and exterior slopes are adjusted accordingly
- EKPC plant personnel should periodically inspect and note water elevation and top of dam elevation is in compliance with requirements discussed in this report
- Volumes are subject to change since fieldwork was done in late February and construction at the pond has occurred since then or may be ongoing

[END REPORT]

► Site Location Map



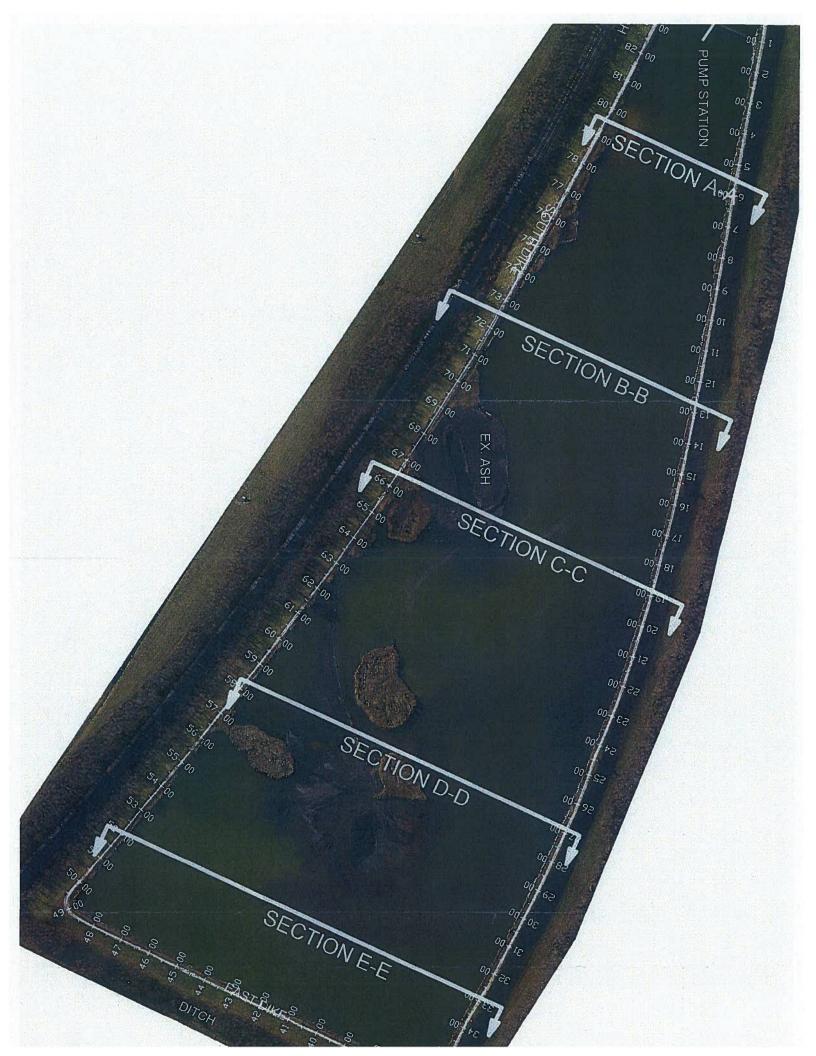
APPENDIX B

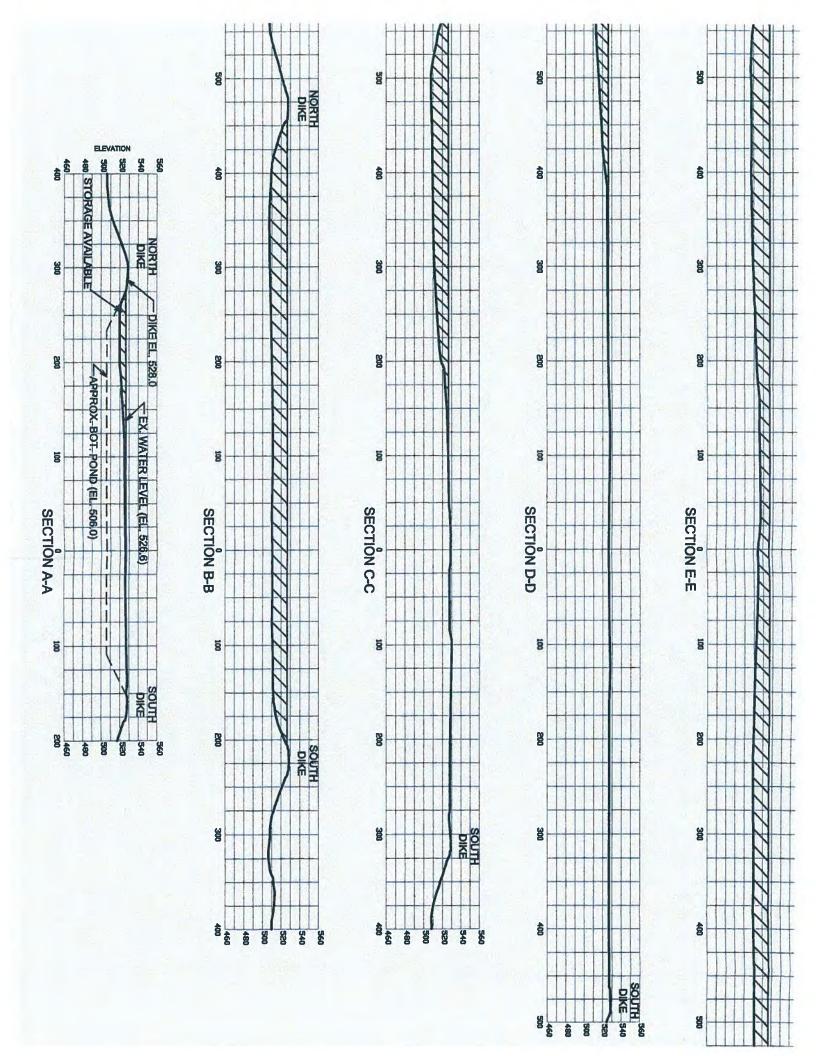
► Aerial Map of Ash Pond



APPENDIX C

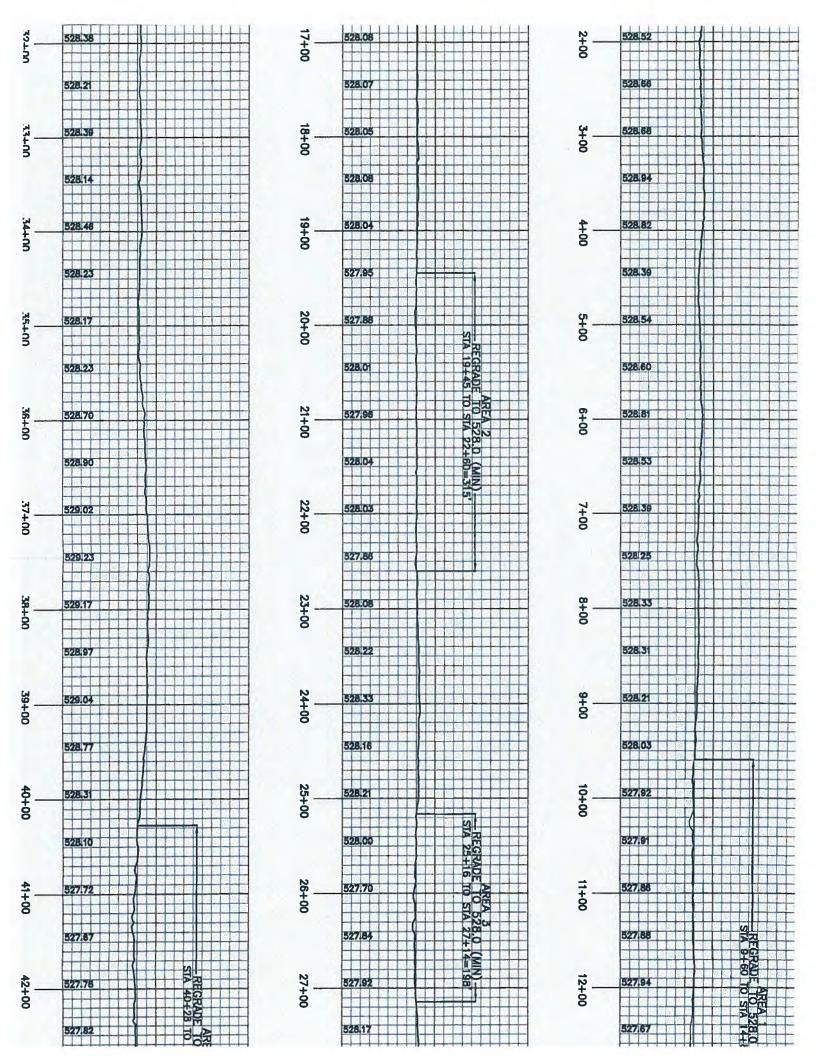
► Typical Sections

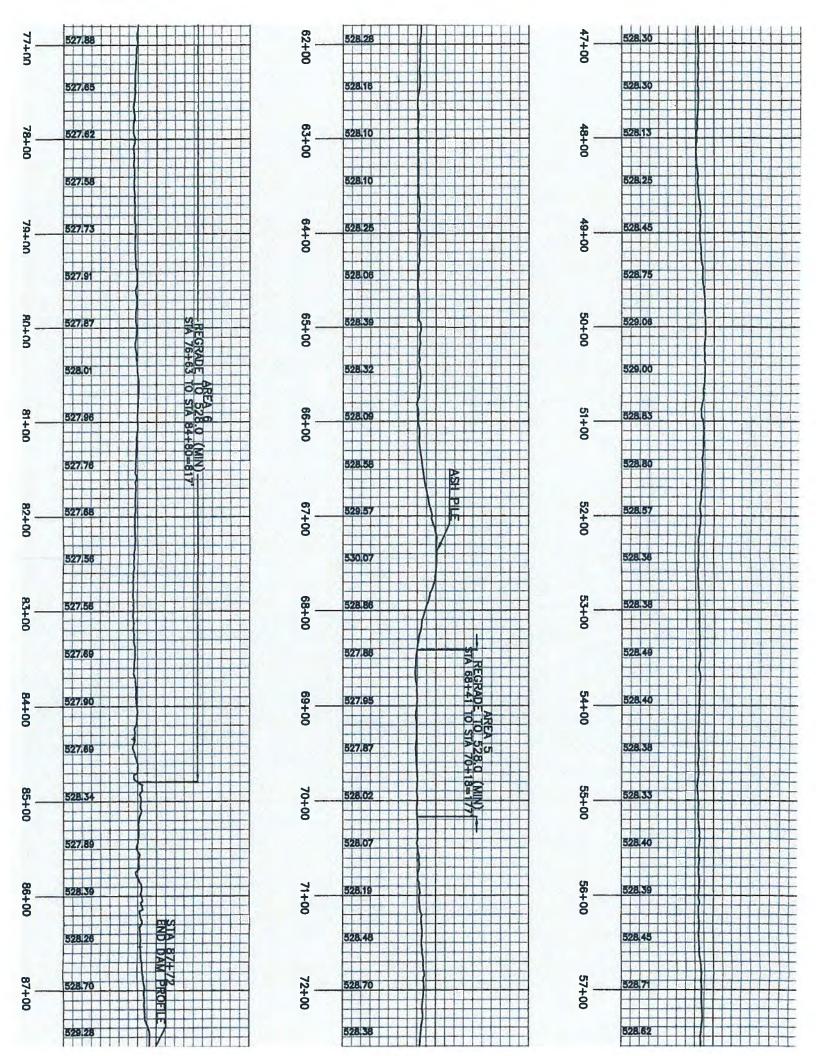




APPENDIX D

► Dam Profile



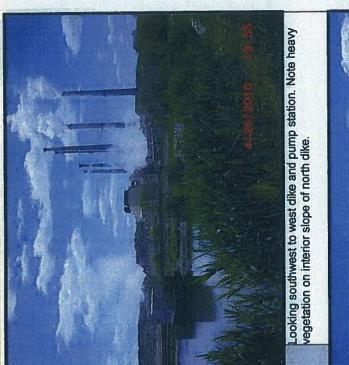


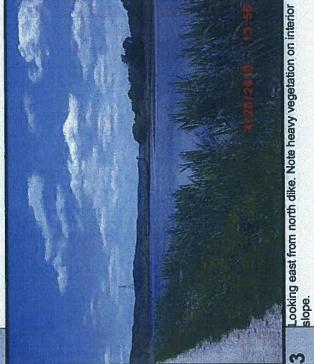
APPENDIX E

► Site Photographs



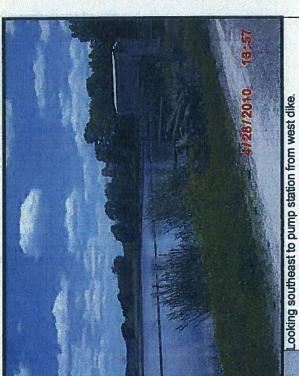


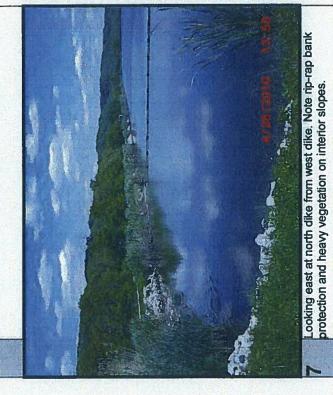




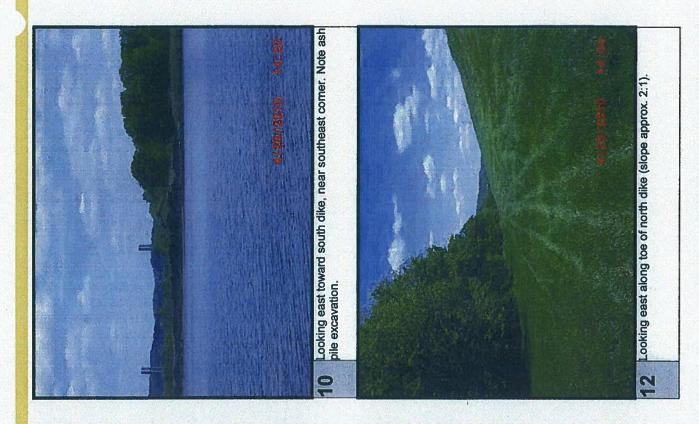


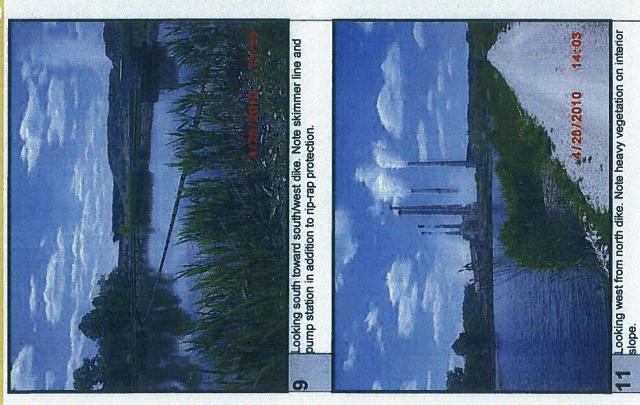












Document 7

S&ME Engineering Study for Seepage

Doc 07: S&ME Engineering Study For Seepage

ENGINEERING STUDY FOR SEEPAGE AT SPURLOCK ASH POND

S&ME Project No. 1831-10-5580

Prepared for:



4775 Lexington Road Winchester, Kentucky

Prepared by: S&ME, Inc. 422 Codell Drive Lexington, Kentucky 40509

ENGINEERING INTEGRITY

October 2010

Ash Storage Pond

Engineering Study
For Seepage
Spurlock Power Station
Maysville, Kentucky

1. Introduction

S&ME, Inc. (formerly QORE, Inc.) was retained by East Kentucky Power Cooperative (EKPC) to perform engineering services for the evaluation of seepage along the west end of the north dike and the east end of the south dike. These wet areas were identified on the Stantec drawing entitled Observed Physical Features, dated August 2009.

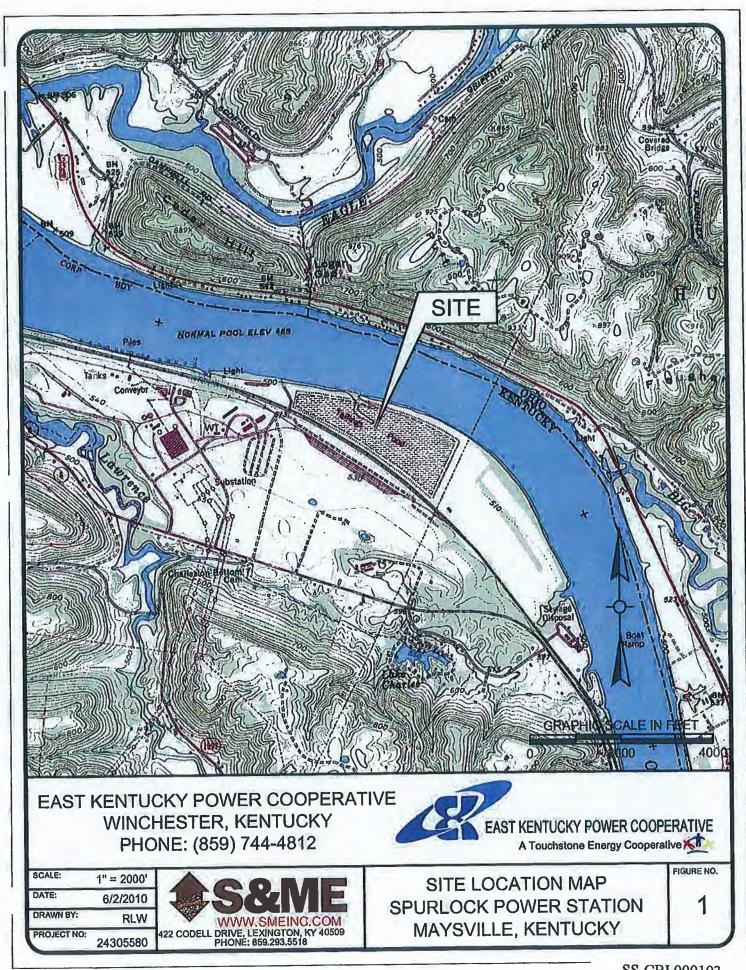
Field evaluation and reconnaissance was performed by Mr. Michael E. Merriman, PE, and Alan Leake, PLS of S&ME.

2. Site Information

Spurlock Power Station is located near Maysville in Mason County, Kentucky and is owned by East Kentucky Power Cooperative of Winchester, Kentucky. Spurlock Station is located adjacent to KY 8 on the south, Inland Container on the east and the Ohio River on the north.

The ash storage pond is located just south of the Ohio River (Mile 413) at the northeast corner of the station property (See Figure 1). The area of the pond is approximately 69 acres (measured at the existing water elevation). Based on field survey information, the average top of dike elevation was 528.0 with a pond elevation of 526.6. The perimeter of the dam is approximately 8,772 feet (1.66 miles). For the Ohio River, the normal pool elevation is 485.0 and the base flood (100-yr) elevation is 515.0.

Interior and exterior slopes of approximately 3:1 (H:V) were proposed along the dike walls, except along the north (river) side, where the exterior slope is approximately 2:1. The top width of the dike is approximately 16 feet and is gravel-covered for access. The dam structure is classified as a Class (A) – Low Hazard Structure type in accordance with guidelines from the Kentucky Department of Environmental Protection (Division of Water). This classification applies to structures located such that failure would cause loss of the structure itself but little or no additional damage to other property.



3. Evaluation

To evaluate the observed wet areas S&ME installed three (3) nested piezometers along the northern dike, drilled shallow soil test borings in the area of the identified wet areas on the northern dike, and made several site visits to observe the ground conditions in the Stantec identified wet areas (See Figure 2).

Several shallow soil test borings were advanced in the area on the west end of the north dike that Stantec had identified as a possible seepage area. At the time of our soil borings, the ground was damp from a recent rain event. Our borings did not indicate evidence of a high water table and the soil was moist and not wet.

In the "seep/wet" areas indentified in the Stantec report along the northern dike, S&ME excavated shallow sump holes and left them open to observe if water seeped into the sumps. Over time we did not observe any water seeping into the sumps and the holes were filled back in.

At three (3) locations along the northern dike, including the wet area identified in the Stantec report, S&ME installed cluster piezometers. One piezometer was installed with a screen interval from 25 to 30 feet below the ground surface. Next to this piezometer, we installed another piezometer at a depth of 10 to 15 feet with a screen interval also at the bottom five feet of the borehole. The piezometers were backfilled with filter pack sand, a bentonite seal, and then grouted to the surface. The shallower piezometers screened intervals are isolated in the upper horizon described as a silty clay and sandy clay layer that extends along the northern dike and overlies the silty sand that extends 30 feet below the ground surface (bgs).

We have taken three readings thus far: June 2, 2010; July 30, 2010; and September 9, 2010. The readings show that the deeper piezometers (designated P-1B, P-2B, P-3B) indicated a phreatic surface that corresponded with the elevation of the adjoining Ohio River. This represents the stable or natural groundwater table. The shallower piezometers (designated P-1A, P-2A, P-3A) also showed a consistent phreatic surface that occurs approximately eight (8) feet below the ground surface at Piezometers No. 1 and No. 3, and approximately 12 feet bgs at Piezometer No. 2. The elevation of the upper phreatic surface has not changed appreciably over the three readings (See Appendix A).

During our site visits we documented the ground conditions at the three "seep/wet" areas identified on the Stantec drawing (Observed Physical Features). On the northern dike in all of our visits the ground was dry and firm with the exception of May 4, 2010, immediately after heavy rains from the previous weekend when approximately 8-10 inches of rainfall was recorded at the site. However, we noted that the ground surface was dry and firm

PROJECT NO. 1831-10-5580

DATE: OCT., 2010

3CALE: 1"=500"



approximately four weeks later. We did observe, periodically, water standing in the southeast corner of the pond, as identified on the Stantec drawing. We observed water only after rain events and only when water was flowing within the adjacent railroad ditch.

In our opinion, the three "seep/wet" areas identified on the Stantec drawing Observed Physical Features dated August 2009 are not from leaks or seepage from the Spurlock Ash Pond.

- For the northern dike, our multiple observations and explorations confirmed the ground is dry, except immediately after a rain event.
- For the southeast corner of the dike, the wet areas appear to be associated with the adjacent ditch along the railroad. Water from the ditch is allowed to migrate to this area because of negative drainage patterns.
- We identified a groundwater table beneath the embankment that corresponds with the adjacent Ohio River level. This water table occurs in the sand horizon beneath the overlying silty clay horizon.
- We identified an upper phreatic surface that is confined to the clay horizon. This phreatic surface did not rise to the ground surface and appears to be the seepage line associated with the impoundment. The phreatic line is eight (8) to 12 feet below the toe of the embankment.

4. Recommendations

The following recommendations are suggested to remove standing water from around the dam and to promote positive drainage to existing swales or ditching located in the vicinity of the study area.

- Re-grading the low-lying areas noted in this report will reduce the potential for ponding water around the dam embankment.
- Maintenance staff at the plant should continue to monitor this location for signs of flow, leaks, or change in water color or clarity. Any noted changes should be made known to the appropriate plant personnel. Guidelines for corrective action for this condition are noted in the Emergency Action Plan and should be followed to the maximum extent practical.
- No other remediation or corrective actions are necessary at this time.
 Readings on the instrumentation will continue at 3-month intervals and updates to this report will be included as an addendum. If any changes to the field readings warrant immediate action, S&ME will notify EKPC staff.

[END OF REPORT]

► Piezometer Readings

P-1A

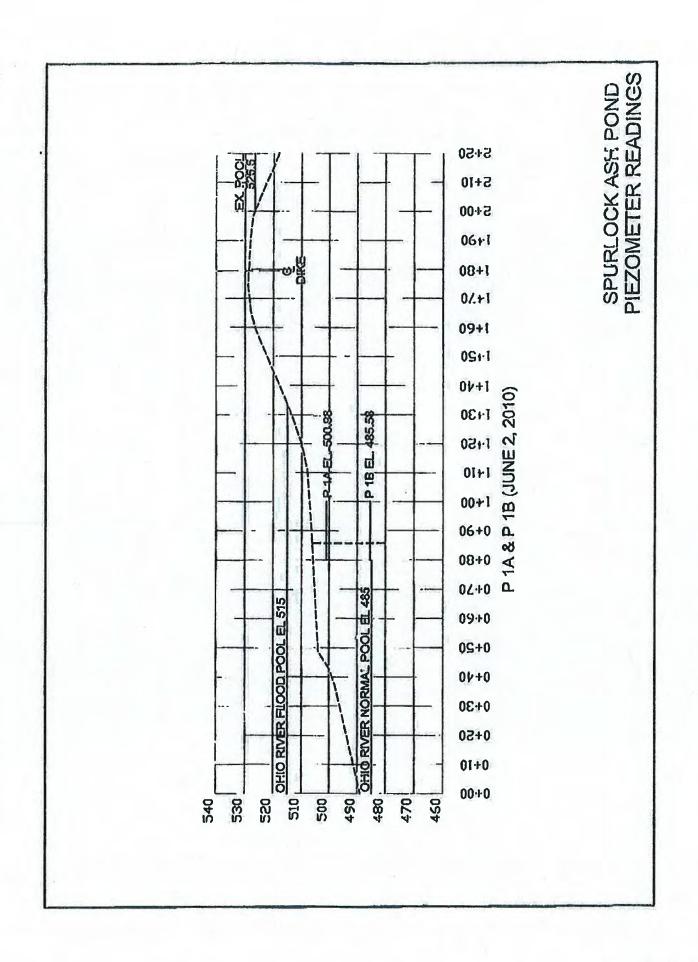
P-1B

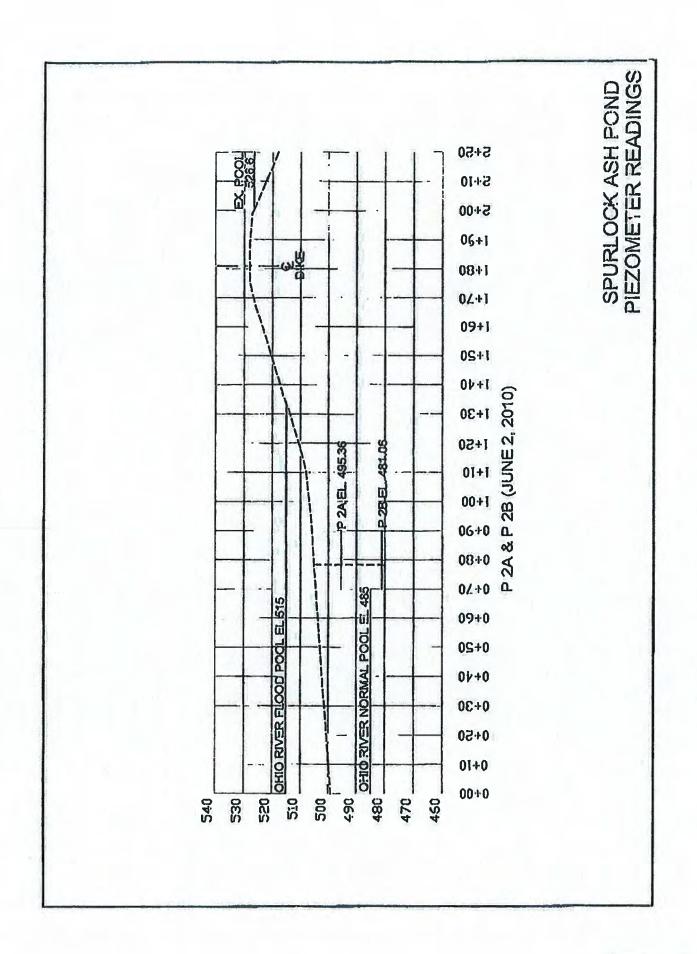
P-2A

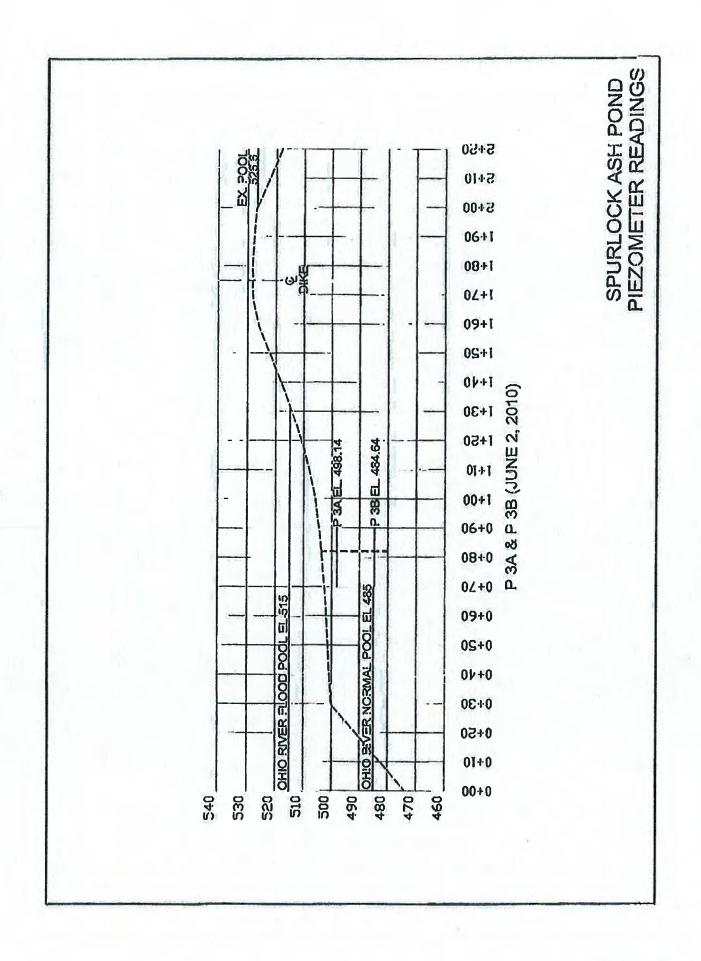
P-2B

P-3A

P-3B







Document 8

Miscellaneous Maps & Drawings

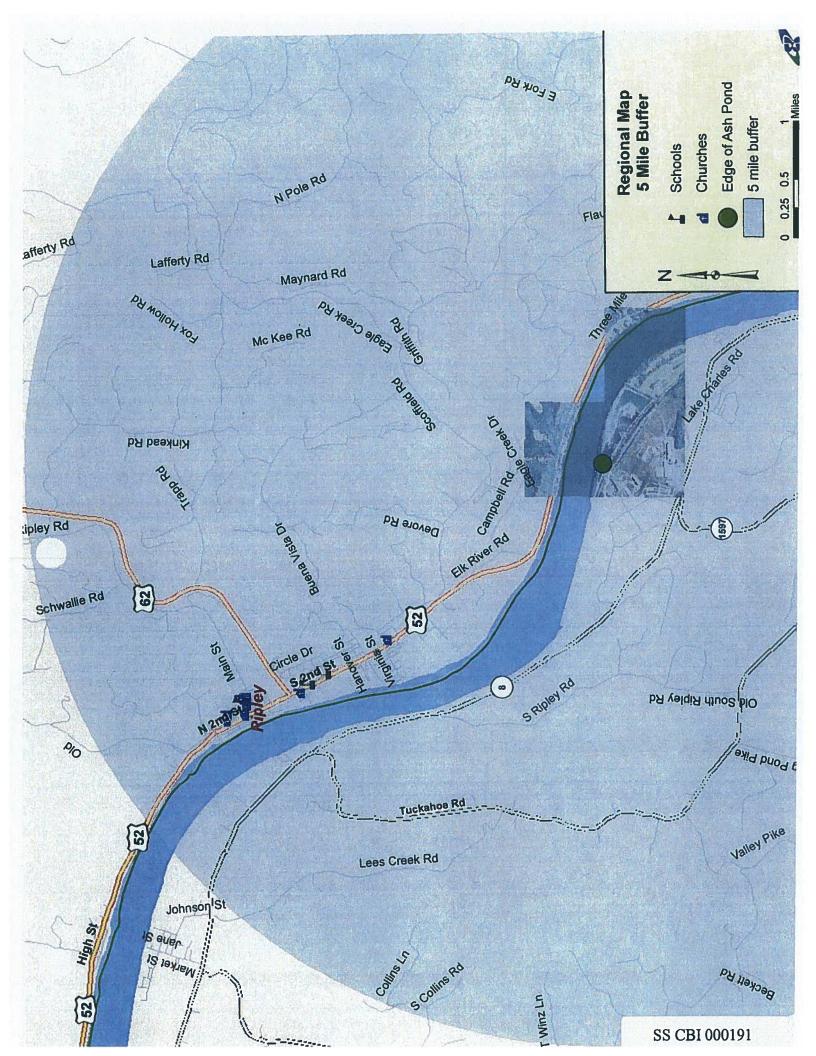


Miscellaneous Maps & Drawings

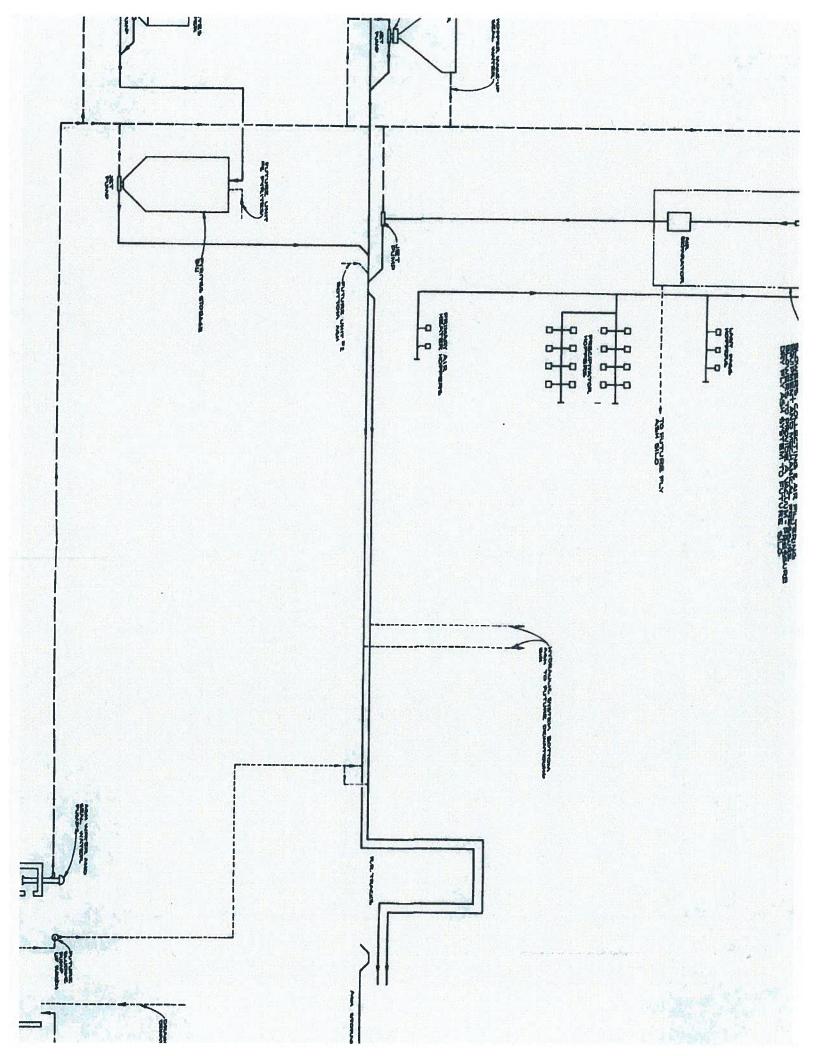
Ash Storage Pond

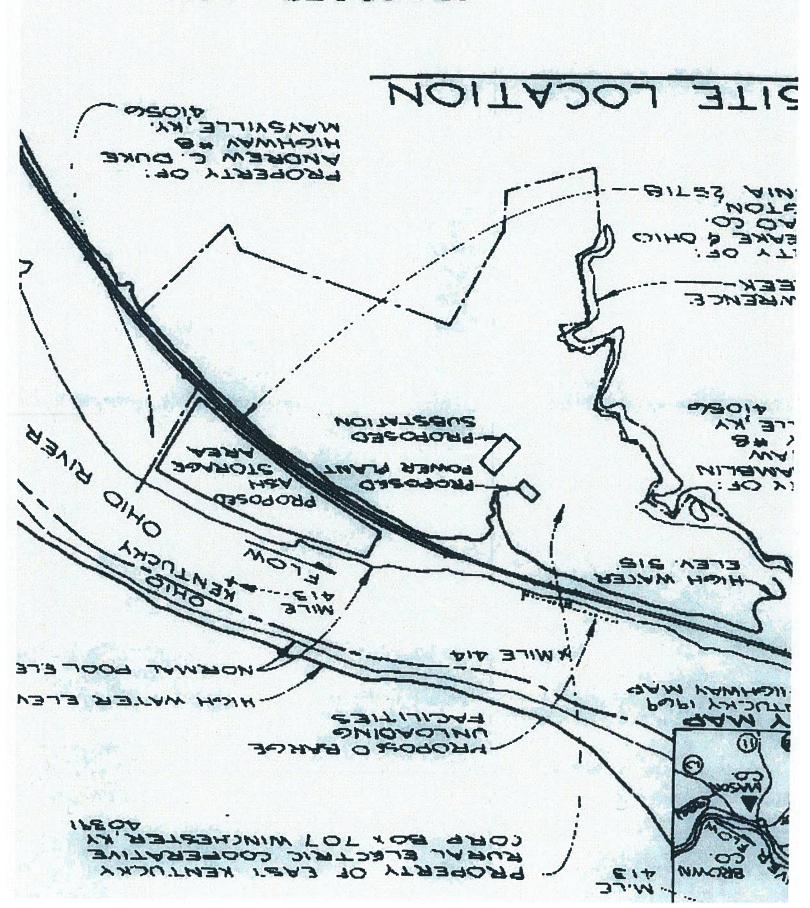
Spurlock Power Station











PROPOSED ASH STORAGE AREA STORAGE AREA ON OHIO RIVER

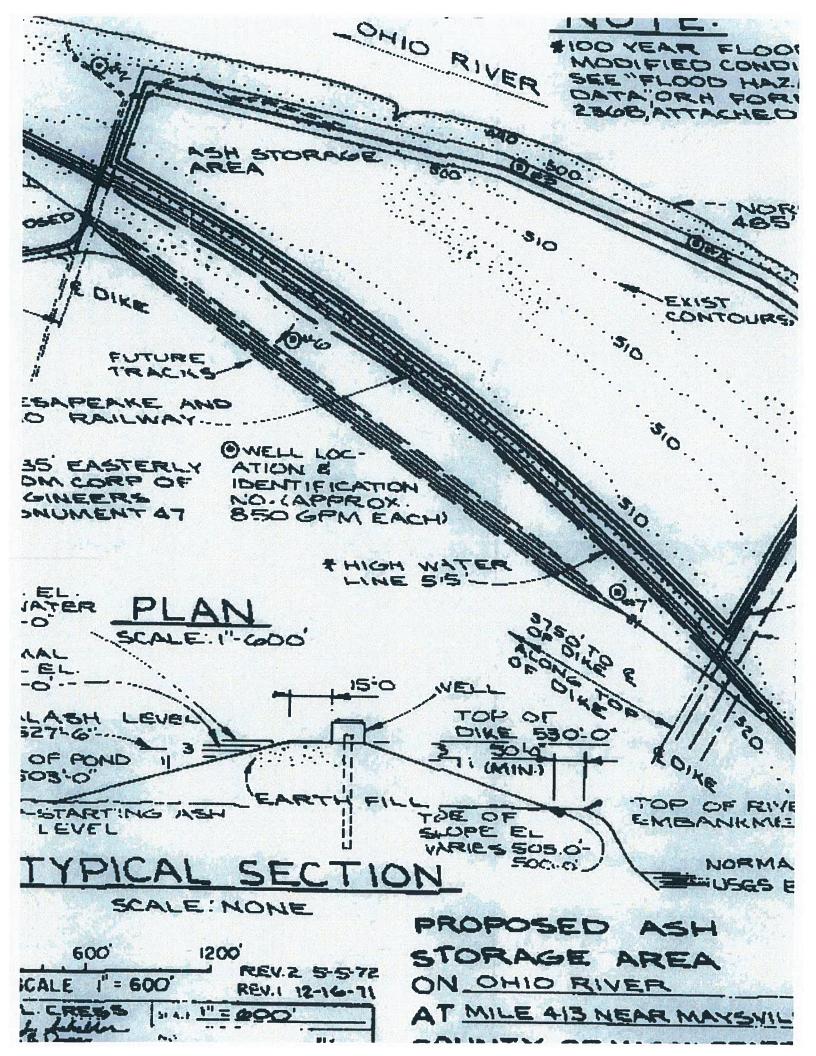
E: 1,= \$000,

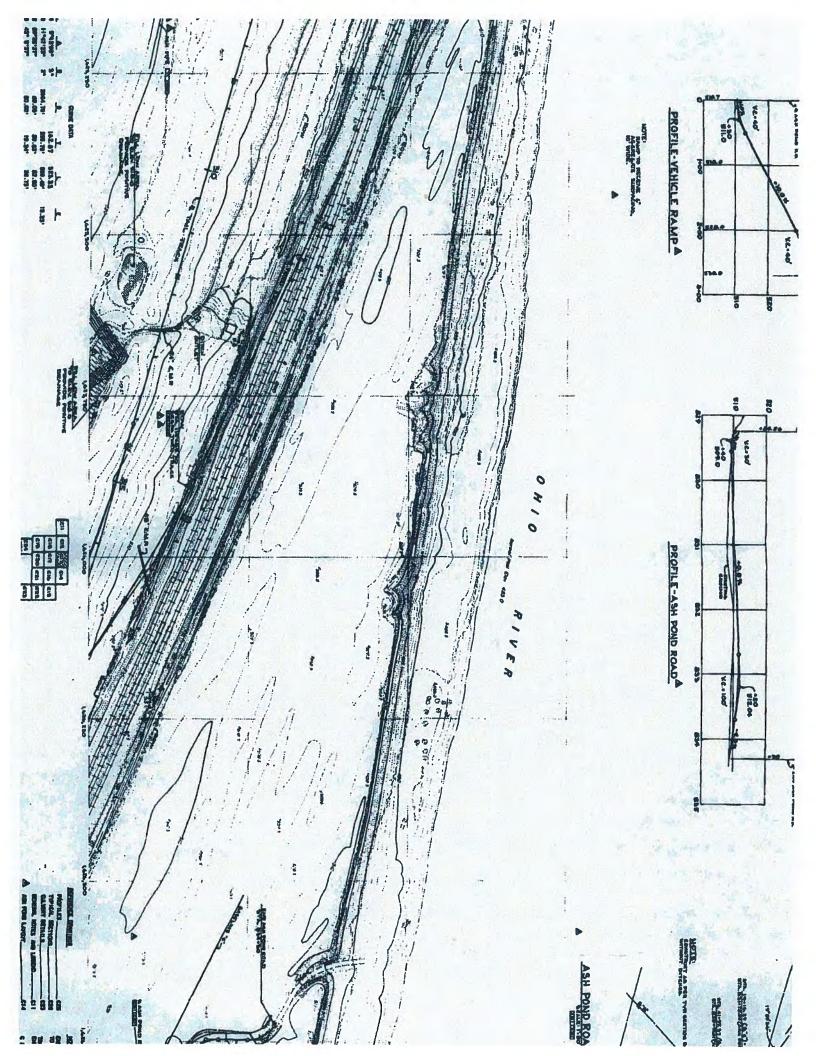
00035 N 31175

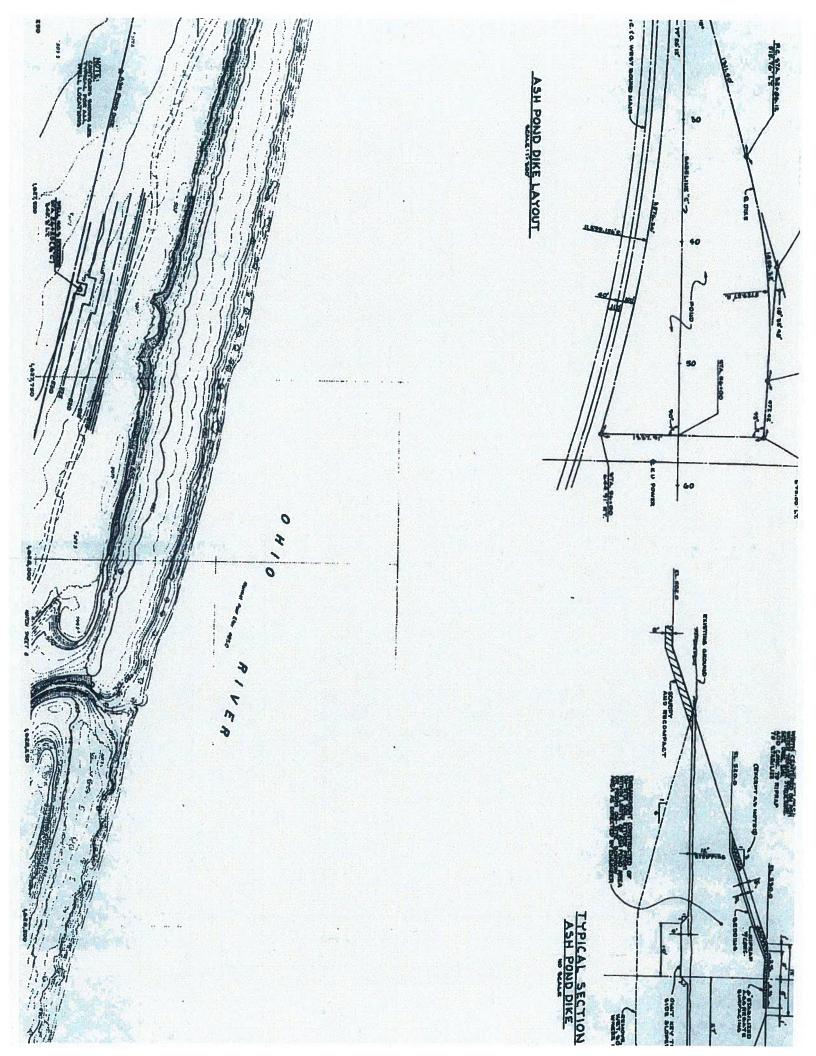
102-1

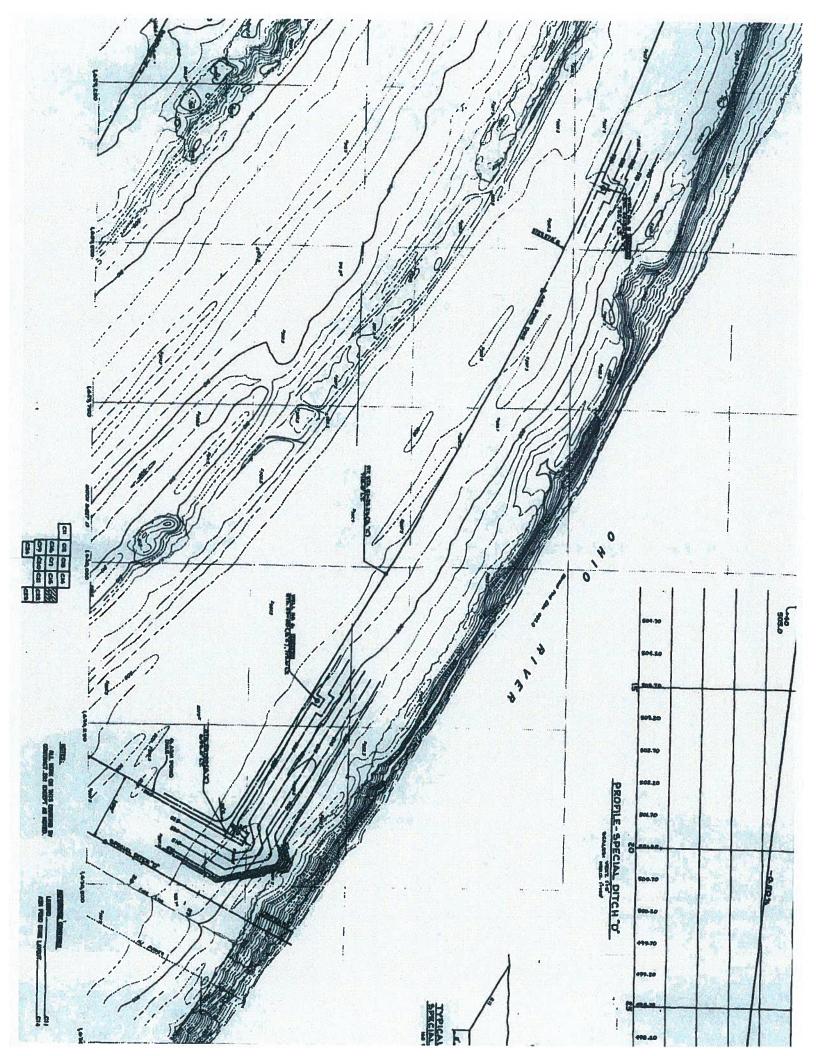
AT MILE ALB MEAS MAYEVILLE, KENT JC

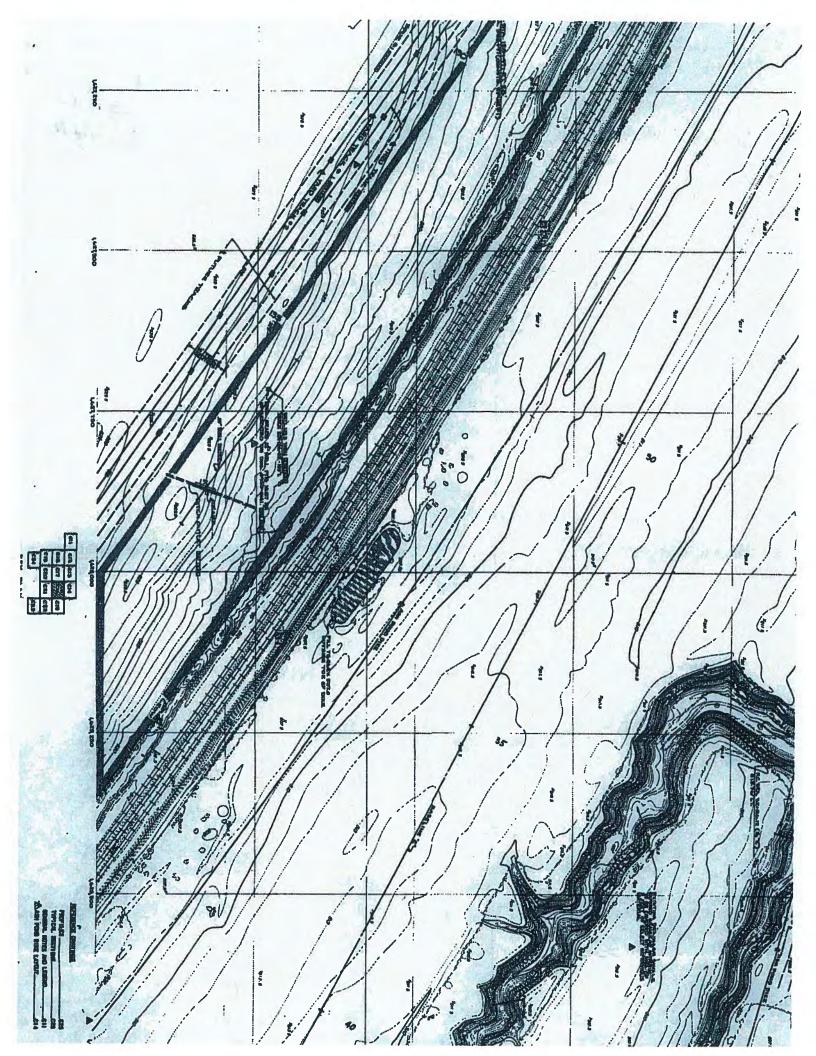
2537

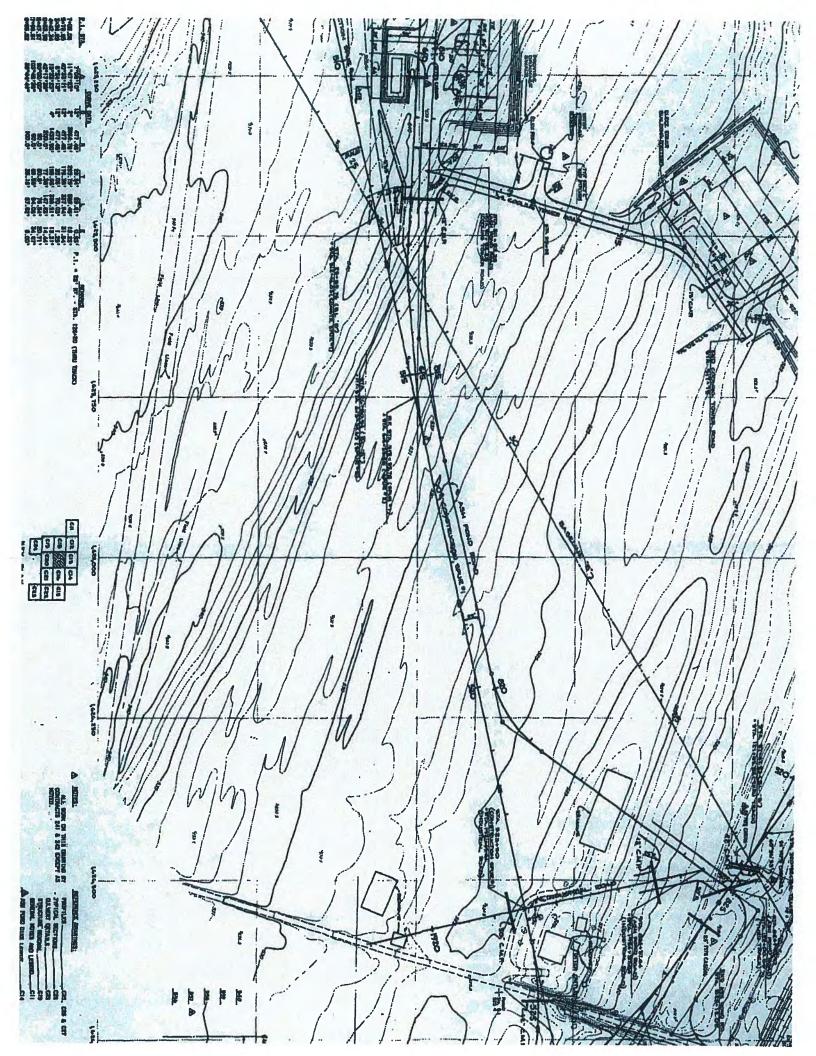


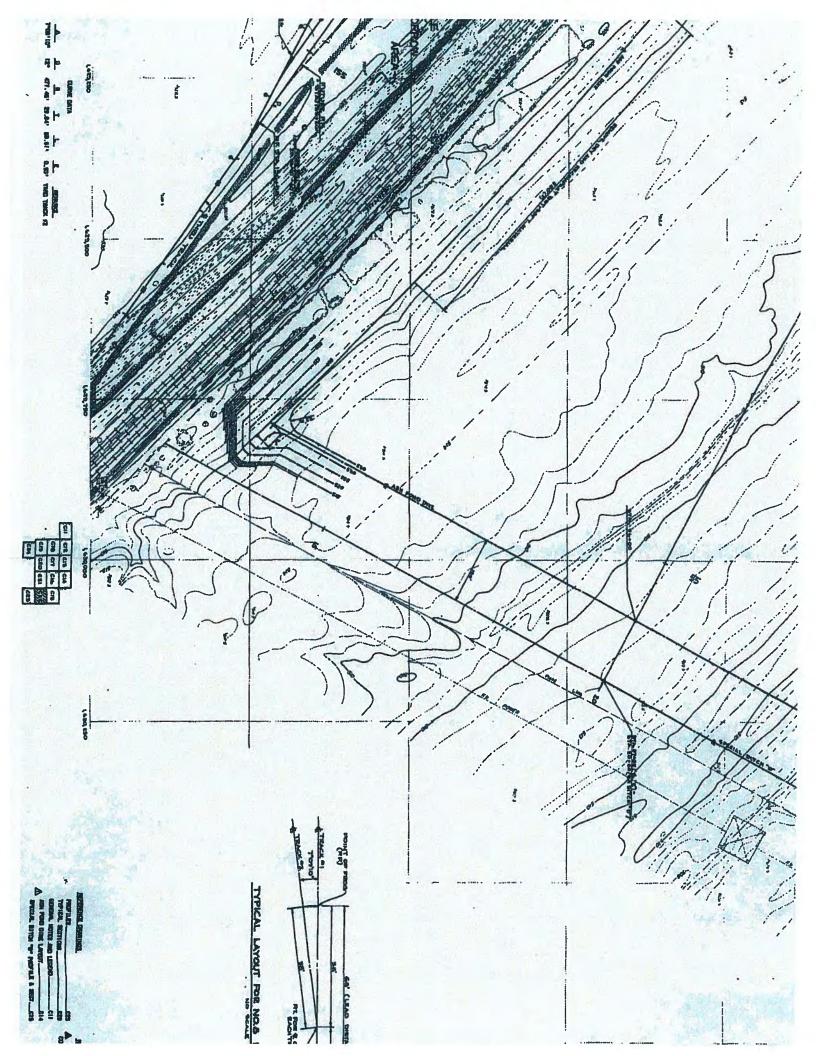


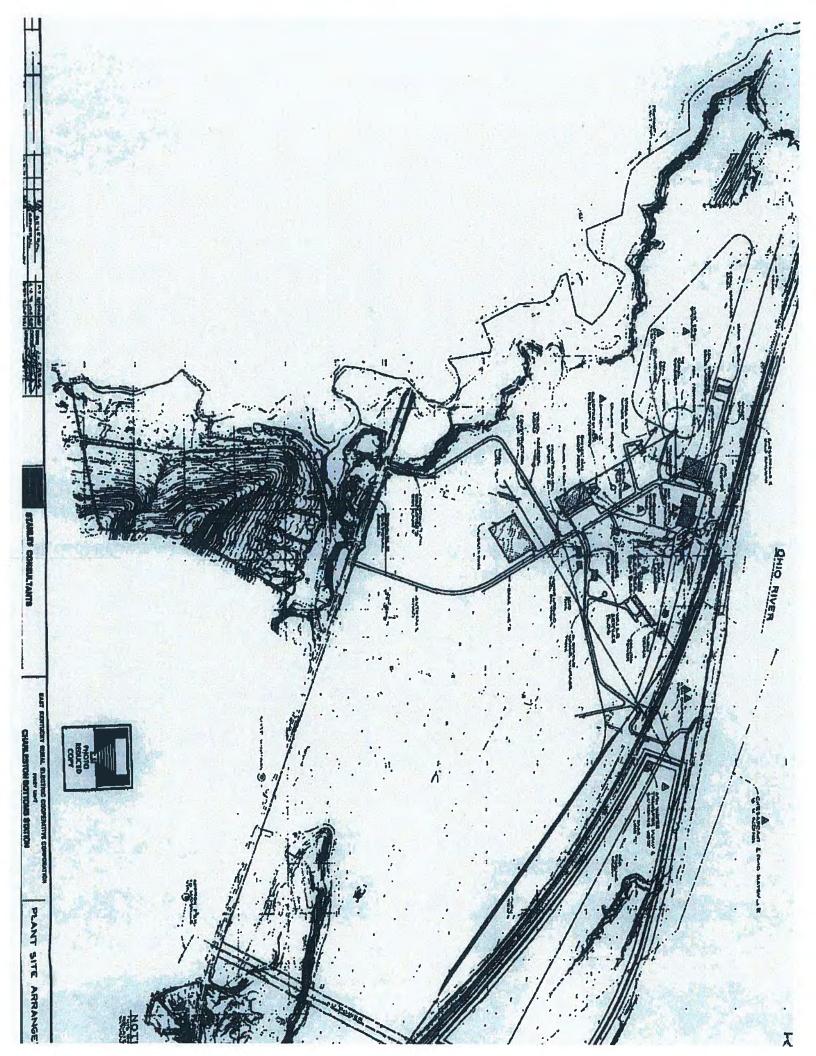


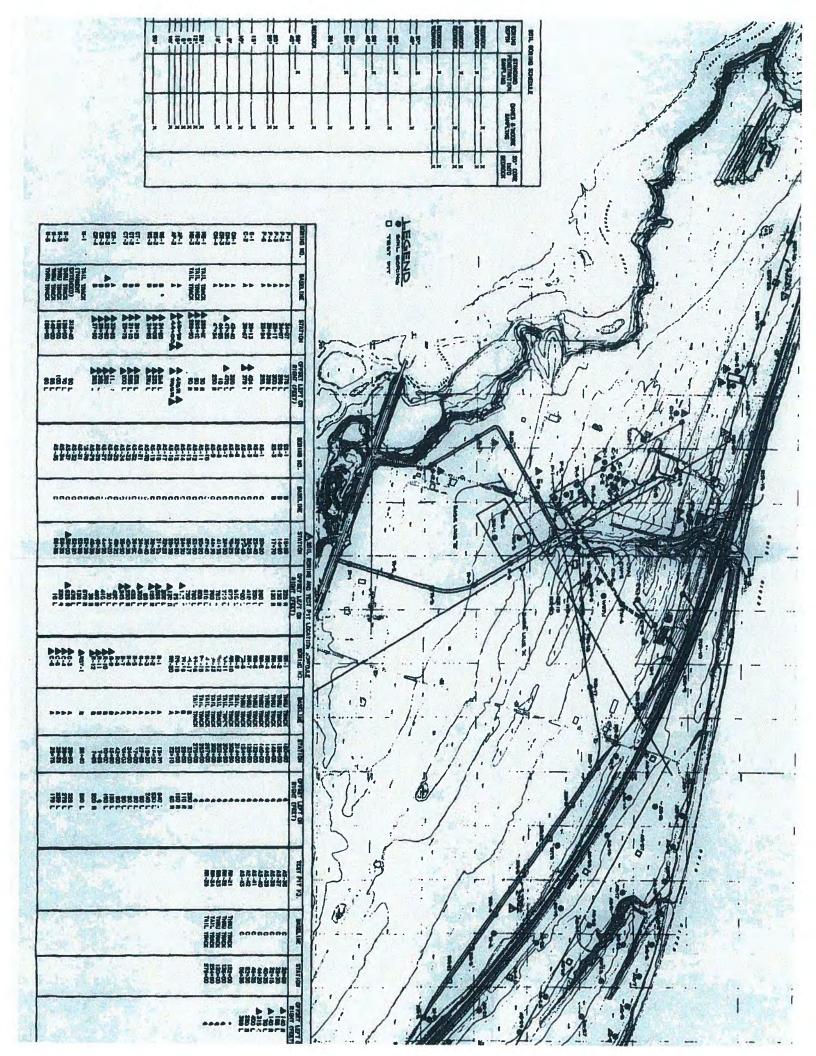


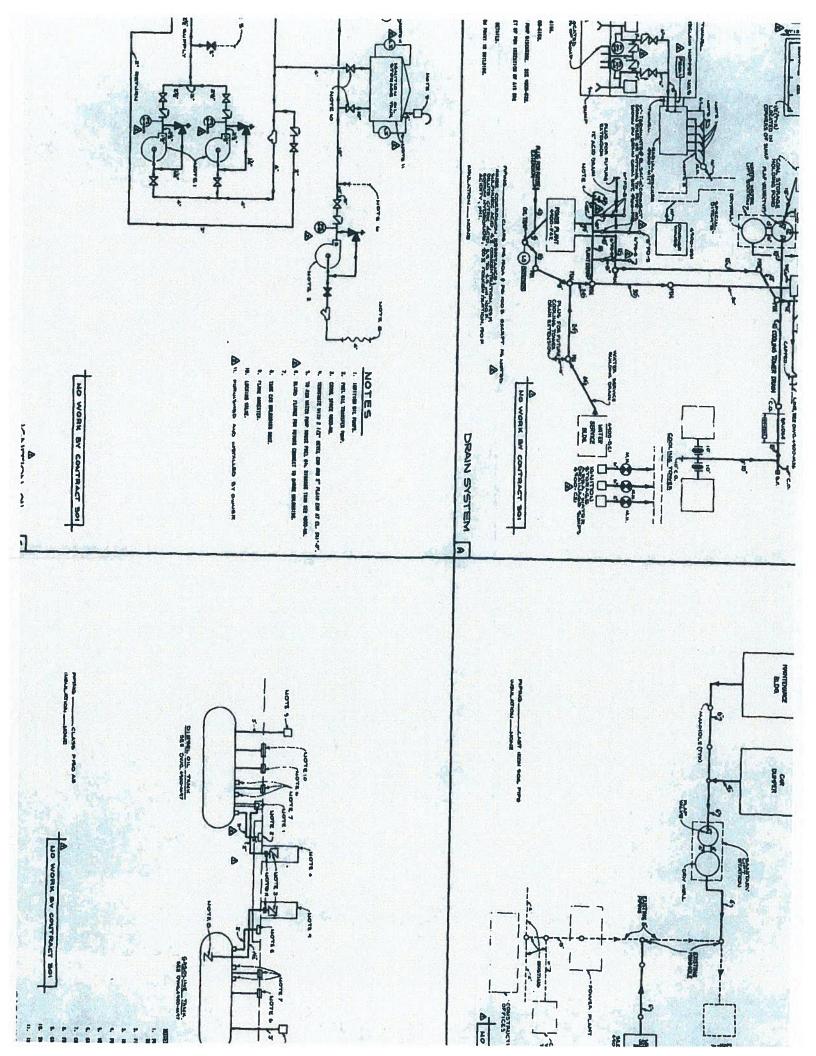


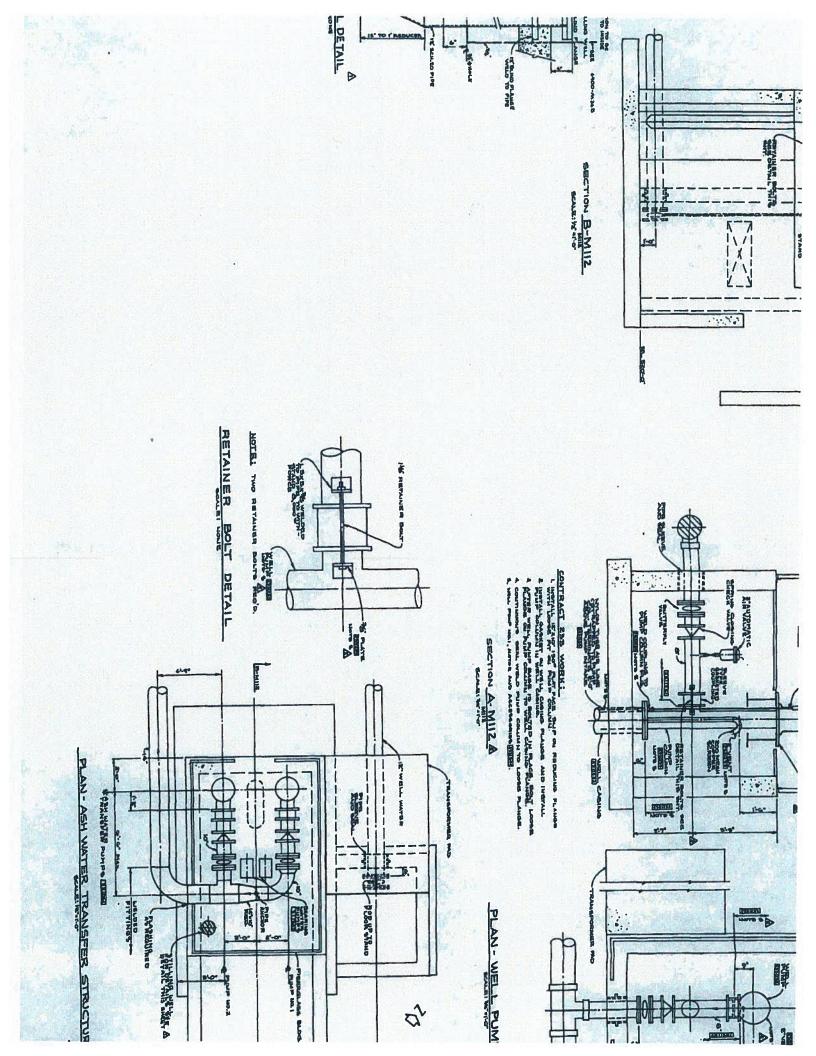


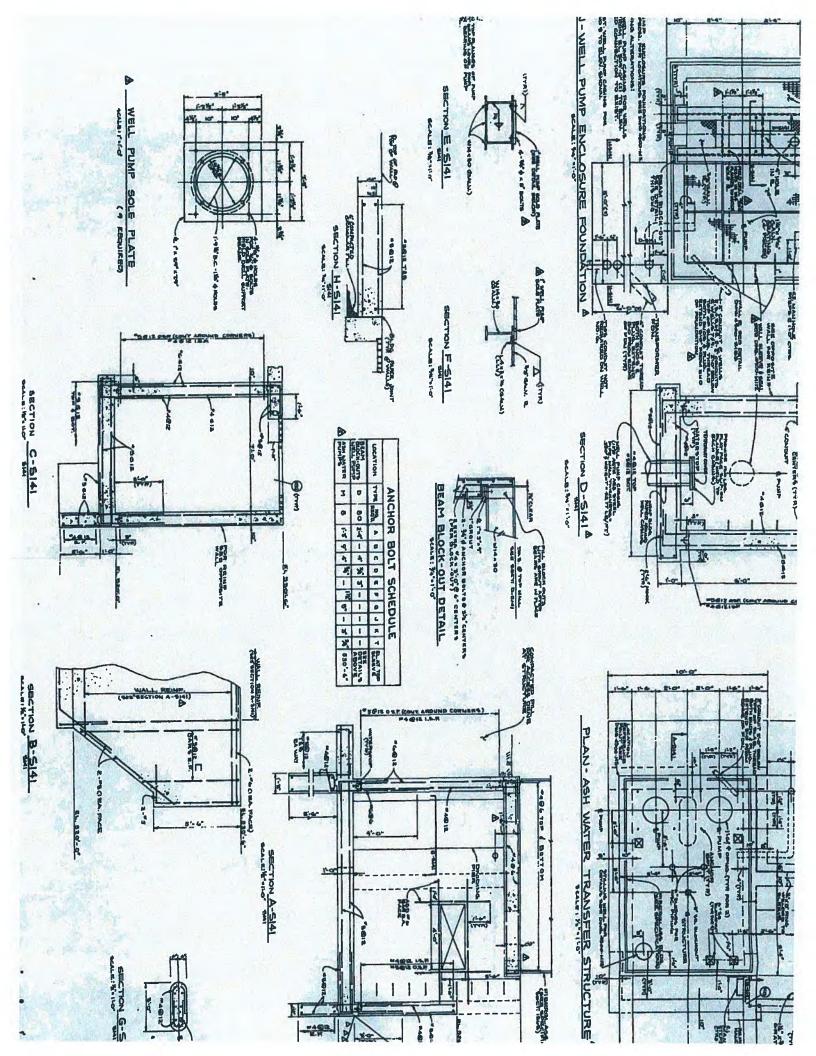












Appendix B

EPA Checklist

APPENDIX B

Document 9

EPA Checklist

Site Name:	H.L. Spurlock	Date: 2/15/11	
Unit Name:	Ash Pond	Operator's Name:	East Kentucky Power Cooperative
Unit I.D.:		Hazard Potential Classification:	High Significant Low
	Inspector's Name:	Robert Edwards, PE and Laure	en Ohotzke

Check the appropriate box below. Provide comments when appropriate. If not applicable or not available, record "N/A". Any unusual conditions or construction practices that should be noted in the comments section. For large diked embankments, separate checklists may be used for different embankment areas. If separate forms are used, identify approximate area that the form applies to in comments.

	Yes	No		Yes	No
Frequency of Company's Dam Inspections?	X		18. Sloughing or bulging on slopes?		Х
2. Pool elevation (operator records)?	X		19. Major erosion or slope deterioration?		Х
3. Decant inlet elevation (operator records)?	X		20. Decant Pipes:		CONT.
4. Open channel spillway elevation (operator records)?		N/A	Is water entering inlet, but not exiting outlet?	3 4	N/A
5. Lowest dam crest elevation (operator records)?	Χ		Is water exiting outlet, but not entering inlet?		N/A
If instrumentation is present, are readings recorded (operator records)?	, X		Is water exiting outlet flowing clear?		N/A
7. Is the embankment currently under construction?		Х	21. Seepage (specify location, if seepage carries fines, and approximate seepage rate below):	3	
Foundation preparation (remove vegetation, stumps, topsoil in area where embankment fill will be placed)?	X		From underdrain?		Х
Trees growing on embankment? (If so, indicate largest diameter below)		Х	At isolated points on embankment slopes?		Х
10. Cracks or scarps on crest?		X	At natural hillside in the embankment area?		X
11. Is there significant settlement along the crest?		. X	Over widespread areas?		X
12. Are decant trashracks clear and in place?		- N/A	From downstream foundation area?		X
13. Depressions or sinkholes in tailings surface or whirlpool in the pool area?		X	"Boils" beneath stream or ponded water?	,	Х
14. Clogged spillways, groin or diversion ditches?	•	Х	Around the outside of the decant pipe?		X
15. Are spillway or ditch linings deteriorated?		Х	22. Surface movements in valley bottom or on hillside?		X
16. Are outlets of decant or underdrains blocked?	٠.	N/A	23. Water against downstream toe?	- :	Х
17. Cracks or scarps on slopes?		Х	24. Were Photos taken during the dam inspection?	X	

Major adverse changes in these items could cause instability and should be reported for further evaluation. Adverse conditions noted in these items should normally be described (extent, location, volume, etc.) in the space below and on the back of this sheet.

Issue #	<u>Comments</u>
1	There are 2 daily inspections (1 during each 12 hour shift) done by plant personnel; Records of these daily inspections are kept for the day until reviewed by a supervisor. If there are no abnormalities found, then the inspection document(s) are discarded. To date, there have not been any abnormalities found during these daily inspections. In 2009, annual inspections began, using a 3 rd party geotechnical engineering company.
4	This impoundment does not have an open channel spillway.
5	Crest is surveyed annually and restored to 28 foot height if necessary. Crest is observed twice during daily inspections for abnormal settlement, low spots, etc., and maintained as required.
12,16,20	Water from the impoundment is pumped to a series of treatment lagoons, therefore no decant pipe(s) exist.



Coal Combustion Waste (CCW)

Impoundment Inspection

Impoundmen	t NPDES Pe	rmit N/A		INSPECT	OR Robert Edw Ohotzke	ards, PE and I	auren
lmpo	l undment Na	Date 2/15/11 ame Ash Pon					
impound	lment Comp EPA Re		ntucky Power Co 4	ooperative			
(Field	State Age Office) Add	ency ress Dam Sa		lain Complia	nental Protection Ince, Kentucky Di 1189		er
Name o	f Impoundn	nent Ash Pon	d				
(Report	each impou	ndment on a s	eparate form ui	nder the sam	e Impoundment	NPDES Permit	number)
New 🔀		Update					
					Yes		No
ls water or c			rently under co	oundment?			
IMP	OUNDMEN	T FUNCTION:	H.L. Spurlock I	Power Plant.	bottom ash, from Fly ash is collect plant's landfill.		
Nearest De	ownstream	Town Name:	Ripley, Ohio				
Distance	from the im	poundment:	3.5 miles dow	nstream			
Latitude	38	Degrees	42	Minutes	1.6158	Seconds	N
Longitude	-83	Degrees	48	Minutes	6.0978	Seconds	w
	State	Kentucky		County	Mason		
					Yes		No
	Does a st	tate agency re	gulate this impo	oundment?			
			If So Which Sta	te Agency?	Kentucky Divisi performed base classification of	ed on the haza	ard
			55 Wineli Sla	te Agenty!	as a Low hazard impoundment	classification	



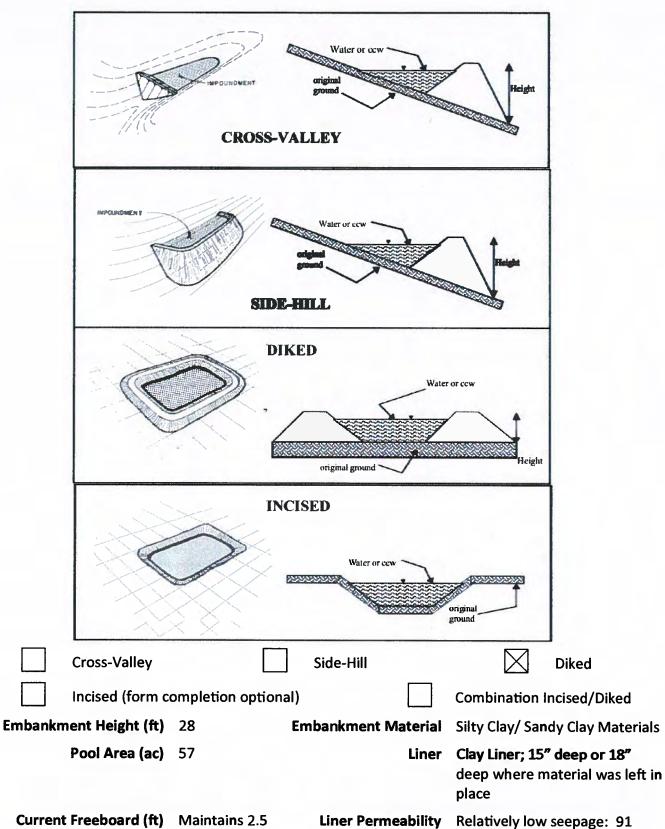
HAZARD POTEN	NTIAL (In the event the impoundment should fail, the following would occur):
	LESS THAN LOW HAZARD POTENTIAL: Failure or misoperation of the dam results in no probable loss of human life or economic or environmental losses.
	LOW HAZARD POTENTIAL: Dams assigned the low hazard potential classification are those where failure or misoperation results in no probable loss of human life and low economic and/or environmental losses. Losses are principally limited to the owner's property.
	SIGNIFICANT HAZARD POTENTIAL: Dams assigned the significant hazard potential classification are those dams where failure or misoperation results in no probable loss of human life but can cause economic loss, environmental damage, disruption of lifeline facilities, or can impact other concerns. Significant hazard potential classification dams are often located in predominantly rural or agricultural areas but could be located in areas with population and significant infrastructure.
	HIGH HAZARD POTENTIAL: Dams assigned the high hazard potential classification are those where failure or misoperation will probably cause loss of human life.

DESCRIBE REASONING FOR HAZARD RATING CHOSEN:

Economic losses as a result of a failure or misoperation would primarily effect the H.L. Spurlock Power Plant's property, only.



CONFIGURATION:



(Design 1.5)

gal/min +/- 20% (Estimated

rate at time of construction).



TYPE OF OUTLET (Mark all that apply

	Open Channel Spillw	ay		
	Trapezoidal	TRAPEZOIDAL		TRIANGULAR
	Triangular		Top Width	Top Width
	Rectangular	1	Depth	Depth
	Irregular	4	Bottom	V V
	depth (ft)		Width	
	average bottom width (ft)	RECTANGULA	R	IRREGULAR
	top width (ft)	Dept		Average Width Avg Depth
	Outlet			
18"	inside diameter (SDR 17 – smooth lined –	19.5° OD)		
Mate	erial_		Inside Diameter	
	corrugated metal			
	welded steel			
	concrete			
	plastic (hdpe, pvc, etc.)			
	other (specify):			
			Yes	No
	Is water flowing through	the outlet?		
	No Outlet			
	Other Type of Outlet (specification) leaving the impoundment is with a capacity of 2 pumps 2400 gpm each. Generally with one pump at 2400 gpm series of treatment lagoons and secondary, respective	s pumped s at rate of y operates m to the s (primary		

The Impoundment was Designed By Stanley

	Yes	No
Has there ever been a failure at this site?		\boxtimes
If So When?		

If So Please Describe:

	Yes	No
Has there ever been significant seepages at this site?		\boxtimes
If So When?		

If So Please Describe:



		Yes	No
Has there ever been any mea	sures undertaken to		
monitor/lower Phreatic wat	er table levels based		
on past s	eepages or breaches		\bowtie
	at this site?		
if so, which method (e	.g., piezometers, gw		
	pumping,)?		

If So Please Describe:

ADDITIONAL INSPECTION QUESTIONS

Concerning the embankment foundation, was the embankment construction built over wet ash, slag, or other unsuitable materials? If there is no information just note that.

No, this was not the case.

Did the dam assessor meet with, or have documentation from, the design Engineer-of-Record concerning the foundation preparation?

Yes. Information prepared by the Utility was reviewed during the assessment and has been given to the assessors (Robert Edwards, PE and Lauren Ohotzke), temporarily, while an in depth report of the assessment is written, to be submitted to the EPA.

From the site visit or from photographic documentation, was there evidence of prior releases, failures, or patchwork on the dikes?

No such evidence was visible.

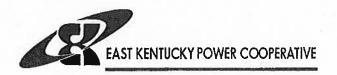
Appendix C

EKPC Response Letter to EPA's Request for Information (March 2009)

APPENDIX C

Document 10

EKPC Response Letter to EPA's Request for Information (March 2009)



March 24, 2009

Mr. Richard Kinch
US Environmental Protection Agency
Two Potomac Yard
2733 S. Crystal Dr.
5th Floor; N-5783
Arlington, VA 22202-2733

Dear Mr. Kinch:

Re: Request for Information under Section 104 (e) of Comprehensive Environmental

Response, Compensation and Liability Act, 42 U.S.C. 9604(e)

Please find enclosed East Kentucky Power Cooperative's (EKPC) response to questions issued by the EPA pursuant to CERCLA, 42 U.S.C. 9604(e). EKPC's responses include all EKPC surface impoundments or diked or bermed management units that receive liquid-borne material for the storage or disposal of residuals or by-products from the combustion of coal at the Dale Power Station and the H.L. Spurlock Power Station.

EKPC received two letters of request for information concerning the Dale Power Station and H. L. Spurlock Power Station. A response for each plant is attached followed by a signed certification.

Please contact EKPC representative, Jerry Purvis, Environmental Affairs Manager, at 859-745-9244 if you have any questions.

Sincerely,

Jerry Purvis, Manager Environmental Affairs

JP:ikr

Attachments

c: Bob Marshall, EKPC
David Smart, EKPC-Legal

John Twitchell, EKPC

Craig Johnson, EKPC

David Elkins, Spurlock Station Plant Mgr.

Ron Thomas, Dale Station Plant Mgr.

(h:Environ/EPA-request 3-09ltr.doc)

East Kentucky Power Cooperative, Inc. Dale Power Station

1. Relative to the National Inventory of Dams criteria for High, Significant, Low or Less-than-Low, please provide the potential hazard rating for each management unit and indicate who established the rating, what the basis of the rating is, and what federal or state agency regulates the units(s). If the unit(s) does not have a rating, please note that fact.

EKPC Response: Dale Ash Pond #2 – Not rated and regulated by the Kentucky Division of Water.

Dale Ash Pond #4 – Class A (low hazard), rated and regulated by the Kentucky Division of Water.

2. What year was each management unit commissioned and expanded?

EKPC Response: Dale Ash Pond #2 – Original in-service date was December 1, 1954, modified in 1999 by removing dike between Ponds 1 & 2 and improving watershed ditches.

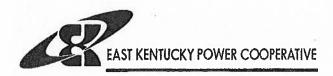
Dale Ash Pond #4 - Built 1977

3. What materials are temporarily or permanently contained in the unit? Use the following categories to respond to this question: (1) fly ash; (2) bottom ash; (3) boiler slag (4) flue gas emission control residuals; (5) other. If the management unit contains more than one type of material, please identify all that apply. Also, if you identify "other," please specify the other types of materials that are temporarily or permanently contained in the unit(s).

EKPC Response: Dale Station temporarily stores fly ash and bottom ash in Ash Ponds #2 and #4. The ponds are used alternately; Ash Pond #2 will be in service while Pond #4 is out of service being cleaned. Or Ash Pond #4 is in service while Ash Pond #2 is out of service or being cleaned.

The ratio of the mixture of ash to the ponds is approximately 20% bottom ash to 80% fly ash. Boiler slag and other constituents make up less than one percent of the volume of the coal combustion byproducts (CCB) stored in the ponds. The ash is sluiced to the respective ponds in service using raw service water from the Kentucky River. Ash sluicing water decants in the pond, the ash particles separate from the water before discharging through respective KPDES permitted outfalls.





4. Was the management unit(s) designed by a Professional Engineer? Is or was the construction of the waste management unit(s) under the supervision of a Professional Engineer? Is inspection and monitoring of the safety of the waste management unit(s) under the supervision of a Professional Engineer?

EKPC Response: Dale Ash Pond #2 – Monitored by a Registered PE Dale Ash Pond #4 – Designed, constructed and monitored by a Registered PE

5. When did the company last assess or evaluate the safety (i.e., structural integrity) of the management units(s)? Briefly describe the credentials of those conducting the structural integrity assessments/evaluations. Identify actions taken or planned by facility personnel as a result of these assessments or evaluations. If corrective actions were taken, briefly describe the credentials of those performing the corrective actions, whether they were company employees or contractors. If the company plans an assessment or evaluation in the future, when is it expected to occur?

EKPC Response: Dale Ash Pond #2 & #4 – Evaluated on a periodic basis by the Vice-President of Production, a Registered Professional Engineer with a BS & MS in Mining Engineering and 20 years+ of extensive work in the civil and geotechnical engineering.

During routine monitoring as part of normal operating procedures, on August 20, 2008 a small leak was detected on Ash Pond #4. The pond was immediately taken out of service and dewatered. The Kentucky Division of Water was promptly contacted. This pond is in the process of being bid in April 2009 for investigation, engineering review and repair of the leak. This project will be completed prior to placing this pond back in service.

As a result of the TVA incident, EKPC, along with normal routine visual monitoring, has decided to take a proactive stance to have these ash pond dams assessed by an outside engineering firm. The bid specification for this project is expected to be issued in April 2009. The assessments should be complete and documented by the winter of 2009.

6. When did a State or a Federal regulatory official last inspect or evaluate the safety (structural integrity) of the management unit(s)? If you are aware of a planned state or federal inspection or evaluation in the future, when is it expected to occur? Please identify the Federal or State regulatory agency or department which conducted or is planning the inspection or evaluation. Please provide a copy of the most recent official inspection report or evaluation.

EKPC Response: The Kentucky Division of Water inspected Dale Station's Ash Pond #4 dam in 1998. (See Attachment 1, 20090316160432759.pdf, KDOW, State Inspection Report)

7. Have assessments or evaluations, or inspections conducted by State or Federal regulatory officials conducted within the past year uncovered a safety issue(s) with the management unit(s), and, if so, describe the actions that have been or are being taken to deal with the issue or issues. Please provide any documentation that you have for these actions.

EKPC Response: There have been no assessments, evaluations, or inspections conducted by State or Federal regulatory officials on Dale Station's dams within the last year. See response to Question No. 5 above.

8. What is the surface area (acres) and total storage capacity of each of the management units? What is the volume of materials currently stored in each of the management unit(s)? Please provide the date that the volume measurement(s) was taken. Please provide the maximum height of the management unit(s). The basis for determining maximum height is explained later in this Enclosure.

EKPC Response: Dale Ash Pond #2 – Eight acres with 180,000 yds³ capacity, current storage approximately 40,000 yds³ as of March 15, 2008, Dam height of 20 ft.

Dale Ash Pond #4 – 10.7 acres with 230,000 yds³ capacity, approximately 180,000 yds³ current storage as of August 22, 2008, when this pond was taken out of service. Dam height is 26 ft.

9. Please provide a brief history of known spills or unpermitted releases from the unit within the last ten years, whether or not these were reported to State or federal regulatory agencies. For purposes of this question, please include only releases to surface water or to the land (do not include releases to groundwater).

EKPC Response: In August 2008, a small leak to land was detected on Ash Pond #4. As a part of normal operating procedures, the plant personnel took the pond out of service. The Kentucky Division of Water was promptly contacted. Visual inspections of the dam were conducted and documented. The pond was nearing its capacity in ash and water level. The plant followed normal procedures and placed Ash Pond #2 in service. Ash Pond #4 was taken out-of-service and dewatered.

As discussed in the response to Question No. 5, this pond is in the process of being bid in April 2009 for investigation, engineering review and repair of the leak.



10. Please identify all current legal owner(s) and operators(s) at the facility.

EKPC Response: Dale Power Station is owned and operated by East Kentucky Power Cooperative.

East Kentucky Power Cooperative P.O. Box 707 4775 Lexington Road Winchester, KY 40392



East Kentucky Power Cooperative, Inc. Spurlock Power Station

1. Relative to the National Inventory of Dams criteria for High, Significant, Low or Less-than-Low, please provide the potential hazard rating for each management unit and indicate who established the rating, what the basis of the rating is, and what federal or state agency regulates the units(s). If the unit(s) does not have a rating, please note that fact.

EKPC Response: Spurlock Ash Pond – rated and regulated by the Kentucky Division of Water as Class A (low hazard). Area and height for this pond as noted in the Kentucky Division of Water's inventory is not correct. The correct information is provided in the response to Question No. 8 below.

2. What year was each management unit commissioned and expanded?

EKPC Response: Spurlock Ash Pond - Built in 1976

3. What materials are temporarily or permanently contained in the unit? Use the following categories to respond to this question: (1) fly ash; (2) bottom ash; (3) boiler slag (4) flue gas emission control residuals (5) other. If the management unit contains more than one type of material, please identify all that apply. Also, if you identify "other," please specify the other types of materials that are temporarily or permanently contained in the unit(s).

EKPC Response: Spurlock Station only sluices bottom ash to this storage unit. Fly ash and gypsum are land filled dry in a special waste landfill at the site.

4. Was the management unit(s) designed by a Professional Engineer? Is or was the construction of the waste management unit(s) under the supervision of a Professional Engineer? Is inspection and monitoring of the safety of the waste management unit(s) under the supervision of a Professional Engineer?

EKPC Response: Spurlock Ash Pond - Designed, constructed and monitored by a registered PE.

5. When did the company last assess or evaluate the safety (i.e., structural integrity) of the management units(s)? Briefly describe the credentials of those conducting the structural integrity assessments/evaluations. Identify actions taken or planned by facility personnel as a result of these assessments or evaluations. If corrective actions were taken, briefly describe the credentials of those performing the corrective actions, whether they were company employees or contractors. If the



company plans an assessment or evaluation in the future, when is it expected to occur?

EKPC Response: Spurlock Station's Ash Pond is inspected during mowing operations for leaks or other irregularities under the direction of a registered PE.

As a result of the TVA incident, EKPC, along with normal routine visual inspections, has decided to take a proactive stance to have this ash pond dam assessed by an outside engineering firm. The bid specification for this project is expected to be issued in April 2009. The assessment should be complete and documented by the winter of 2009.

6. When did a State or a Federal regulatory official last inspect or evaluate the safety (structural integrity) of the management unit(s)? If you are aware of a planned state or federal inspection or evaluation in the future, when is it expected to occur? Please identify the Federal or State regulatory agency or department which conducted or is planning the inspection or evaluation. Please provide a copy of the most recent official inspection report or evaluation.

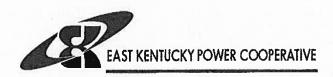
EKPC Response: The Kentucky Division of Water inspected Spurlock Station's dam in 1983 (See attachment No. 2, 20090316160450453.pdf, KDOW State inspection report, Hugh L. Spurlock Station) and February 19, 2009. The most recent inspection report has not been received.

7. Have assessments or evaluations, or inspections conducted by State or Federal regulatory officials conducted within the past year uncovered a safety issue(s) with the management unit(s), and, if so, describe the actions that have been or are being taken to deal with the issue or issues. Please provide any documentation that you have for these actions.

EKPC Response: During the recent inspection at Spurlock Station's dam by Scott Phelps and Mortaza Rabiee of the Kentucky Division of Water, Mr. Phelps commented on the good overall condition of the dam. He did request the removal of any cattails that were not protecting the shoreline from wave action and removal of one shrub that is present near the toe of the dam slope. This work is pending and should be completed within 30 days.

8. What is the surface area (acres) and total storage capacity of each of the management units? What is the volume of materials currently stored in each of the management unit(s)? Please provide the date that the volume measurement(s) was taken. Please provide the maximum height of the management unit(s). The basis for determining maximum height is explained later in this Enclosure.





10. Please identify all current legal owner(s) and operators(s) at the facility.

EKPC Response: Dale Power Station is owned and operated by East Kentucky Power Cooperative.

East Kentucky Power Cooperative P.O. Box 707 4775 Lexington Road Winchester, KY 40392

See Attachment 3 for Signature Page – Dale Station See Attachment 4 for Signature Page – Spurlock Station

EAST KENTUCKY POWER COOPERATIVE, INC.

ATTACHMENT 1 – KDOW STATE INSPECTION REPORT WILLIAM C. DALE STATION

COMMONWEALTH OF KENTUCKY DEPARTMENT FOR ENVIRONMENTAL PROTECTION DIVISION OF WATER 14 REILLY ROAD FRANKFORT, KENTUCKY 40601

CERTIFICATE OF INSPECTION FOR DAM AND APPURTENANT WORKS

ATTENTION: The Division of Water does not intend this report to be taken as an assurance that no other problems exist at this site or that this dam is safe. The reports sole intent is to provide you a factual account of the conditions observed at the site during the inspection. If you have questions, write this office at above listed address or call (502) 564-3410.

Inventory Number: 0660 Hazard Class: LOW Name of Dam: EAST KY POWER-ASH STORAGE DAM (CLARK CO.)

County: CLARK Inspection Date: 10/29/98 Owner: EAST KY POWER CO

Address: BOX 707 City: WINCHESTER

State: KY

Phone Number: 606/561-6859

Zip Code: 40391

PERSONS PRESENT AT INSPECTION: STEWART CORTER, GEORGE CHILDERS, DOW LARRY BOWLING, EAST KY, POWER

Dam Height (feet): .

Dam Type:

Weather: 55 DEG CLDY. Normal Pool Elevation: 602 MSL Current Pool Elevation: 602 MSL

THE DAM IS A 2200 FT LONG CIRCULAR EMBANKMENT, 25 FT HIGH AND WITH A TOP WIDTH OF 15 FT. ALL SLOPES ARE RIPRAPPED AND THE INSIDE SLOPE IS 2.5:1 AND OUTSIDE IS 3:1.

- 1. UPSTREAM SLOPE OF DAM: RIPRAP IN GOOD CONDITION;
- 2. CROWN OF DAM: GRAVEL ROAD IN GOOD CONDITION

. . . .

KY ID: 0660

- 3. DOWNSTREAM SLOPE OF DAM:
 RIPRAP IN GOOD CONDITION
 - A. Seepage (describe below, locate on last page):
 NONE NOTED
 - B. Toe Drains (size, condition, flowing, etc.):
 NONE NOTED
- 4. PRINCIPAL SPILLWAY ELEVATION: 602 MSL
 THE OUTLET CONSISTS OF A 5 FT DIAMETER PERFORATED RISER
 (TOP ELEV. 602.0) AND FILTER SYSTEM WITH A 12" C.M. PIPE
 116 FT LONG AND A CONCRETE EXIT FLUME.
 - A. Inlet:
 GOOD CONDITION
 - B. Outlet:
 GOOD CONDITION
 - C. Stilling basin:
- 5. EMERGENCY SPILLWAY ELEVATION: . MSL LISTED UNDER OUTLET WORKS

- 6. DRAWDOWN FACILITIES: YES
 - a. Valved: UPSTREAM

Last Operated: UNKNOWN .

CERTIFICATE OF INSPECTION FOR DAM AND APPURTENANT WORKS KY ID: 0660

- 7. DOES HAZARD CLASSIFICATION NEED TO BE REEVALUATED? NO a. If yes, explain:
 - b. Distance checked downstream in miles: TO KENTUCKY RIVER
- 8. WERE PHOTOGRAPHS TAKEN? YES
- 9. GENERAL COMMENTS AND RECOMMENDATIONS: STRUCTURE IS WELL MAINTAINED

10. SKETCH OF DAM (Locate alterations or problem areas):

Inspector: STEWART CARTER

Reviewer: GEORGE CHILDERS Date: .

EAST KENTUCKY POWER COOPERATIVE

ATTACHMENT 2 -KDOW STATE INSPECTION REPORT HUGH L. SPURLOCK STATION

(H:Environ/EPA-request 3-09ltr.doc)



Inventory No. 7.30

COMMONWEALTH OF KENTUCKY DEPARTMENT FOR NATURAL RESOURCES & ENVIRONMENTAL PROTECTION BUREAU OF ENVIRONMENTAL PROTECTION DIVISION OF WATER FRANKFORT, KENTUCKY 40601

INSPECTION REPORT DAM AND APPURTENANT WORKS

	Common Tracky No.
County 277 Ann	Address Front Ky 1800 Soundard
PERSONS PRESENT AT INSPECTION (Name and Title	100 315 707
- Jin Killlellin Sat Sugar	
Any structural modifications, problem areas, or add	itional information should be sketched
on a copy of the Lakes Inventory drawings, and the report. If additional sheets are needed for furthe be noted and made a part of this report. 1. UPSTREAM (LAKESIDE) SLOPE OF DAM: a. Vegetative Cover (A Contact And Action)	revised drawings made a part of this
report. If additional sheets are needed for furthe be noted and made a part of this report. 1. UPSTREAM (LAKESIDE) SLOPE OF DAM:	revised drawings made a part of this
report. If additional sheets are needed for further be noted and made a part of this report. 1. UPSTREAM (LAKESIDE) SLOPE OF DAM: a. Vegetative Cover Salasassassassassassassassassassassassass	revised drawings made a part of this

,		
Yes V	No 	
- 1 ap		
92,00e.		
Yes	No.	
Yes	No ,	
	工	
Yes	No ./	
	Yes Yes Yes Yes Yes Yes Yes Yes	Yes No

a. Vegetative Cover		
	Yes	No.
Trees	195	No.
Brush or bushes		J.
Needs mowing		<u> </u>
Needs fertilizing		$\overline{\mathcal{J}}$
Needs herbicide		1
Ruts from vehicle or foot traffic	*****	<u>./</u>
b. Erosion Mail		
	9.	
act.		
c. Slumps, slides, cracks Maril		
	Yes	No
Sketch of location attached?		
d. Remarks		
•		a.
DOWNSTREAM SLOPE OF DAN		
•		
•		
•		
a. Vegetative Cover <u>Andel</u>		
•		
a. Vegetative Cover <u>Andel</u>		
a. Vegetative Cover <u>Andel</u>	Von	No
a. Vegetative Cover Much	Yes	No.
a. Vegetative Cover Much	Yes	No.
a. Vegetative Cover And Cover	Yes	No.
a. Vegetative Cover And Co	Yes	No Y
DOWNSTREAM SLOPE OF DAM a. Vegetative Cover Society Trees Brush or bushes Needs mowing Needs reseeding Needs fertilizing	Yes	No

c. Seepage Mr			
	Yes	No	
Left Abutment (Looking Downstream) Right Abutment (Looking Downstream)		*	
Toe of dam		艾	
Sketch of location attached		<u>.L.</u>	
Soil particles or discoloration of water in seepage		1	
Estimated volume of seepage W/A			
d. Slumps, slides, cracks 1/16			
1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	11/2		
	N X X X		
	Yes	No	
Sketch of location attached?	***************************************	\checkmark	
e. Animal burrows			
O. MITHET DUITONS	11		
			-
	Yes	No.	
Water coming from hole?	103	Ž	
Running water sounds heard?		7	
f. Remarks			=
PRINCIPAL SPILLWAY	ia juliji	A	
	1.		
a. Inlet i. Type (concrete, metal, etc.) <u>/</u>	1//		
			

4.

DOW/OE · 039 Page 5 of 7

1.	Outlet Type (concrete, metal, etc.)		-	
•				
2.	Shape			
3.	Condition			
	illing basin rip-rapped?	Yes	No	
с.				•
	ENCY SPILLWAY:			
a. '	Type (rock, earth, etc.) <u>Moric</u>			
b. !	Condition			
-				
Trees	blocked by debris? or brush growing in channel? Remarks//-		p.14	

When is last known time operated?				
Valved on upstream or downstream s	side?			
Remarks				
				 .
WERE PHOTOGRAPHS TAKEN?		Yes	No	·····
Does the hazard classification need to be re-evaluated?	ed	<u> </u>	* -	
If Yes explain why	•	**************************************		···
DO PLANS AND SPECIFICATIONS EXISTS		Yes	No	
If so where? <u>Fat Man Pro</u>	<u> </u>			
GENERAL COMMENTS AND RECOMMENDATIO	ONS <u>Do</u>	111 1199 g. 115	in the leave	<u>:</u> ;;:
7.6 rs((2.13.47))				

DOW/OE - 039 Page 7 of 7

REVIEWER COMMENTS			

Are plans on file with Division of Water	Yes	pa ^s	No
are plans on tile with Division of Mater		-	
	Inspected	by A	de la Tella
	Reviewed b	v 1/.	- Midne

EAST KENTUCKY POWER COOPERATIVE

ATTACHMENT 3 - SIGNATURE PAGE – DALE STATION

(H:Environ/EPA-request 3-09ltr.doc)



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, D.C. 20460

March 9, 2009

OFFICE OF THE ADMINISTRATOR

Plant Manager
Dale Power Station
1925 Ford Road
Winchester, Kentucky 40391

Dear Sir or Madam:

The release of over 5 million cubic yards (1.1 billion gallons) of coal ash from the Tennessee Valley Authority's Kingston, Tennessee, facility in December 2008 serves as an important reminder of the need for our continued diligence on disposal units where coal combustion wastes are managed. The coal ash from the facility flooded more than 300 acres of land, damaging homes and property.

It is critical that we all work to the best of our abilities to prevent a similar catastrophic failure and the resultant environmental damage. One of the first steps in this effort is to assess the stability of the impoundments and similar units that contain coal combustion residuals and by-products to determine if and where corrective measures may be needed and then to carry out those measures as expeditiously as possible. I am asking that you assist us in this endeavor by giving your personal attention to the enclosed information request issued under the authority of Section 104(e) of the Comprehensive Environmental Response, Compensation, and Liability Act and that you work with us throughout the process. By exercising the utmost care and diligence in examining whether there are any safety concerns at the units and then taking appropriate actions to address these concerns, you will be ensuring the protection of public health, safety, and the environment.

Thank you for making this a priority at the highest levels of your organization.

*Sincerely.

Lisa P. Jackson

Enclosure



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

10億一个2階

Via CERTIFIED MAIL/RETURN RECEIPT REQUESTED

OFFICE OF SOLIO WASTE AND EMERGENCY RESPONSE

Plant Manager
Dale Power Station
1925 Ford Rd
Winchester, Kentucky 40391

RE: Request for Information Under Section 104 (e) of the Comprehensive Environmental Response, Compensation, and Liability Act, 42 U.S.C. 9604(e)

Dear Sir or Madam:

The United States Environmental Protection Agency is requesting information relating to the surface impoundments or similar diked or bermed management unit(s) or management units designated as landfills which receive liquid-borne material from a surface impoundment used for the storage or disposal of residuals or by-products from the combustion of coal, including, but not limited to, fly ash, bottom ash, boiler slag, or flue gas emission control residuals. EPA is requesting this information pursuant to the authority granted to it under Section 104 (e) of the Comprehensive Environmental Response, Compensation, and Liability Act ("CERCLA"), 42 U.S.C. 9604(e) which provides in relevant part that whenever the Agency has reason to believe that there may be a release or a threat of a release of a pollutant or contaminant, they may require any person who has or may have information to furnish information or documents relating to the matter, including the identification, nature, and quantity of materials which have been or are generated, treated, stored or disposed at the facility and the nature or extent of a release or a threatened release. EPA believes that the information requested is essential to an evaluation of the threat of releases of pollutants or contaminants from these units. EPA hereby requires that you furnish to EPA, within ten (10) business days of receipt of this letter a response to each request for information set forth in the Enclosure, including all documents responsive to such request.

Please provide a full and complete response to each request for information set forth in Enclosure A. The provisions of Section 104 of CERCLA authorize EPA to pursue penalties for failure to comply with or respond adequately to an information request under Section 104(e). In addition, providing false, fictitious or fraudulent statements or representations may subject you to criminal penalties under 18 U.S.C. 1001.

Your response must include the following certification signed and dated by an authorized representative of the Dale Power Station.

I certify that the information contained in this response to EPA's request for information and the accompanying documents is true, accurate, and complete. As to the identified portions of this response for which I cannot personally verify their accuracy, I certify under penalty of law that this response and all attachments were prepared in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

Signature: Craig Johnson, PE

This request has been reviewed and approved by the Office of Management and Budget pursuant to the Paperwork Reduction Act, 44 U.S.C., 3501-3520.

Please send your reply to:

Mr. Richard Kinch
US Environmental Protection Agency (5306P)
1200 Pennsylvania Avenue, NW
Washington, DC 20460

If you are using overnight or hand delivery mail, please use the following address:

Mr. Richard Kinch
US Environmental Protection Agency
Two Potomac Yard
2733 S. Crystal Dr.
5th Floor; N-5783
Arlington, VA 22202 2733

EPA expects the owners and operators of these units to exercise the utmost care and diligence in examining whether there are any potential concerns at the units and to take appropriate actions to address them. We ask that this effort be a priority at the highest levels of your organization to ensure the protection of public health, safety, and the environment.

If you have any questions concerning this matter, please contact Mr. Kinch in the Office of Solid Waste and Emergency Response at (703) 308-8214. I appreciate your attention to this critical matter.

Barry N. Breen
Acting Assistant Administrator

Enclosure